

RECEIVED
SECRETARY OF THE SENATE
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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Antended Registration 1. Effective Date of Registration NA
 2. House Identification Number 31705000 Senate Identification Number 31406-12

REGISTRANT

3. Registrant name **Philip Morris Management Corp.**
 (Philip Morris Management Corp. is the service organization for Philip Morris Companies Inc. and its operating companies.)
 Address 1341 G St. NW Suite 900
 City Washington State DC Zip 20005
 4. Principal place of business (if different from line 3)
 City New York State/Zip (or Country) NY 10017
 5. Telephone number and contact name Contact Peggy Roberts
(917) 663-2144 David Tovar E-mail (optional)
 6. General description of registrant's business or activities
Manufacturer of consumer products including food, beer and tobacco.

CLIENT

A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10. Self

7. Client Name
 Address
 City State Zip
 8. Principal place of business (if different from line 7)
 City State/Zip (or Country)
 9. General description of client's business or activities

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

| Name | Covered Official Position (if applicable) |
|-----------------------|---|
| Mark Berland | Frances M. Norris |
| Sally S. Donner | Abigail Perlman |
| Gregory R. Scott | Robert S. Reese, Jr. |
| John Hoel | John F. Scruggs |
| Howard S. Liebegood * | Timothy H. Scully, Jr. |
| Tanya L. Lombard | A'Jeanette Shuanise Washington |
| Beverly McKittrick | Linda B. ("Tuckie") Westfall |
| Donald M. Nelson | |

* Individual is no longer expected to act as lobbyist for the registrant identified on Line 3.

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

ADV AGR ALC AVI BNK BEV BUD CAW CDT COM CSP DEF ENV FOO FOR
HCR LAW LBR MAN MMM RES RET SMB TAX TOB TOR TRA TRD VET WEL

12. Specific lobbying issues (current and anticipated)

Legislative and Executive Branch actions relating to the pricing, taxation, and regulation of beverage alcohol, food and tobacco products, including those listed in Addendum #1.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

- No ⇨ Go to line 14. Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|--|
| | | |

FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, control, directs, finances or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

- No ⇨ Sign and date the registration Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage in client |
|------|---------|--|--|--------------------------------|
| | | | | |

Signature *Amy L. Rothstein* Date February 14, 2001

Printed Name and Title Amy L. Rothstein, Senior Counsel, Corporate Affairs

14 February, 2001

Line #12

HOUSE OF REPRESENTATIVES

H.R. 46 – Public Safety Officer Medal of Valor Act of 1999
H.R. 144 – Youth Tobacco Possession Prevention Act
H.R. 169 – To amend the Packers and Stockyards Act, 1921, to expand the pilot investigation for the collection of information regarding prices paid for the procurement of cattle and sheep for slaughter and of muscle cuts of beef and lamb to include swine and muscle cuts of swine.
H.R. 328 – Agricultural Reconciliation Act of 1999
H.R. 351- A bill to prohibit the secretary of Health and Human Services from treating any Medicaid-related funds recovered as part of state litigation from one or more tobacco companies as an over payment under the Medical Program.
H.R. 610 – A bill to amend title XIX of the Social Security Act to permit the Secretary of Health and Human Services to waive recoupment of federal government Medicaid claims to tobacco-related state settlements if the state uses the funds only for programs to reduce smoking and for public health purposes.
H.R. 691 – Veterans Tobacco Trust Fund Act of 1999
H.R. 693 – Livestock Industry Fairness and Enhancement Act
H.R. 744 – To rescind the consent of Congress to the Northeast Interstate Dairy Compact
H.R. 832 – Veterans Tobacco-Related Illness Benefits Restoration Act of 1999
H.R. 833 – Bankruptcy Reform Act of 1999
H.R. 1130 – Fire Safe Cigarette Act of 1999
H.R. 1145 – Produce Consumers' Right-to-Know Act
H.R. 1180 -- Social Security Act Amendments
H.R. 1200 – American Health Security Act of 1999
H.R. 1232 – A bill to amend title XIX of the Social Security Act to permit the Secretary of Health and Human Services to waive recoupment of federal government Medicaid claims to tobacco-related state settlements if the state uses a portion of those funds for programs to reduce the use of tobacco products and to assist in the economic diversification of tobacco farming communities.
H.R. 1283 - Fairness in Asbestos Compensation Act of 1999
H.R. 1289 – Tobacco Use Prevention and Public Health Act of 1999
H.R. 1346 – National Uniform Food Safety Labeling Act
H.R. 1366 – To reduce the tax on beer to its pre 1991 level
H.R. 1421 – Stop Kids From Smoking Act
H.R. 1470 – Corporate Responsibility Act of 1999
H.R. 1495 – Access to Prescription Medications in Medicare Act of 1999
H.R. 1501 – Juvenile Justice Reform Act
H.R. 1532 – Smokeless Tobacco Warning Label Act
H.R. 1595 – To provide for national standards to prohibit the operation of motor vehicles by individuals under the influence of alcohol
H.R. 1597 – To provide for national minimum sentences for individuals convicted of operating motor vehicles under the influence of alcohol
H.R. 1604 – To reauthorize, and modify the conditions for, the consent of Congress to the Northeast Interstate Dairy compact and to grant the consent of Congress to the Southern Dairy Compact.
H.R. 1758 – To amend the Agricultural Market Transition Act to extend the milk price support program through 2002 at an increased price support rate.

