

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

### PRINCIPAL FINANCIAL GROUP

2. Address:

1350 I Street, NW Suite 880, Washington, DC 20005-3305

3. Principal place of business (if different from line 2):

4. Contact Name: R. LUCIA RIDDLE

Telephone: 2026821280

E-mail (optional): riddle.lucia@principal.com

Senate ID #: 32178-12

House ID #:

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 901,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPI (one per page)

16. Specific lobbying issues:

H.R.3503.IH, E-Mail Privacy Act of 2005 S.1608, U.S. SAFE WEB Act of 2005 S.1594.IS, Financial Privacy Protection Act of 2005 H.R.3804, To amend the Internal Revenue Code of 1986 to provide a 100 percent deduction for expenses related to identity theft. H.R.4127, To protect consumers by requiring reasonable security policies and procedures to protect computerized data containing personal information, and to provide for nationwide notice in the event of a security breach. H.R.3997, Financial Data Protection Act of 2006 S.2169, To amend the Fair Credit Reporting Act to provide for secure financial data, and for other purposes. S.810.IS, SAFE-ID Act H.R.1653.IH, SAFE-ID Act H.R.4157, Health Information Technology Promotion Act of 2006 S.2917.IS, Internet Freedom Preservation Act S.1332.PCS, Personal Data Privacy and Security Act of 2005 S.1336.IS, Consumer Identity Protection and Security Act H.R.3140.IH, Consumer Data Security and Notification Act of 2005 S.1408.RS, Identity Theft Protection Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLUMER, PATTI

Covered Official Position (if applicable): N/A

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R.3204.RH, State High Risk Pool Funding Extension Act of 2005 H.R.3297.IH, State High Risk Pool Funding Extension Act of 2005 S.1418.ES, Wired for Health Care Quality Act S.1503.IS, Healthy America Act of 2005 S.1769.IS, Public Health and Health Insurance Emergency Response Act of 2005 S.1716.PCS, Emergency Health Care Relief Act of 2005 S.2047.IS, Healthy Communities Act of 2005 H.R.4642.IH, Wired for Health Care Quality Act H.R.4527.IH, Health Care Relief Act of 2005 H.R.6134, To amend the Internal Revenue Code of 1986 to expand health coverage through the use of high deductible health plans and to encourage the use of health savings accounts. S.3776, A bill to ensure the provision of high-quality health care coverage for uninsured individuals through State health care initiatives that expand coverage and access and improve quality and efficiency in the health care system. H.R.5971, To establish the Health Information Technology Loan Program within the Small Business Administration.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

H.R.1770.IH, To require employers at critical infrastructure sites to participate in the pilot program employment eligibility verification, and for other purposes. S.1917, To require employers to verify the employment eligibility of their employees, and for other purposes. S.1932, Deficit Reduction Act of 2005 H.R. 3322.IH, USA Jobs Protection Act of 2005 H.R.2092, Save America Comprehensive Immigration Act of 2005 S.2611, Comprehensive Immigration Reform Act of 2006 S.2612, Comprehensive Immigration Reform Act of 2006 H.R.5507, To establish procedures for the issuance by the Commissioner of Social Security of 'no match' letters to employers, and for the notification of the Secretary of Homeland Security regarding such letters. S.1932, Deficit Reduction Omnibus Reconciliation Act of 2005 H.R.6238, To improve the H-1B nonimmigrant program by increasing the exchange of information between the Departments of Labor and Homeland Security.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LAWSON, RICK

Covered Official Position (if applicable): N/A

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

S.1928.IS, SMART Act Senate, Optional Federal Charter Legislation S.2509, National Insurance Act of 2006 H.R.5341.EH, Seasoned Customer CTR Exemption Act of 2006 H.R.5587.IH, Commission on Catastrophic Disaster Risk and Insurance Act of 2006 S.3239, To require full disclosure of insurance coverage and noncoverage by insurance companies and provide for Federal Trade Commission enforcement. H.RES.909, Encouraging the United States financial services industry to develop, test, and implement systemic plans to address the challenges and risks posed by pandemic or bioterrorism events to the national and international economies, and for other purposes. H.R.6225, To authorize the issuance of Federal charters and licenses for carrying on the sale, solicitation, negotiation, and underwriting of insurance or any other insurance operations, to provide a comprehensive system for the regulation and supervision of National Insurers and National Agencies, to provide for policyholder protections in the event of an insolvency or impairment of a National Insurer, and for other purposes. H.R.3504.IH, Life Insurance Anti-Discrimination in Travel Act H.R.4513.IH, Terrorism Risk Insurance Program Temporary Extension Act of 2005 H.R.4314.IH, Terrorism Risk Insurance Revision Act of 2005 H.R.4619.IH, Commission on Terrorism Risk Insurance Act of 2005

