

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY
04 APR 13

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The Nasdaq Stock Market			
2. Address <input type="checkbox"/> Check if different than previously reported 1801 K Street, NW, Washington, DC 20006			
3. Principal Place of Business (if different from line 2) City: _____ State/zip (or Country) _____			
4. Contact Name James L. Johnson, Jr.	Telephone (202) 912-3060	E-mail (optional) jj.johnson@nasdaq.com	5. Senate ID # 27115-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3026800

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec)

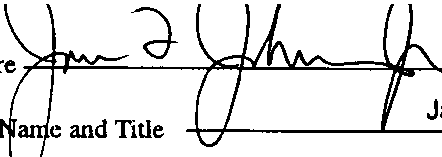
9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>\$640,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 602 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature  Date 2/11/04
Printed Name and Title James L. Johnson, Jr. Vice President
LD-2 (REV 4/03) PAGE 1

Registrant Name The Nasdaq Stock Market Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific lobbying issues

Accounting treatment of stock options

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives
US Senate
US Securities and Exchange Commission
Financial Accounting Standards Board
US Treasury Department
US Commerce Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
James L. Johnson, Jr.	
Terry Campbell	
Stephen Storch	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

[Handwritten signatures]

211/154

Signature [Handwritten Signature] Date 5/11/21

Printed Name and Title James L. Johnson, Jr., Vice President

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Registrant Name The Nasdaq Stock Market Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

1. Approval by the Securities and Exchange Commission of NASDAQ's Exchange Application
2. Reform of Intermarket Trading System (ITS) rules to increase competition for trading of NYSE-listed stocks
3. Securities Market Structure
4. Database Protection Legislation
5. Accounting Treatment of Stock Options
6. Corporate Governance Issues
7. NASDAQ's dual listing program for securities with primary listing at the NYSE

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives
US Senate
US Securities and Exchange Commission
The White House
US Treasury Department
US Commerce Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
James L. Johnson, Jr.	
Terry Campbell	
Stephen Storch	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

[Handwritten signatures]

Signature [Signature] Date 2/11/21

Printed Name and Title James L. Johnson Jr., Vice President

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Registrant Name The Nasdaq Stock Market Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Stephen Storch

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature [Handwritten Signature] Date 2/11/01

Printed Name and Title James L. Johnson, Jr., Vice President

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