Addendum #1

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HOUSE OF REPRESENTATIVES (CON'T)

H.R. 1875 - Interstate Class Action Jurisdiction Act
H.R. 2031 - To provide for injunctive relief in federal district court to enforce state laws relating to the interstate transportation of intoxicating liquor
H.R. 2094 - State Responsible Alcohol Enforcement Act
H.R. 1960 - Educational Excellence for All Children Act of 1999
H.R. 2116 - Veterans' Millennium Health Care Act
H.R. 2129 - National Uniformity for Food Act of 1999
H.R. 2161 - Alcohol Shipment to Minors Prohibition Act
H.R. 2248 - Labeling Violent Content in Audio and Visual Media Products, Provision
H.R. 2314 - To amend the Clean Air Act to exclude certain beverage alcohol compounds from the definition of volatile organic compounds
H.R. 2345 - Safe Food Act of 1999
H.R. 2488 - Financial Freedom Act of 1999
H.R. 2503 - Tobacco Smuggling Eradication Act of 1999
H.R. 2579 - Cigars Are No Safe Alternative
H.R. 2597 - Litigation Fairness Act
H.R. 2614 - Certified Development Company Program Improvements Act of 1999
H.R. 2649 - To increase assessments under tobacco price support program
H.R. 2748 - "Tobacco Farmers' Relief Act" (amends IRC of 1986)
H.R. 2782 - Seniors Prescription Insurance Equity Act
H.R. 2914 - Tobacco Free Internet for Kids Act
H.R. 3007 - Internet Cigarette Warning Label Act
H.R. 3377 - To amend FFDCFA to require labeling of food that contains genetically engineered material
H.R. 3628 - A bill to prohibit the importation of bidi cigarettes
H.R. 3696 - President's Commission on Veterans and Smoking Act
H.R. 3908 - 2000 Emergency Supplemental Appropriations Act
H.R. 3917 - To amend the Internal Revenue Code of 1986 to provide that the penalty on the reimportation of tobacco products exported from the US shall not apply in certain cases
H.R. 4026 - Goods Returned
H.R. 4041 - Child Tobacco Use Prevention Act of 2000
H.R. 4042 - FDA Tobacco Jurisdiction Act of 2000
H.R. 4205 - National Defense Authorization Act for Fiscal Year 2001
H.R. 4207 - FDA Tobacco Authority Amendments Act
H.R. 4339 - The Agriculture Competition Enhancement Act of 2000
H.R. 4368 - Furniture Fire Safety Act
H.R. 4432 - Tobacco-Free Youth Act
H.R. 4461 - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001
H.R. 4475 - Department of Transportation and Related agencies Appropriations Act, 2001
H.R. 4543 - To amend the Internal Revenue Code of 1986 to provide relief for payment of asbestos-related claims
H.R. 4576 - Department of Defense Appropriations Act, 2001
H.R. 4577 - Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001

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HOUSE OF REPRESENTATIVES (CON'T)

H.R. 4598 - To prevent evasion of US export taxes on cigarettes
H.R. 4635 - Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001
H.R. 4690 - Commerce, Justice, State and the Judiciary and Related Agencies Appropriations Act, 2001
H.R. 4788 - Grain Standards and Warehouse Improvement Act of 2000
H.R. 4811 - Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001
H.R. 4868 - Miscellaneous Trade and Technical Corrections Act of 2000
H.R. 4871 - Treasury and General Government Appropriations Act, 2001
H.R. 4886 - Stronger Tobacco Warning Labels to Save Lives Act
H.R. 4986 - FSC Repeal and Extraterritorial Income Exclusion Act of 2000
H.R. 4942 - District of Columbia Appropriations Act, 2001
H.R. 5208 - Medicare, Medicaid, and MCH Smoking Cessation Act of 2000
H.R. 5244 - Tribal-State Tax Fairness Act of 2000
H.R. 5381 - Tobacco Farmers Trade Fairness Act
H.R. 5382 - Tobacco Export Marketing Enhancement Act
H.R. 5393 - To provide a criminal penalty for the unauthorized placement of a writing with a consumer product, and for other purposes.
H.C.R. 70 - Resolution Concerning Duty-Free Merchandise Purchases Under the North American Free Trade Agreement
H.C.R. 290 - Resolution Setting Forth the Congressional Budget for Fiscal Year 2001-2005
H.C.R. 255 - Expressing the sense of the Congress regarding Federal spending on veterans programs and allocation of funds received by the Federal Government for claims arising from smoking-related illnesses or an increased risk of smoking-related illnesses.

