

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF T
02 FEB 26

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name				THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK (Commonly Known as "Columbia University")	
2. Address				<input type="checkbox"/> Check if different than previously reported	
535 West 116th Street, Room 301 Low, MC: 4319					
3. Principal Place of Business (if different from line 2)					
City: New York, NY				State/Zip (or Country) 10027	
4. Contact Name		Telephone		E-mail (optional)	
Ellen S. Smith		212-854-3394		ess9@columbia.edu	
5. Senate ID #				385812	
7. Client Name				<input type="checkbox"/> Self	
6. House ID #				3088700	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ 340,000
Income (nearest \$20,000)	Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definit
	<input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature

Printed Name and Title



Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrar lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code BUD

(Cont.)

16. Specific lobbying issues

H.R.2330 / S.1191 – Agriculture Appropriations for FY2002

H.R. 2500 / S.1215 – Commerce / State / Justice Appropriations for FY2002

H.R. 3338 – Defense Appropriations for FY2002

H.R. 2311 / S.1171 – Energy and Water Appropriations for FY2002

Issues followed include all annual funding legislation for science and education, science and education related tax bill and health center funding.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

White House Office of Management and Budget

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relati
Susan Long	Assistant Director of Governm Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

☒ Check if None

Signature _____

Date _____

Printed Name and Title _____

Ellen S. Smith, Assistant Vice President



Registrant Name Columbia University

Client Name Self

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R. 2506 – Foreign Operations Appropriations FY2002

H.R. 2217 – Interior Appropriations for FY2002

H.R. 3061 / S.1536 – Labor / HHS / Education Appropriations for FY2002

H.R. 2620 / S.1216 – VA / HUD Appropriations for FY2002

Issues followed include all annual funding legislation for science and education, science and education related tax bill and health center funding.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

White House Office of Management and Budget

U.S. Senate

U.S. House of Representatives

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15. General issue area code CPT (one per page)

16. Specific lobbying issues

S.487 – Technology, Education and Copyright Harmonization Act

H.R. 1349 – To Repeal 50% Limitation on Courses Offered Through Telecommunications

H.R. 1992 – To Expand Opportunities for Higher Education Via Telecommunications

H.R. 614 – Copyright Technical Corrections Act

H.R. 2100 – 21st Century Distance Learning Enhancement Act

H.R. 3482 – Cyber Security Enhancement Act

Issues related to databases in the digital environment and distance education.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

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15. General issue area code EDU

(Cont.)

16. Specific lobbying issues

H.R. 1 – No Child Left Behind Act

H.R. 100 – National Science Education Act

H.R. 1858 – National Math and Science Partnerships Act

S. 133 – To Make Section 127 of IRC Permanent

H.R. 3061 / S. 1536 – Fiscal Year 2002 Labor / HHS / Education Appropriations Legislation

S. 461 – Math and Science Partnerships

S. 648 – Programs for Math and Science Teachers

All higher education and student financial aid issues

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

Department of Education

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15. General issue area code EDU (Cont.)

16. Specific lobbying issues

H.R.436 / S.152 – To Eliminate the 60 Month Limit on the Student Loan Interest Deduction and Increase the Income Li

H.R.641 / S.338 – To Combat Illegal Sports Gambling

S.718 – Amateur Sports Integrity Act

S.399 / H.R.471 / S.733 – Bills Related to Fire Safety on Campuses

S.516 / H.R.1072 – To Provide a Credit Against Income Tax for Higher Education Loan Interest Payments

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

Department of Education

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

H.R.342 – To Provide Full Tax Deduction for Higher Education Expenses

H.R.401 – To Require Institutions of Higher Education to Notify Parents Concerning Missing Persons Reports

H.R.589 – To Provide Full Funding of the Pell Grant Program

H.R.786 – To Repeal the Provision Prohibiting Persons Convicted of Drug Offenses from Receiving Student Aid

H.R.1162 – 21st Century Higher Education Act

H.R. 2781 – Access to Student Loans Act

All issues related to the taxation and regulation of higher education and student financial aid.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

Department of Education

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15. General issue area code HCR

(Cont.)

16. Specific lobbying issues

S.Res.19 – The Federal Investment in Biomedical Research Should be Increased

S.824 – To Establish an Informatics Grant Program for Hospitals

H.R.1604 / S.776 – The Medicaid Safety Net Improvement Act of 2001

H.R.854 / S.572 – The Medicaid Safety Net Hospital Continued Preservation Act of 2001

S.743 – The Medical Education Trust Fund Act of 2001

S. Res.19 – A Resolution to Express the Sense of the Senate that the Federal Investment in Biomedical Research Should

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code HCR

(Cont.)

