

99 AUG -4 AM 11:33

LOBBYING REPORT H.O.

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Ivan Research Management Corp.			
2. Address <input type="checkbox"/> Check if different than previously reported 3380 Webster Ave., Pittsburgh, Pa., 15219-3975			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or County) _____			
4. Contact Name Marlene Y. Green	Telephone 412-683-8806	E-mail (optional) mgreen1389@aol.com	5. Senate ID # 20298-12
7. Client Name <input checked="" type="checkbox"/> Self Ivan Research Management Corp.			6. House ID #

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
 INCOME relating to lobbying activities for this reporting period was:
 Less than \$10,000
 \$10,000 or more ⇨ \$ NONE
Income (nearest \$20,000)

13. Organizations
 EXPENSES relating to lobbying activities for this reporting period were:
 Less than \$10,000
 \$10,000 or more ⇨ \$ NONE
Expenses (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.
 Method A. Reporting amounts using LDA definitions only
 Method B. Reporting amounts under section 6033(b)(5) of the Internal Revenue Code
 Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Marlene Y. Green
 Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HEALTH CARE REFORM BILL FEDERAL SUBSIDY NUMBER TWO including
THE FINAL REPORT
An emergency sub-subsidy - THE PARTIALLY HYDROGENATED FAT RESEARCH

6 (Reintroduced for the 106th Congress)

17. House(s) of Congress and Federal agencies contacted Check if None

E.P.A., ENVIRONMENTAL PROTECTION AGENCY
ATTN.: NANCY MORLEY
SENATOR ARLEN SPECTORS' OFFICE
For the emergency research - HEALTH, EDUCATION & LABOR APPRO. SUB-
COMMITTEE - 186 DIRKSEN
ATTN.: BETTY LOU TAYLOR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99
Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

The C.Y.S. & ADULT, FAMILY AND HOMELESS UPGRADE BILL

(Reintroduced for the 106th Congress)

17. House(s) of Congress and Federal agencies contacted Check if None
SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LAW (one per page)

16. Specific lobbying issues

Civil Case: MARLENE Y. GREEN VS MELLON BANK, N.A.

A SETTLEMENT ATTEMPT FOR MARLENE Y. GREEN VS MELLON BANK, N.A. 7-13-99

Case Number 95-8074

(Reintroduced for the 106th Congress - 8-2-99)

Answering Machine

17. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LAW (one per page)

16. Specific lobbying issues

CIVIL CASE: MARLENE Y. GREEN VS GENERAL MOTORS ACCEPTANCE CORP.
A SETTLEMENT ATTEMPT FOR MARLENE Y. GREEN VS GENERAL MOTORS
ACCEPTANCE CORP.
CASE NUMBER 93-7123

(Reintroduced for the 106th Congress - 8-2-99)
Answering Machine

17. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

5. General issue area code ART (one per page)

5. Specific lobbying issues

HISTORICAL LANDMARKS PRESERVATION; EDUCATION
HISTORICAL ARTS AND WORKS; CARNEGIE HISTORICAL MUSEUM

(Reintroduced for the 106th Congress - 3-15-99)

7. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

9. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99
Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

1. General issue area code NAT (one per page)

4. Specific lobbying issues

Civil Case: MARLENE Y. GREEN VS THE NATIONAL FOREST SERVICE & THE UNITED STATES GOVERNMENT
Case number 92-7615

(Reintroduced for the 106th Congress - 3-16-99)

7. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>NONE</u>	<u>NONE</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

9. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99
Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

1. General issue area code HCR (one per page)

2. Specific lobbying issues

The agreement for the "NATIONAL HEALTH CARE SUBSIDY" approved 11/91 & 12/91 by the Bush Administration for reapproval because of the material changes concerning the HEALTH CARE REFORM BILL FEDERAL SUBSIDY. THE MAG-LEV/MONO-RAIL TRANSPORTATION SUB-SUBSIDY is included.

(Reintroduced for the 106th Congress - 3-15-99)

3. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

4. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

5. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99
Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

3. General issue area code FOR (one per page)

4. Specific lobbying issues

THE BALFOUR BILL
"The Peace Process....."

(Reintroduced for the 106th Congress - 3-15-99)

7. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>NONE</u>	<u>NONE</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

9. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

THE NORTH ATLANTIC TREATY ORGANIZATION BILL
"The Peace Process....."