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLUMER, PATTI

Covered Official Position (if applicable): N/A

Name: LAWSON, RICK

Covered Official Position (if applicable): N/A

Name: PALMER, SUE

Covered Official Position (if applicable): N/A

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

H.R.3192, Paid Family and Medical Leave Act of 2005 H.R.3202, To amend the Social Security Act to waive the 24-month waiting period for Medicare coverage of certain disabled individuals who have no health insurance coverage. S.1798 IS, Medicare Do Not Call Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

H.R.3111.IH, Employee Stock Ownership Plan Promotion and Improvement Act of 2005 H.R. 3249.IH, Social Security Disability Determination Simplification Act of 2005 S.2919, To amend title IV of the Employee Retirement Income Security Act of 1974 to establish a Director of the Pension Benefit Guaranty Corporation and the Internal Revenue Code of 1986 to increase certain penalties, and for other purposes. H.R. 4, Pension Protection Act of 2006 H.R.2121, To amend the Internal Revenue Code of 1986 to allow individuals to defer recognition of reinvested capital gains distributions from regulated investment companies. H.R.2830, Pension Security and Transparency Act of 2005 S.RES.550, A resolution designating October 22 through October 28, 2006, as "National Save for Retirement Week". H.R.6210, To amend the Internal Revenue Code of 1986 to allow employees not covered by qualified retirement plans to save for retirement through automatic payroll deposit IRAs, to facilitate similar saving by the self-employed, and for other purposes. S.3817, A bill to amend the Internal Revenue Code of 1986 to provide a tax credit for certain entities making matching contributions to retirement plans. H.R. 4, To provide economic security for all Americans, and for other purposes. S.3951, A bill to amend the Internal Revenue Code of 1986 and the Employee Retirement Income Security Act of 1974 to increase the retirement security of women and small business owners, and for other purposes. S.3952, A bill to amend the Internal Revenue Code of 1986 to allow employees not covered by qualified retirement plans to save for retirement through automatic payroll deposit IRAs, to facilitate similar savings by the self-employed, and for other purposes. S.3839, A bill to amend title II of the Social Security Act to provide that the eligibility requirement for disability insurance benefits under which an individual must have 20 quarters of Social Security coverage in the 40 quarters preceding a disability shall not be applicable in the case of a disabled individual suffering from a covered terminal disease. S.3715, A bill to amend the Internal Revenue Code of 1986 and the Employee Retirement Income Security Act of 1974 to provide for the treatment of eligible combined defined benefit plans and qualified cash or deferred arrangements. S.1588.IS, To amend title XVI of the Social Security Act to clarify that the value of certain funeral and burial arrangements are not to be considered available resources under the supplemental... H.R. 3459.IH, To amend title II of the Social Security Act to provide that a monthly insurance benefit there under shall be paid for the month in which the recipient dies. S.1783.PCS, Pension Security and Transparency Act of 2005 H.R.3899.IH, Small Employer Defined Benefit Expansion Act H.R.3789.IH, To amend title I of the Employee Retirement Income Security Act of 1974 to provide, in the case of an employee welfare benefit plan providing benefits in the event of disability, an... H.R.3751.IH, Retirement Account Emergency Access Act of 2005 H.R.4055.IH, Employees' Pension Security Act of 2005 S.1819.IS, 401(k) Enhancement Act: Encouraging Retirement Savings H.R.3954.IH, Social Security COLA Protection Act of 2005 S.1795.IS, Social Security COLA Protection Act of 2005 S.1799.IS, Government Pension Offset Reform Act H.R.4421.IH, S Corporation Reform Act of 2005 S.2086.IS, IRA Equity Act of 2005 H.R.4274.IH, Preservation of Defined Benefit Plans Act of 2005 H.R.2951.IH, Lifetime Pension Annuity for You Act of 2005 S.1359.IS, Retirement Savings and Security Act of 2005 S.2431, Savings Competitiveness Act of 2006 S.2919, To amend title IV of the Employee Retirement Income Security Act of 1974 to establish a Director of the Pension Benefit Guaranty Corporation and the Internal Revenue Code of 1986 to increase certain penalties, and for other purposes. H.R. 2121, To amend the Internal Revenue Code of 1986 to allow individuals to defer recognition of reinvested capital gains distributions from regulated investment companies. H.R.2830, Pension Security and Transparency Act of 2005 H.R.4965, To amend title II of the Social Security Act to provide that a duty of the Board of Trustees of the Social Security Trust funds is to hold them in trust for the beneficiaries and to ensure that the assets of such trust funds are not diverted, and to authorize investment of such trust funds in securities that are not limited to obligations of the United States or obligations guaranteed as to principal and interest by the United States. H.R.5424, To allow certain existing retirement plans maintained by churches to continue to provide annuities directly to participants rather than through an insurance company. H.R.5507, To establish procedures for the issuance by the Commissioner of Social Security of 'no match' letters to employers, and for the notification of the Secretary of Homeland Security regarding such letters. S.2431, Savings Competitiveness Act of 2006

