

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

### FINANCIAL SERVICES ROUNDTABLE

2. Address:

1001 PENNSYLVANIA AVE, NW 500 SOUTH, WASHINGTON, DC 20004

3. Principal place of business (if different from line 2):

4. Contact Name: BRENDA K. BOWEN  
Telephone: 2022894322  
E-mail (optional): brenda@fsround.org

Senate ID #: 5290-12  
House ID #: 30821000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 3,220,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AGR (one per page)

16. Specific lobbying issues:

Farm Credit System, HR 2419, the Farm, Nutrition and Bienergy Act of 2007, HR 3160, 2007 Farm Bill

17. House(s) of Congress and Federal agencies contacted:

Farm Credit Administration  
HOUSE OF REPRESENTATIVES  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

Credit card/interchanges fees and practices, S. 1395, the Stop Unfair Practices in Credit Cards Act of 2007; Deposit Insurance Reform, H.R. 1066, the Depository Institution Community Development Investments Enhancement Act; CFIUS, H.R. 556, the National Security Foreign Investment Reform and Strengthened Transparency Act of 2007; GSE Reform, H.R. 1427; Federal Housing Finance Reform Act of 2007; S. 1100, Federal Housing Finance Reform Act; Student Loans, H.R. 890, the Student Loan

17. House(s) of Congress and Federal agencies contacted:

Farm Credit Administration  
Federal Deposit Insurance Commission (FDIC)  
Federal Housing Finance Board (FHFB)  
Federal Reserve System  
Government Accountability Office (GAO)  
HOUSE OF REPRESENTATIVES  
Housing & Urban Development, Dept of (HUD)  
Justice, Dept of (DOJ)  
Office of Personnel Management (OPM)  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Securities & Exchange Commission (SEC)  
Treasury, Dept of  
U.S. Trade Representative (USTR)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: DANIELS, IRVING  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: LUDGIN, PETER  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BNK (one per page)

16. Specific lobbying issues:

Student Loans, S. 1561 with respect to exceptions to discharge in bankruptcy for certain qualified educational loans; H.R. 2669, the College Cost Reduction Act; S. 1642, the Higher Education Amendments of 2007; S. 2136 Helping Families Save their Homes in Bankruptcy Act of 2007;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: BEGEY, PAUL

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Anti-Money Laundering, Regulator Relief, H.R. 2829; Financial Services and General Government Appropriations Act of 2008; H.R. 3013, the Attorney-Client Privilege Protection Act of 2007; S. 1348, the Comprehensive Immigration Reform Act of 2007; S. 1639, the Comprehensive Immigration Reform Act of 2007; H.R. 2638, FY 2008, Department of Homeland Security Appropriations Act of 2007 Farm Bill; S. 186, the Attorney-Client Privilege Protection Act of 2007; H.R. 323, the Seasoned Customer Exemption Act;

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Farm Credit Administration  
HOUSE OF REPRESENTATIVES  
Office of Thrift Supervision (OTS)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: DANIELS, IRVING  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Patent Reform, H.R. 1908, the Patent Reform Act of 2007; H.R. 2365, tax practitioners for infringement of a patent for tax bill; S. 1145, the Patent Reform Act of 2007.

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Federal Trade Commission (FTC)  
HOUSE OF REPRESENTATIVES  
Justice, Dept of (DOJ)  
Office of Management & Budget (OMB)  
Patent & Trademark Office (PTO)  
SENATE  
Treasury, Dept of  
U.S. Copyright Office  
U.S. Trade Representative (USTR)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CSP (one per page)

16. Specific lobbying issues:

Data Breach / ID Theft / Spyware, H.R. 1525, the Internet Spyware 9I-SPY) Prevention Act of 2007; H.R. 948, the Social Security Number Protection Act of 2007; H.R. 984, the "SPY Act of 2007; Non-prime/Anti-Predatory Lending; H.R. 526, Homeownership and Responsible Lending Act; H.R. 3012, the Fair Mortgage Practices Act; H. Con. Res. 28, S. 1299, Borrower Protection Act;