17. House(s) of Congress and Federal agencies contacted Check if None

SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99
Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CIV (one per page)

16. Specific lobbying issues

THE AMORITE, ANGEL, GENIE, ETC. BILL

17. House(s) of Congress and Federal agencies contacted

Check if None

SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>NONE</u>	<u>NONE</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LAW (one per page)

16. Specific lobbying issues

Civil Case: MARLENE Y. GREEN VS CHABAD JEWISH ORGANIZATION,
ISREAL JERU-SALEM (PARADISE PHILESTINE)
Civil case number 93-5173

17. House(s) of Congress and Federal agencies contacted Check if None
SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
NONE	NONE	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Marlene Y. Green* Date 8-4-99
Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

LENDING REVIEW BILL

17. House(s) of Congress and Federal agencies contacted

Check if None

SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>NONE</u>	<u>NONE</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Registrant Name Ivan Res. Mgmt. Corp. Client Name Ivan Research Management Corp.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues
RIGHT TO ACCESS; LOST IN THE LOBBY

17. House(s) of Congress and Federal agencies contacted Check if None
SENATOR ARLEN SPECTORS' OFFICE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>NONE</u>	<u>NONE</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Marlene Y. Green Date 8-4-99

Printed Name and Title Marlene Y. Green, Executive Director

Lawyer/Lobbyist - 8-4-99

The general information for lobby registration and instruction in conduct for "activity" that constitutes lobbying is the instruction and orientation from who ever is the employer or who the employer is taking instruction from, not the idea of the lobbyist. The lobbyist is just trying to qualify as a working salaried employee of the U.S. Government. These news articles are degrading and insulting innocent people. The Registration sheet number 1 at the bottom of the page is giving evidence someone is "bootlegging" these innocent people and they are being charged \$100.00 to do this; to be a middle person trying to persuade a state legislator for any legislation from any one, any organization, or any company. Is this a bribe?

I paid \$100.00 to the state department as the fee to register my chosen company name and to have a company which gives fringe benefits. I only receive and return forms, create and submit legislation from request hollared or requested on T.V., like from the President, 60 Minutes, articles of problems that keep appearing in the newspapers requesting help or work done.

The musician Al Hirt had a complaint I wrote it up and added it to the movie and music section of the Preservation Bill.

We (I) don't talk to people generally, but normally we get cleaned up of course and purchase an executive home; two of the prerequisites of high corporate after year-end is done which activates payroll. Arrive in Washington D.C. work and get to know the positions by which the legislative process is controlled.

LOBBYIST REGISTRATION STATEMENT/ AMENDED REGISTRATION STATEMENT

CHECK ONE: Registration Statement Amended Registration Statement

01 INDIVIDUAL OR FIRM IDENTIFICATION

Registration No.

Last Name

First Name

Middle Initial

02 PERMANENT BUSINESS ADDRESS

Street Address

03 FILING PERIOD

Session No.

City

State

Zip Code

Year

04 CONTACT INFORMATION

Daytime Telephone

Fax Number

Email Address

05 PRINCIPAL REPRESENTED

Name

Street Address

City

State

Zip Code

Daytime Telephone

Fax Number

Registration No.

06 AFFILIATED POLITICAL ACTION COMMITTEES

Name

Registration No.

Acronym

The undersigned acknowledges that he/she has received, read and understands the requirements and prohibitions of Sections 1304, 1305, and 1307 of the Lobbying Disclosure Act, 65 Pa. C.S. §§ 1304, 1305, and 1307, and Sections 1105(b)(6) and 1305(b)(7) of the Public Official and Employee Ethics Act, 65 Pa. C.S. §§ 1105(b)(6),(7). The registrant consents to receive service of notices, other official mailings or process at the registrant's addresses listed herein.

The undersigned hereby affirms that the information set forth above and in all attachments is true and correct to the best of said person's knowledge, information and belief, said affirmation being made subject to 18 Pa. C.S. § 4904 (oathsworn falsification to authorities).

Signature

Date

All Registration Statements are available for public inspection and copying.



APPLICATION TO REGISTER AND REPORT ELECTRONICALLY

01 INDIVIDUAL OR FIRM IDENTIFICATION

Last Name _____ First Name _____ Middle Initial _____
Firm Name _____

02 IDENTIFICATION OF AUTHORIZED SIGNATORY (IF NO. 01 ABOVE IS A FIRM)

Last Name _____ First Name _____ Middle Initial _____

03 PERMANENT BUSINESS ADDRESS

Street Address _____

City _____

State _____

Zip Code _____

04 CONTACT INFORMATION

Daytime Telephone _____

Fax Number _____

Email Address _____

The undersigned hereby acknowledges and agrees as follows.