- Alcohol Advertising
- FDA/USDA Enforcement Authority
- President's Food Safety Initiative
- HACCP Implementation (USDA Regulatory Initiative)
- President's Council on Food Safety
- Equitable treatment for U.S. exporters of beer to Mexico
- Tariff classifications for certain food products
- Biotechnology: FDA/USDA/EPA
- Price Reporting Legislation
- China PNTR

All lobbying of matters before the House will involve contact with the Rules Committee. Such matters may also lead to contact with the Senate and Executive Branch.

**Addendum #1
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Registrant Name **Philip Morris Management Corp.** Client **Self**
14 February, 2001
Line #12

SENATE

S. 5 - Drug Free Century Act; International Crime Control Act of 1999; Money Laundering Deterrence Act of 1999; Drug-Free Families Act of 1999
S. 18 - SAFER Meat and Poultry Act
S. 19 - Agricultural Safety Net and Market Competitiveness Act of 1999
S. 72 - Veterans' Benefits, Title 38 U.S.C., Amendment.
S. 213 - To amend the IRS Code of 1986 to repeal the limitations of the cover over of tax on distilled spirits
S. 242 - Meat Labeling Act of 1999
S. 252 - Recovery of Medicaid-Related Funds from Tobacco Companies, Prohibition
S. 254 - Violent and Repeat Juvenile Offender Accountability and Rehabilitation Act of 1999
S. 346 - States' Rights Protection Act of 1999
S. 353 - Class Action Fairness Act
S. 422 - To amend the Alcohol Beverage Labeling Act to prohibit additional statements and representations relating to alcohol and health
S. 431 - To amend the Alcohol Beverage Labeling Act of 1988 to grant authority to the Secretary of HHS to carry out the act
S. 432 - To Amend IRS Code of 1986 to Increase the Rate of Tax on Wine
S. 433 - Alcoholic Beverage Label Preservation Act
S. 434 - To Simplify the Method of Payment of Taxes on Distilled Spirits
S. 550 - Collection of Certain State Taxes, Provision
S. 577 - 1st Amendment Enforcement Act
S. 584 - Children's Smoking Prevention, Health, and Learning Trust Fund Act of 1999
S. 609 - Safe and Drug-Free Schools and Communities Act of 1994, Amendment
S. 625 - Bankruptcy Reform Act of 1999
S. 640 - State Responsible Alcohol Enforcement Act
S. 730 - Fire Safety Cigarette Act of 1999
S. 758 - Fairness in Asbestos Compensation Act of 1999
S. 801 - To reduce the tax on beer to its pre-1991 level
S. 805 - Children's Asthma Relief Act of 1999
S. 841 - Access to Prescription Medications in Medicare Act of 1999
S. 859 - To amend the solid waste disposal act to require a refund value for certain beverage containers
S. 916 - Dairy Fairness Act to Repeal the Northeast Interstate Dairy Compact
S. 1005 - To provide for national minimum sentences for individuals convicted of operating motor vehicles under the influence of alcohol
S. 1112 - Children's Environmental Protection Act
S. 1155 - National Uniformity for Food Act of 1999
S. 1180 - Educational Excellence Act for All Children of 1999
S. 1204 - Healthy Seniors Promotion Act of 1999
S. 1228 - Media Violence Labeling Act of 1999
S. 1269 - Litigation Fairness Act
S. 1281 - Safe Food Act of 1999
S. 1421 - Cigars are Not a Safe Smoking Alternative Act
S. 1429 - Taxpayer Refund Act of 1999

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SENATE (CON'T)

