

LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

SECRETARY OF THE

02 MAR 11 PM

Check if this is an Amended Registration ☐

1. Effective Date of

Registration

February 1, 2002

2. House Identification

Senate Identification

Number

Number

REGISTRANT

3. Registrant Name **Covington & Burling**

Address **1201 Pennsylvania Avenue, N.W.**

City **Washington**

State **DC**

Zip **20044**

4. Principal place of business (if different from line 3)

City

State/Zip (or Country)

5. Telephone number and contact name

(202) 662-5415

Contact **John C. Dugan**

Email (optional)

6. General description of registrant's business or activities **law firm**

CLIENT

A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house should check the box labeled "Self" and proceed to line 10. ☐ Self

7. Client Name **Forethought Financial Services Inc.**

Address **Forethought Center**

City **Batesville**

State **Indiana**

Zip **47006**

8. Principal place of business (if different from line 7)

City

State/Zip (or Country)

9. General description of client's business or activities **Financial services holding company**

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, *st the executive and/or legislative position(s) in which the person served*

| Name | Covered Official Position (if applicable) |
|----------------------|---|
| John C. Dugan | Partner |
| | |
| | |
| | |

[REDACTED]

Registrant Name Covington & Burling

Client Name Forethought Financial Services In

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse of Form LD-1, page 1.

FIN

12. Specific lobbying issues (current and anticipated) **Federal thrift regulation**

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period **and** in the whole or major part plans, supervises or controls the registrant's lobbying activities?

☒ No ⇒ Go to line 14.

☐ Yes ↓ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|--|
| | | |
| | | |
| | | |

FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13;
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; **or**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☒ No ⇒ Sign and date the registration.

☐ Yes ↓ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration..

| Name | Address | Principal place of Business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage of client |
|------|---------|--|--|--------------------------------|
| | | | | |
| | | | | |
| | | | | |

Signature



Date **March 11, 2002**

Printed Name and Title John C. Dugan