This application is submitted as a request for the issuance of a digital signature for the purpose of electronic filing pursuant to the Lobbying Disclosure Act 65 Pa. C.S. §1301 et seq. and the rules and regulations of the State Ethics Commission.

The utilization of such digital signature for the purpose of filing a quarterly expense report, separate quarterly expense report, termination report, separate termination report, or amendment to any of the foregoing shall constitute the filer's signature under oath or affirmation subject to 18 Pa. C.S. §4902 (relating to perjury).

The substitution of such digital signature for the purpose of filing a registration statement, a notice of termination, or amendments to either, statements of financial knowledge, or a lobbyist affirmation of a principal's expense report shall constitute the filer's signature as an affirmation subject to 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities) that the information provided in the statement is true and correct to the best of the filer's information and belief.

An affidavit as to the inability or refusal of a lobbyist to sign a report cannot be filed electronically. Additionally, such affidavits must be sworn to before a notary or other officer authorized by the laws of the Commonwealth of Pennsylvania to administer oaths. The Uniform Acknowledgment Act, 21 P.S. §2911 et seq., sets forth the requirements for acknowledgments taken within Pennsylvania, within other states, and without the United States.

Signature _____

Date _____

Not available as a public document.



SEC1300B

DATE: July 23, 1999

SUBJECT: Lobbying Disclosure Forms

TO: Lobbyists/Principals

FROM: John J. Contino
Executive Director
State Ethics Commission
309 Finance Building
PO Box 11470
Harrisburg, PA 17108-1470

Enclosed you will find a Lobbyist Registration Statement/Amended Registration Statement and relevant instructions which will enable you to register as a Lobbyist with our office pursuant to the Lobbying Disclosure Act, 65 Pa.C.S., §1301 et seq. Please note electronic filing will not be available for the August 1 deadline. The Commission will publish notices when this process is available.

REGISTRATION

INSTRUCTIONS FOR LOBBYIST REGISTRATION STATEMENTS, PRINCIPAL REGISTRATION STATEMENTS AND AMENDED REGISTRATION STATEMENTS

GENERAL INFORMATION

Persons Required to File Registration Statements:

Lobbyist: Unless exempt under Section 1306 of the Lobbying Disclosure Act (see, "Exemptions," *infra*), a lobbyist must file a lobbyist registration statement, form SEC-1301, with the Pennsylvania State Ethics Commission ("Commission") within ten days of acting in any capacity as a lobbyist. Accepting an engagement to lobby or engaging in lobbying constitutes acting in the capacity of a lobbyist. The term "lobbyist" includes any individual, firm, association, corporation, partnership, business trust or business entity that engages in lobbying on behalf of a principal for economic consideration. The term includes an attorney who engages in lobbying. The term does not include an individual who receives economic consideration which is de minimis (insignificant). The lobbyist must file a separate registration statement for each principal represented.

Principal: Unless exempt under Section 1306 of the Lobbying Disclosure Act (see, "Exemptions," *infra*), a principal must file a principal registration statement, form SEC-1300, with the Commission within ten days of acting in any capacity as a principal. Engaging a lobbyist for lobbying purposes or engaging in lobbying on the principal's own behalf constitutes acting in the capacity of a principal. The term "principal" includes any individual, firm, association, corporation, partnership, business trust or business entity on whose behalf a lobbyist influences or attempts to influence an administrative action or a legislative action or that engages in lobbying on the principal's own behalf. A principal that engages in lobbying on its own behalf need only register as a principal.

Note: Membership in an association alone is not sufficient to make an association member a lobbyist or principal.

Economic consideration is defined as anything of value offered or received.

Activity that Constitutes Lobbying: Lobbying is defined as an effort to influence legislative action or administrative action. The term includes:

1. Providing any gift, entertainment, meal, transportation or lodging to a state official or employee for the purpose of advancing the interest of the lobbyist or principal;

2. Any effort, whether written, oral or by any other medium, made by a lobbyist or principal, directed to a state official or employee, the purpose or foreseeable effect of which is to influence legislative action or administrative action; and
3. Any effort, whether written, oral or by any other medium, to encourage others, including the general public, to take action, the purpose or foreseeable effect of which is to directly influence legislative action or administrative action. Examples include letter-writing campaigns, mailings, telephone banks, print and electronic media advertising, billboards, publications and educational campaigns on public issues. However, regularly published periodic newsletters that are primarily designed for and distributed to members of a bona fide association or charitable or fraternal nonprofit corporation are not included.

