

LOBBYING REPORT

SECRETARY OF THE SENATE

Lobby Disclosure Act of 1995 (Section 5) — All Filers Are Required To Complete This Page

00 FEB 14 PM 5:43

1. Registrant Name Hogan & Hartson L.L.P.		H.D.	
2. Address <input type="checkbox"/> Check if different than previously reported Columbia Square, 555 Thirteenth Street, N.W. Washington DC 20004-1109			
3. Principal Place of Business (if different from line 2) City		State/Zip (or Country)	
4. Contact Name Peña, Humberto R.	Telephone 5938	E-mail (optional) HRPena@HHLAW.com	5. Senate ID #
7. Client name <input type="checkbox"/> Self BrokerTec Global, L.L.C.			6. House ID #

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES — Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method: See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature [Signature] Date 14 February 2000

Printed Name and Title Peña, Humberto R.

Registrant Name Hogan & Hartson L.L.P. Client Name BrokerTec Global, L.L.C.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach individual page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues

Introduction of BrokerTec Global, L.L.C. to the House and Senate Agriculture Committees,
Commodity Exchange Act reauthorization and issues related to the Commodity Futures Trading Commission

17. House(s) of Congress and Federal agencies contacted Check if None
House and Senate Agricultural Committees, Commodity Future Trading Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Bultena, Lance D.	Counsel	<input type="checkbox"/>
Peña, Humberto R.	Partner	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature 
Printed Name and Title Peña, Humberto R.