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLUMER, PATTI  
Covered Official Position (if applicable): N/A  
Name: LAWSON, RICK  
Covered Official Position (if applicable): N/A  
Name: PALMER, SUE  
Covered Official Position (if applicable): N/A  
Name: RIDDLE, R. LUCIA  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

S.1329.IS, Small Business Health Care Act of 2005 H.RES.371, Expressing the sense of the House of Representatives that each State should revise its laws and regulations to enable individuals and small businesses to obtain health insurance through the combination of a health savings account and a high-deductible health plan. S.2588, To provide for the certification of programs to provide uninsured employees of small businesses access to health coverage, and for other purposes.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLUMER, PATTI

Covered Official Position (if applicable): N/A

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

S.2424, To amend the Internal Revenue Code of 1986 to increase the contribution limits for health savings accounts, and for other purposes. H.R.5176, Fair Flat Tax Act of 2006 S.2558, To amend the Internal Revenue Code of 1986 to provide a tax credit to employers for employee catastrophic health care costs and to health insurance companies for insurer catastrophic health care costs, and for other purposes. S.2554, To amend the Internal Revenue Code of 1986 to expand the permissible use of health savings accounts to include premiums for non-group high deductible health plan coverage. S.2549, To amend the Internal Revenue Code of 1986 to expand the use of health savings accounts for the payment of health insurance premiums for high deductible health plans purchased in the individual market. S.2494, To amend the Internal Revenue Code of 1986 to allow a deduction for the payment of premiums for high deductible health plans, to allow a credit for certain employment taxes paid with respect to premiums for high deductible health plans and contributions to health savings accounts, and for other purposes. H.R.5422, To amend the Internet Tax Freedom Act to make permanent the moratorium on taxes on internet access and on multiple and discriminatory taxes on electronic commerce. H.R.5262, Tax Free Health Savings Act of 2006 H.R. 5479, To amend the Internal Revenue Code of 1986 to treat certain amounts paid for exercise equipment and physical fitness programs as amounts paid for medical care. H.R.5743, HSA Improvement and Expansion Act of 2006 S.3585, HSA Improvement and Expansion Act of 2006 H.R.5970, To amend the Internal Revenue Code of 1986 to increase the unified credit against the estate tax to an exclusion equivalent of \$5,000,000, to repeal the sunset provision for the estate and generation-skipping taxes, and to extend expiring provisions, and for other purposes. H.R.4961, To amend the Internal Revenue Code of 1986 to provide that the deduction for the health insurance costs of self-employed individuals be allowed in determining self-employment tax.

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BLUMER, PATTI  
Covered Official Position (if applicable): N/A  
Name: LAWSDON, RICK  
Covered Official Position (if applicable): N/A  
Name: PALMER, SUE  
Covered Official Position (if applicable): N/A  
Name: RIDDLE, R. LUCIA  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

S.1337.IS, Fair and Reliable Medical Justice Act H.R.3359, Medical Malpractice and Insurance Reform Act of 2005 H.R.3865.IH, Medical Malpractice Insurance Corporation Act S.1525.IS, Medical Malpractice Insurance Antitrust Act of 2005 H.R.5491, Securities Litigation Attorney Accountability and Transparency Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: PRINCIPAL FINANCIAL GROUP Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

H.R. 3045, Dominican Republic-Central America-United States Free Trade Agreement Implementation Act S.1307, Dominican Republic-Central America-United States Free Trade Agreement Implementation Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LAWSON, RICK

Covered Official Position (if applicable): N/A

Name: RIDDLE, R. LUCIA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 12, 2007

Printed Name and Title: R. LUCIA RIDDLE, VICE PRESIDENT-FEDERAL GOVERNMENT -