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SECRETARY OF THE SENATE

Bryan M. Pickel 00 AUG 16 AM 10:24  
Associate Manager  
External Affairs

The Prudential Insurance Company of America  
751 Broad Street, Newark NJ 07102-3777  
Tel 973 802-5145 Fax 973-802-6303

August 14, 2000

**Via Airborne Delivery**

Secretary of the Senate  
Office of Public Records  
232 Hart Office Building  
Washington, DC 20511

Clerk of the House of Representatives  
Legislative Resource Center  
1036 Longworth House Office Building  
Washington, DC 20515

**Re: Form LD-2, Lobbying Report**

Dear Ladies and Gentlemen:

Please find Enclosed for filing our Form LD-2, Lobbying Report covering the semi-annual period from January 1, 2000 to June 30, 2000 required to be filed by each of your offices pursuant to the Lobbying Disclosure Act of 1995, as amended.

Please direct any correspondence regarding our report to me and do not hesitate to contact me at (973) 802-8435 with any comments or questions about our report

Very truly yours,

Bryan M. Pickel  
Associate Manager

BMP:pb

Enc.

cc: (all w/ enc.)  
Monica Adam



Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

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00 AUG 16 AM 10: 25

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>The Prudential Insurance Company of America</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>751 Broad Street, Newark, New Jersey 07102-3777</u>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name <u>Brian M. Pickel</u>	Telephone <u>(973) 802-8435</u>	E-mail (optional) <u>bryan.pickel@prudential.com</u>	5. Senate ID # <u>32338-12</u>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  → Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> → \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> → \$ <u>1,840,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

LD-2 (REV. 6/98)

PAGE 1 of 14

Registrant Name The Prudential Insurance Company of America Client Name -Self-

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

- S.716 The Millennium Digital Commerce Act - All provisions
- H.R. 1714 The Electronic Signatures and Global and National Commerce Act - All Provisions
- H.R. 4049 The Privacy Commission Act - All Provisions
- H.R. 4380 The Consumer Financial Privacy Act - All Provisions
- S.2107 All provisions relating to medical records confidentiality
- H.R. 2896 Foreign Money Laundering Deterrence and Anticorruption Act
- S.2513 The Consumer Financial Privacy Act - All Provisions
- H.R. 2441 "Fairness in Securities Transaction Act"

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Peter Begans, Vice President, Gov't Affairs		<input type="checkbox"/>
Kaye Williams, Vice President, Gov't Affairs		<input type="checkbox"/>
Thomas G. O'Hara, Vice President, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

- H.R. 4834 The "Comprehensive Retirement Security and Pension Reform Act." All Provisions related to the expansion of individual retirement accounts, IRAs.
- S.1920 Money Laundering Abatement Act of 1999
- H.R. 1426 Money Laundering Prevention Act of 1999 - Review and monitor bills' provisions that may impact on the securities industry.
- H.R. 1256 All provisions to reduce excess transaction fees

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Peter Begans, Vice President, Gov't Affairs</u>		<input type="checkbox"/>
<u>Raye Williams, Vice President, Gov't Affairs</u>		<input type="checkbox"/>
<u>Thomas G. O'Hara, Vice President, Gov't Affairs</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

H.R.4444 Permanent Normal Trade Relations with China - All Provisions

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Peter Begans, Vice President, Gov't Affairs		<input type="checkbox"/>
Thomas G. O'Hara, Vice President, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R.4585 The Medical Financial Privacy Protection Act - All Provisions

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Peter Begans, Vice President, Gov't Affairs</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BK (one per page)

16. Specific lobbying issues

S.625 Bankruptcy Reform Act of 1999 - The Provision concerning single asset real estate.