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Federal Reserve System  
Federal Trade Commission (FTC)  
HOUSE OF REPRESENTATIVES  
Office of Management & Budget (OMB)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Securities & Exchange Commission (SEC)  
Treasury, Dept of  
U.S. Trade Representative (USTR)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: LUDGIN, PETER  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DIS (one per page)

16. Specific lobbying issues:

Mega Catastrophes; Terrorism Risk Insurance Act; H.R. 2761, the Terrorism Risk Insurance Revision and Extension Act of 2007

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

Homeland Security, Dept of (DHS)

SENATE

Small Business Administration (SBA)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY

Covered Official Position (if applicable): N/A

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ECN (one per page)

16. Specific lobbying issues:

Mega Catastrophes

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
Housing & Urban Development, Dept of (HUD)  
Office of Thrift Supervision (OTS)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Significant Tax Issues: H.R. 6, the CLEAN Energy Act of 2007; H.R. 2776, the Renewable Energy and Energy Conservation Tax Act of 2007; Farm Credit System; H.R. 2419, the Farm, Nutrition , and Bioenergy Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: BEGEY, PAUL

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

AML/Regulatory Relief; H.R. 2829, Financial Services and General Government Appropriations Act of 2008; H.R. 3013, the Attorney-Client Privilege Protection Act of 2007; Credit Card/Interchange Fees; S. 1395, the Stop Unfair Practices in Credit Cards Act of 2007; Retirement Security/Annuities; S. 1725, the Restoring Pension Promises to Workers Act; S. 1782 Arbitration Fairness Act of 2007 and H.R. 3010

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Commodity Futures Trading Commission (CFTC)  
Council of Economic Advisers (CEA)  
Federal Deposit Insurance Commission (FDIC)  
Federal Housing Finance Board (FHFB)  
Federal Reserve System  
HOUSE OF REPRESENTATIVES  
Justice, Dept of (DOJ)  
Nat'l Economic Council (NEC)  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Securities & Exchange Commission (SEC)  
Transportation, Dept of (DOT)  
Treasury, Dept of  
U.S. Trade Representative (USTR)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: DANIELS, IRVING  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

GSE Reform; H.R. 1427, Federal Housing Finance Reform Act of 2007; H.R. 1427, the Federal Housing Finance Reform Act of 2007; Terrorism Insurance Reauthorization; H.R. 2761, the Terrorism Risk Insurance Revision and Extension Act of 2007; Flood Insurance; H.R. 1682; the Flood Insurance Reform and Modernization Act of 2007; H.R. 3121, the Flood Insurance Reform and Modernization Act of 2007; Social Security Verification; H.R. 948, the Social Security Number Protection Act of 2007;

17. House(s) of Congress and Federal agencies contacted:

Federal Reserve System  
HOUSE OF REPRESENTATIVES  
Housing & Urban Development, Dept of (HUD)  
Justice, Dept of (DOJ)  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

GSE Reform, H.R. 1427, Federal Housing Finance Reform Act of 2007, H.R. 1427, the Federal Housing Reform Act of 2007, H.R. 526, Homeownership and Responsible Lending Act, H.R. 3012, the Fair Mortgage Practices Act, H. Conn. Res. 28, S. 1299, Borrower Protection Act, H.R. 3121, the Flood Insurance Reform and Modernization Act of 2007, H.R. 1682, the Flood Insurance Reform and Modernization Act of 2007, FHA Reform, H.R. 1752, Expanding American Homeownership Act of 2007,

17. House(s) of Congress and Federal agencies contacted:

Federal Housing Finance Board (FHFB)  
Federal Reserve System  
HOUSE OF REPRESENTATIVES  
Homeland Security, Dept of (DHS)  
Housing & Urban Development, Dept of (HUD)  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Small Business Administration (SBA)  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

Immigration Reform/Matricula H-1B, S 1348, the Comprehensive Immigration Reform Act of 2007, S. 1639, the Comprehensive Immigration Reform Act of 2007, H.R. 2638, FY 2008 Department of Homeland Security Appropriations Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY

