

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

01 FEB 12 AM 10:53

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Joseph E. Seagram & Sons, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1401 Eye Street, NW Suite 1220			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country): DC 20005			
4. Contact Name Stephen Heller	Telephone 202-898-6430	E-mail (optional)	5. Senate ID # 34752-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 3162500		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>540,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code*

Signature Stephen Heller

Printed Name and Title Stephen Heller Associate, Government Affairs

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*Pursuant to Section 162 (e), this figure includes amounts spent by the company for certain lobbying activities involving state policy.

Registrant Name Joseph E. Scaram & Sons, Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ALC (one per page)

16. Specific lobbying issues

Office of National Drug Control Policy Appropriations

Distilled Spirits product information

Drunk driving/transportation issues

17. House(s) of Congress and Federal Agencies contacted

Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Matthew Godlewski		<input type="checkbox"/>
Stephen Heller		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____

Date February 8, 2001

Printed Name and Title Stephen Heller Associate, Government Affairs

Registrant Name Joseph E. Seagram & Sons, Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues

H.R. 2314 To Amend the Clean Air Act with regard to emissions from aging warehouses

17. House(s) of Congress and Federal Agencies contacted

Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Matthew Godlewski		<input type="checkbox"/>
Stephen Heller		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____

Date February 8, 2001

Printed Name and Title Stephen Heller Associate, Government Affairs

Registrant Name Joseph E. Seagram & Sons, Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

S. 434 - Distilled Spirits Tax Simplification Act

H. R. 716 - Distilled Spirits Tax Simplification Act

Simplify Taxes on alcohol used in manufacture of non-beverage products (drawback) - no specific bill

17. House(s) of Congress and Federal Agencies contacted

Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)	New
Matthew Godlewski		<input type="checkbox"/>
Stephen Heller		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____

Date February 8, 2001

Printed Name and Title Stephen Heller, Associate, Government Affairs

Registrant Name Joseph E. Seagram & Sons, Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

H. R. 4171 - Regulation of Distilled Spirits as a hazardous material for transportation

17. House(s) of Congress and Federal Agencies contacted

Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Matthew Godlewski</u>		<input type="checkbox"/>
<u>Stephen Heller</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____

Date February 8, 2001

Printed Name and Title Stephen Heller, Associate, Government Affairs

Registrant Name Joseph E. Seagram & Sons, Inc.

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

H.R. 4444 - To authorize extension of nondiscriminatory treatment (normal trade relations treatment) to the People's Republic of China.

China: Import tariffs and distribution rights. APEC: regional tariff, tax and other barriers to distilled spirits; Chile: WTO complaint against liquor tax discrimination; Latin America: regional tariff, tax and other barriers to distilled spirits; Russia: removal of restrictions on imported distilled spirits; WTO: tariff elimination for spirits in agricultural market access negotiations; WTO geographical designations for distinctive distilled spirits; U.S. customs and administrative requirements for imported beverage alcohol products.

17. House(s) of Congress and Federal Agencies contacted Check if None

House Department of Commerce

Senate

Department of Treasury

Department of Agriculture

U. S. Customs Service

Bureau of Alcohol, Tobacco, and Firearms

Office of U.S. Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Bennett Caplan		<input type="checkbox"/>
Matthew Godlewski		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date February 8, 2001

Printed Name and Title Stephen Heller, Associate, Government Affairs

Registrant Name Joseph E. Seagram & Sons, Inc.

Client Name Self

Information Update Page -- Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organizations(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution if more than \$10,000	Ownership percentage in client
Vivendi Universal	42 Avenue de Friedland 75380	Paris, France		100% - Indirect

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization.

Seagram Company Ltd.

Signature

Date February 8, 2001

Printed Name and Title Stephen Heller, Associate, Government Affairs

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