H.R.833 Bankruptcy Reform Act of 1999 - The Provision concerning single asset real estate.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Peter Bogans, Vice President, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

- H.R.1875 Interstate Class Action Jurisdiction Act of 1999 - All Provisions relating to the diversity test of class action lawsuits.
- S.353 Class Action Fairness Act of 1999 -All Provisions relating to the diversity test of class action lawsuits.
- S.35 Long Term Care Affordability and Availability Act of 1999 - To amend the Internal Revenue Code of 1986 to allow a deduction for the long-term care insurance cost of all individuals who are not eligible to participate in employer-subsidized long-term care health plans.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Peter Begans, Vice President, Gov't Affairs</u>		<input type="checkbox"/>
<u>Angela Walker, Manager, Gov't Affairs</u>		<input type="checkbox"/>
<u>Thomas G. O'Hara, Vice President, Gov't Affairs</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues
- S.57 Federal Employees Group Long-Term Care Insurance Act of 1999 - To provide for the establishment of a program under which long-term care insurance may be obtained by Federal employees and annuitants.
  - S.36 The American Worker Long-Term Care Affordability Act of 1999 - To amend title 5, United States Code, to provide for the establishment of a program under which long-term care insurance may be obtained by Federal employees and annuitants.
  - S.894 Federal Civilian and Uniformed Services Long-Term Care Insurance Act of 1999 - To amend title 5, United States Code, to provide for the establishment of a program under which long-term care insurance is made available to Federal employees and annuitants, and for other purposes.
17. House(s) of Congress and Federal agencies contacted  Check if None

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Angela Walker, Manager, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

H.R. 2102 Long Term Care and Retirement Security Act of 1999 - To amend the Internal Revenue Code of 1986 to allow individuals a deduction for qualified long-term care insurance premiums and a credit for individuals with long-term care needs, to provide for an individual and employer educational campaign concerning long-term care insurance, and to amend title XIX of the Social Security Act to expand State long-term care partnerships by exempting 75percent of partnership assets from Medicaid estate recovery.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Angela Walker, Manager, Gov't Affairs		<input type="checkbox"/>
Thomas G. O'Hara, Vice President, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues
- H.R. 602 Civil Service Long-Term Care Insurance Benefit Act - To amend title 5, United States Code, to provide for the establishment of a program under which long term care insurance may be obtained by Federal employees and annuitants.
  - H.R. 110 Federal Employees Group Long-Term Care Insurance Act of 1999 - To amend title 5, United States Code, to provide for the establishment of a program under which long term care insurance is made available to Federal employees and annuitants, and for other purposes.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Angela Walker, Manager, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

- H.R. 1111 Federal Civilian and Uniformed Services Long-Term Care Insurance Act of 1999 - To amend title 5, United States Code, to provide for the establishment of a program under which long-term care insurance is made available to Federal employees and annuitants, and for other purposes.
- H.R. 4040 Long-Term Care Security Act to amend title 5, United States Code, to provide for the establishment of a program under which long-term care insurance is made available to Federal employees, members of the uniformed services, and civilian and military retirees, and for other purposes.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Angela Walker, Manager, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

25. General issue area code RET (one per page)

26. Specific lobbying issues

- H.R. 4747 Retirement Security Advice Act of 2000 to amend title 1 of the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to promote the provision of retirement investment advice to workers managing their retirement income assets.
- H.R. 1102 Comprehensive Retirement Security and Pension Reform Act. To provide for pension reform, and for other purposes.

27. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

28. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Angela Walker, Manager, Gov't Affairs		<input type="checkbox"/>
Thomas G. O'Hara, Vice President, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

29. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -Self-

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- H.R. 2705 Bill to amend IRC of 1986 to prevent avoidance of gain through swap funds - All provisions that may eliminate swap funds.
- H.R. 3905 Life Insurance Tax Simplification Act of 2000.

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kaye Williams, Vice President, Gov't Affairs		<input type="checkbox"/>
Thomas G. O'Hara, Vice President, Gov't Affairs		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

9. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Mark B. Grier Executive Vice President

Registrant Name The Prudential Insurance Company of America Client Name -self-

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Thomas G. O'Hara (effective 2/1/00)

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)**	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Mark B. Grier Date 8/11/00

Printed Name and Title Mark B. Grier Executive Vice President