Covered Official Position (if applicable): N/A

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: BEGEY, PAUL

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

Optional Federal Charter, H.R. 3200, the National Insurance Act of 2007, S. 40, the National Insurance Act of 2007, Terrorism Insurance Reauthorization, H.R. 2761, the Terrorism Risk Insurance Revision and Extension Act of 2007, Flood Insurance, H.R. 1682, the Flood Insurance Reform and Modernization Act of 2007, H.R. 3121, the Flood Insurance Reform and Modernization Act of 2007, Deposit Insurance, H.R. 1066 the Depository Institution Community Development Investments Enhancement Act

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Federal Deposit Insurance Commission (FDIC)  
Federal Reserve System  
HOUSE OF REPRESENTATIVES  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Securities & Exchange Commission (SEC)  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: DANIELS, IRVING  
Covered Official Position (if applicable): N/A  
Name: LUDGIN, PETER  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Retirement Securities, S. 1725, the Restoring Pension Promises to Workers Act; H.R. 3185, the 401(k) Fair Disclosure for Retirement Security Act of 2007; H.R. 3363, Long-Term Care Affordability and Security Act of 2007, and S. 2337, Long-Term Care Affordability and Security Act of 2007;

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Council of Economic Advisers (CEA)  
Federal Deposit Insurance Commission (FDIC)  
Federal Reserve System  
HOUSE OF REPRESENTATIVES  
Justice, Dept of (DOJ)  
Nat'l Economic Council (NEC)  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

Data Breach / ID Teeth / Spyware, H.R. 1525, the Internet Spyware (I-SPY) Prevention Act of 2007, H.R. 948, the Social Security Number Protection Act of 2007, H.R. 984, the SPY Act of 2007, Patent Reform, H.R. 1908, the Patent Reform Act of 2007, H.R. 2365, tax practitioners for infringement of a patent for tax bill, S. 1145, the Patent Reform Act of 2007, H.R. 3046, the Social Security Number Privacy and Identity Theft Prevention Act of 2007

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Federal Trade Commission (FTC)  
HOUSE OF REPRESENTATIVES  
Justice, Dept of (DOJ)  
Office of Management & Budget (OMB)  
Patent & Trademark Office (PTO)  
SENATE  
Treasury, Dept of  
U.S. Copyright Office  
U.S. Trade Representative (USTR)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BEGEY, PAUL  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

Small Business Issues, H.R. 976, the Small Business Tax Relief Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Office of Thrift Supervision (OTS)

SENATE

Securities & Exchange Commission (SEC)

Small Business Administration (SBA)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: BEGEY, PAUL

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Significant Tax Issues: Subpart f/BAT/SILD, S. 940, Subpart F Exemption, H.R. 6, the CLEAN Energy Act of 2007, H.R. 976, the Small Business Tax Relief Act of 2007, H.R. 2834, Carried Interest Income Bill, H.R. 2776, the Renewable Energy and Energy Conservation Tax Act of 2007, H.R. 2365, tax practitioners for infringement of a patent for tax bill, H.R. 2, the Fair Minimum Wage Act of 2007, Small Business and Work Opportunity Act of 2007, H.R. 1591, the US Troop Readiness, Veteran's Health, and Iraq

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: BEGEY, PAUL

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

International Trade, H.R. 957, Amending the Iran Sanctions Act of 1996 to expand and clarify the entities against which sanctions may be imposed, H.R. 3688, the United States-Peru Trade Promotion Agreement Implementation Act; H.R. 3920, the Trade and Globalization Act of 2007; S. 1610 Foreign Investment & National Security Act of 2007 and H.R. 556 same act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARTLETT, STEVE

Covered Official Position (if applicable): N/A

Name: BEGEY, PAUL

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

Name: WHITING, RICHARD M.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: RICHARD M. WHITING, EXECUTIVE -

**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

Name: LONGBRAKE, BILL

Name: SANDEL, PETER

Name: SMITH, JENNIFER

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Feb 13, 2008

Printed Name and Title: -