- S. 1480 -- Seniors Prescription Insurance Coverage Act of 1999 (SPICE)
- S. 1481 -- To amend the Agricultural Adjustment Act of 1938 to release and protect the release of tobacco production and marketing information
- S. 1543 -- To amend the Agricultural Adjustment Act of 1938 to release and protect the release of tobacco production and marketing information
- S. 1738 -- To prohibit packer ownership of livestock
- S. 1739 -- The Agri-Business Moratorium and Antitrust Review Act
- S. 1821 - Lead Poisoning Expense Recovery Act
- S. 1959 -- Fiscal Responsibility Act of 1999
- S. 1988 - New Markets for State Inspected Meat Act of 1999
- S. 2017 - To amend the Internal Revenue Code of 1986 to exclude from gross income payments to tobacco growers pursuant to Phase I or II of the MSA
- S. 2125 - Smoker's Right to Know and Truth in Tobacco Labeling Act
- S. 2252 - Agriculture Competition Enhancement Act
- S. 2333 - Tobacco Regulatory Fairness Act of 2000
- S. 2379 - Kids Deserve Freedom from Tobacco Act of 2000
- S. 2411 - Farmers and Ranchers Fair Competition Act of 2000
- S. 2493 - Tobacco Smuggling Eradication Act of 2000
- S. 2522 - Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001
- S. 2536 -- Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001
- S. 2549 - National Defense Authorization Act for Fiscal year 2001
- S. 2553 - Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001
- S. 2566 - National Youth Smoking Reduction Act
- S. 2568 - Youth Smoking Prevention and Public Health Protection Act
- S. 2593 - Department of Defense Appropriations Act, 2001
- S. 2696 - Gray Market Cigarette Compliance Act of 2000
- S. 2720 - Department of Transportation and Related Agencies Appropriations Act, 2001
- S. 2744 - Fair Play for Family Farmers Act of 2000
- S. 2760 - Microbiological Performance Standards Clarification Act of 2000
- S. 2889 - Stronger Tobacco Warning Labels to Save Lives Act
- S. 2955 - A bill to amend the Internal Revenue Code of 1986 to provide relief for the payment of asbestos-related claims.
- S. 3073 -- Medicare, Medicaid and MCH Smoking Cessation Promotion Act of 2000
- S. 3123 -- Consumer Rights in Federal Class Actions Act of 2000
- S. 3243 -- Agricultural Producer Protection Act of 2000
- S. 3285 -- A bill to amend the Internal Revenue Code of 1986 to exclude tobacco products from qualifying foreign trade property in the treatment of extraterritorial income.
- S.C.R. 101 -- Resolution Setting forth the Congressional Budget for Fiscal Year 2001-Fiscal Year 2005
- SJ Res 22 -- To reauthorize, and modify the conditions for, the consent of Congress to the

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SENATE (CON'T)

Northeast Interstate Dairy compact and to grant the consent of Congress to the Southern Dairy Compact.

- Alcohol Advertising
- Price Reporting Legislation
- FDA/USDA Enforcement Authority
- President's Food Safety Initiative
- HACCP Implementation (USDA Regulatory Initiative)
- President's Council on Food Safety
- Equitable treatment for U.S. exporters of beer to Mexico
- Tariff classifications for certain food products
- China PNTR
- Price Reporting Legislation
- Biotechnology: FDA/USDA/EPA

Lobbying matters before the Senate may lead to contacts with the House of Representatives and the Executive Branch.

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EXECUTIVE BRANCH

Various food, tobacco and beer matters pending or anticipated before the following Federal Agencies:

- 1) Consumer Products Safety Commission
- 2) Department of Agriculture
- 3) Department of Commerce
- 4) Department of Defense
- 5) Department of Education
- 6) Department of Health and Human Services
- 7) Department of Housing and Urban Development
- 8) Department of Justice
- 9) Department of Labor
- 10) Department of State
- 11) Department of Transportation
- 12) Environmental Protection Agency
 - EPA Regulation
- 13) Federal Aviation Administration
 - FAA Regulation
- 14) Federal Communications Commission
- 15) Federal Trade Commission
- 16) Food and Drug Administration
 - a) Jurisdiction
 - b) Regulation
- 17) General Services Administration
- 18) Occupational Safety and Health Administration
 - OSHA Regulation
- 19) The White House
 - Office of the U.S. Trade Representative
- 20) U.S. Treasury Department
 - a) Bureau of Alcohol, Tobacco and Firearms
 - National Toxicology Program regulations pertaining to health impacts statement on beverage alcohol levels. Proposal to alter current labels on beverage alcohol
 - b) U.S. Customs Service
- 21) Veterans Administration

Including:

- Alcohol Advertising
- Price Reporting Legislation
- FDA/USDA Enforcement Authority
- Food Safety Initiative
- HACCP Implementation (USDA Regulatory Initiative)
- President's Council on Food Safety
- Equitable Treatment for U.S. exporters of beer to Mexico
- Tariff classifications for certain food products
- China PNTR
- Biotechnology: FDA/USDA/EPA

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EXECUTIVE BRANCH (CON'T)

- E.O. No. 13126 – Prohibition of acquisition of products produced by forced or indentured child labor.
- National Toxicology Program regulations pertaining to health impact statements on beverage alcohol levels and proposal to alter current labels on beverage alcohol

Contacts may be made with the Executive Branch on legislative matters pending before the Senate and/or House of Representatives, as listed previously.

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