Registration Statement Filing Period: Lobbyist and principal registration statements must be filed with the Pennsylvania State Ethics Commission (Commission) within ten (10) days of acting in any capacity as a lobbyist or principal.

Registration Frequency: Lobbyists and principals must register on a biennial basis within registration periods that coincide with the terms of the Members of the House of Representatives. Registration may occur at any point in time during the two (2) year legislative cycle, however, such filing must still be within ten (10) days of acting in any capacity as a lobbyist or a principal. Unless the filer terminates the registration statement (see, "Termination," *infra*), it remains in effect from the date of filing through the final day of the registration period in which it was filed.

IMPORTANT: The initial registration period commences on August 1, 1999, and continues through November 30, 2000. Thereafter, registration periods commence December 1 of each even-numbered year and continue through November 30 of the following even-numbered year.

Filing Location: The Pennsylvania State Ethics Commission is the only authorized filing location for filings under the Lobbying Disclosure Act. The location for hand-delivered filings is:

Pennsylvania State Ethics Commission
309 Finance Building
Commonwealth Avenue & North Street
Harrisburg, PA 17120

Filings may be mailed to the following address:

Pennsylvania State Ethics Commission
309 Finance Building
Post Office Box 11470
Harrisburg, PA 17108-1470

Subject to certain conditions noted below, filings may be FAXed. For FAXed filings, use either of the following FAX telephone numbers: (717) 705-3959; (717) 705-3960.

For electronic filing, log-on to the State Ethics Commission's web site at <http://www.ethics.state.pa.us> and click on the link for electronic filing of Lobbying Disclosure Act forms. Follow the instructions provided.

Questions regarding the Lobbying Disclosure Act and all required filings may be directed to the Commission at the above addresses or at the following telephone numbers or E-Mail address:

(717) 783-1610 or (800) 932-0936
e-mail: ethics@state.pa.us

Filing Methods: Registration statements and reports may be filed by the following methods: mail/delivery of original hard copy; facsimile transmission (FAX); or electronic filing. FAX filed statements are deemed filed on the date of receipt by the Commission so long as the Commission receives the original signed statement, the filing fee and picture (if applicable) within five (5) business days thereafter.

Note: Hard copy filings must be received by 5:00 p.m. on the due date; FAXed or electronic filings must be received by 11:59 p.m. on the due date.

Biennial Filing Fee: A biennial filing fee of one hundred dollars (\$100) must be tendered to the Commission with the filing of the principal's or lobbyist's first registration statement in each registration period. A registration statement will not be deemed filed until the fee is received by the Commission subject to the five (5) day period for filing by FAX. A registrant is required to pay only one such fee in any given biennial registration period. The fee is nonrefundable and nontransferable.

Photograph: Each lobbyist who is an individual, as opposed to an entity, must submit, with the registration statement, a photograph of himself/herself. The photograph must be approximately 2" x 2" (passport size) and of reasonable clarity, and must have been taken within two (2) years of the date of registration. The photograph should be submitted with the registration form when filed or within five (5) days thereafter, if filing electronically or by FAX.

Parent Corporations and Subsidiaries: A parent corporation and its subsidiaries may register and report under the act on a consolidated basis if they meet the eligibility standards of the Internal Revenue Service for filing a consolidated corporate tax return. However, such consolidated filings must disclose with particularity all of the required information as to the parent corporation and the subsidiaries.

Exemptions: The following individuals, entities and activities are exempt from registration and

reporting:

1. An individual who limits lobbying activities to preparing testimony and testifying before a committee of the legislature or participating in an administrative proceeding of an agency.
2. An individual who does not receive any compensation, other than traveling expenses, for lobbying. For the purpose of determining exemption, the term traveling expenses means reasonable and necessary expenses for transportation, meals, beverages and lodging.
3. An individual whose compensation for lobbying, from all principals represented, does not exceed \$2,500 in the aggregate during any reporting period.
4. An individual who engages in lobbying on behalf of the individual's employer if lobbying activities represents less than the equivalent of \$2,500 of the employee's time during any reporting period, based upon an hourly proration of the employee's compensation.
5. An elected state officer acting in an official capacity.
6. A state executive officer appointed by the Governor acting in an official capacity.
7. An elected or appointed official or employee of a political subdivision acting in an official capacity. Political subdivision is defined as:

"Political subdivision." Any county, city, borough, incorporated town, township, school district, vocational school, county institution district, and any authority, entity or body organized by the aforementioned. 65 Pa.C.S. §1102.
8. An employee of the Commonwealth or an employee or official of an independent agency of the Commonwealth acting in an official capacity.
9. An individual representing a bona fide church of which the individual is a member and the purpose of the lobbying is solely for the purpose of protecting the constitutional right to the free exercise of religion.
10. An employee, who is not a registered lobbyist, of a corporation which:
 - a. is registered as a principal under section 1304;
 - b. has one or more registered lobbyists; and
 - c. includes in its reports under section 1305 all of the employee's expenses related to

lobbying.

11. An individual who is an employe of an entity engaged in the business of publishing, broadcasting or televising while engaged in the gathering and dissemination of news and comment thereon to the general public in the ordinary course of business.
12. Any principal whose total expenses for lobbying purposes do not exceed \$2,500 during any reporting period.
 - a. In the event that a principal who has not registered as such, based upon a good faith determination that the threshold will not be reached, later exceeds the threshold, such principal must register within ten (10) days of reaching the noted threshold.

Affirmations and Acknowledgments: Lobbyist and principal registrations must be signed subject to 18 Pa. C.S. §4904 (unsworn falsifications to authorities) that the information provided is true and correct to the best of the filer's knowledge, information and belief.

Service of Process: Each registration statement contains a consent on the part of the registrant to receive service of notices and process at the registered address(es).

The lobbyist and principal registration statements also contain an acknowledgment that the registrant has received, read and understands Sections 1304, 1305 and 1307 of the Lobbying Disclosure Act (relating to registration, reporting and prohibited activities) and Sections 1105(b)(6) and (7) of the Ethics Act (relating to Statement of Financial Interests).

**INSTRUCTIONS FOR COMPLETING
LOBBYIST REGISTRATION OR
AMENDED REGISTRATION STATEMENT**

Lobbyists must use SEC Form 1301 to file or amend a registration statement. Please check the box indicating whether the form is being filed as an initial registration statement or an amended registration statement.

All information must be indicated either in type-written form or printed in blue or black ink. Do not use pencil.

01. List the name of the individual/firm registering. Do not use nicknames.
02. Include, at a minimum, the permanent business mailing address. You may, at your option, also include the street address, if different. A registrant which does not supply a street address shall be deemed to have waived personal service where such service is required by law.
03. Indicate the Legislative Session as well as the calendar years for which the registration will be applicable.
04. List a telephone number where you may be reached during the day. The registrant may also include a FAX number and e-mail address. If the registrant is a firm, please list the identity of the individual who will be the contact for the firm.
05. List the name of the principal represented, whether an individual, business or firm. List required address information. **NOTE: A SEPARATE REGISTRATION STATEMENT MUST BE FILED BY A LOBBYIST FOR EACH PRINCIPAL REPRESENTED. ONLY ONE PRINCIPAL MAY BE IDENTIFIED PER REGISTRATION STATEMENT.**
06. List required information for any affiliated political action committee. An affiliated political action committee is defined as:

"Affiliated political action committee." A "political action committee" as defined in section 1621(l) of the Act of June 3, 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code, which has a chairman, a treasurer or another officer who is a principal, an employee of a principal, a lobbyist or an employee of a lobbyist; provided, if an employee of a registrant serves as the officer of a political action committee in what is clearly a personal capacity, and the goals and mission of that political action committee clearly have no relationship to the goals

and mission of the registrant, such political action committee shall not be considered an affiliated political action committee for the purposes of this definition.

Registration numbers for affiliated political action committees are those registration numbers assigned by the Pennsylvania Department of State, Bureau of Elections.

Amended Registration Statements: An amended registration statement must be filed by a lobbyist if there is any change in relation to the registrant's name, address, telephone number, affiliated political action committees, or if there is any change to the name, address or telephone number of the principal represented. However, a change to the actual identity of the principal represented requires a new registration statement.

Amended Registration Statement Filing Deadline: An amended registration statement must be filed by a lobbyist within fourteen (14) days after the change occurs.

Form: Amended registration statements shall be made on SEC Form 1301. The filer should check the amended registration box.