16. Specific lobbying issues

H.R.1556 – The American Hospital Preservation Act of 2001

H.R.2505 – The Human Cloning Prohibition Act

H.R.2172 – Cloning Prohibition Act

S.790 / H.R.1644 / H.R.1608 / H.R.1260 / S.704 / H.R.1372 – Bills to Ban Cloning

H.R.2059 / S.723 – To Amend the Public Health Service Act to Provide for Human Embryonic Stem Cell Generation and

H.R.526 / H.R.2315 / S.6 / S.889 / S.1052 – Patients Bill of Rights

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code HCR

(Cont.)

16. Specific lobbying issues

H.R.2096 – Responsible Stem Cell Research Act of 2001

S.824 – Medical Errors Reduction Act

S.838 – Best Pharmaceutical for Children Act

H.R.967 – Access to Cancer Clinical Trials Act

H.R.1771 – Antimicrobial Resistance Prevention Act

Issues related to West Nile Virus, Autism and other disorders.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R.2340 – Patient Safety and Health Care Whistleblower Protection Act

S.450 – To Amend the Gramm-Leach-Bliley Act to Provide for Enhanced Protection of Non Public Personal Information

S.1055 – To Require the Consent of an Individual prior to the Sale and Marketing of such Individuals Personally Identifiable Information

H.R.3061 / S.1536 – Labor / HHS / Education Appropriations for FY 2002

H.R.1928 – Medicare Critical Need GME Protection Act

S.135 – Direct Graduate Medical Education Improvement Act

Issues related to academic health centers and bioterrorism.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code IMM

(Cont.)

16. Specific lobbying issues

H.R.3002 – Establishment of Non Immigrant Student Tracking System

H.R.3033 – Foreign Student Database Funding Act

H.R.3077 / S.1518 – Visa Integrity and Security Act

H.R.3162 – USA Patriot Act

Issues related to international students.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

Legislation to repeal the Coordinated Interagency Partnership Regulating International Students
H.R.3221 / H.R.3181 – Temporary Moratorium on Foreign Students
H.R.3525 / S.1749 / S.1618 – Enhanced Border Security and Visa Entry Reform Act

Issues related to international students.

17. House(s) of Congress and Federal agencies contacted

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U.S. Senate

U.S. House of Representatives

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15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R.1604 / S.776 – The Medicaid Safety Net Improvement Act of 2001

H.R.854 /S.572 – The Medicaid Safety Net Hospital Continued Preservation Act of 2001

S.743 – The Medical Education Trust Fund Act of 2001

S.357 – A Bill to Amend the Social Security Act to Preserve and Improved the Medicare Program

Issues related to academic health centers.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code SCI

(Cont.)

16. Specific lobbying issues

S.1191 /H.R.2330 – Agriculture Appropriations for FY2002

S.1215 /H.R.2500 – Commerce/Justice/State Appropriations for FY2002

S.1171 /H.R.2311 – Energy and Water Appropriations for FY2002

H.R.2506 – Foreign Operations for FY2002

H.R.2217 – Interior Appropriations for FY2002

Issues related to science, research, and bioterrorism.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate / U.S. House of Representatives

Department of Agriculture

Department of Energy

Department of Commerce

White House Office of Science and Technology Policy

NASA

USAID

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15. General issue area code SCI

(Cont.)

16. Specific lobbying issues

H.R.3061 / S.1536 – Labor / HHS / Education Appropriations for FY2002

S.1216 / H.R.2620 – VA / HUD Appropriations for FY2002

H.R.2587 – Energy Advancement and Conservation Act

H.R.2460 – Science Committee Energy Authorization Bill

H.R.100 – National Science Education Act

H.R.2460 – Comprehensive Energy Research and Technology Act

S.1416 / H.R.2586 – National Defense Authorization Act for FY2002

Issues related to science, research, and bioterrorism.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate / U.S. House of Representatives

Department of Agriculture

Department of Energy

Department of Commerce

White House Office of Science and Technology Policy

NASA

USAID

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15. General issue area code SCI (Cont.)

16. Specific lobbying issues

H.R.1707 – Satellite Trade and Security Act

H.R.3162 – USA Patriot Act

S.1715 / H.R.3310 – To Improve the Ability of the U.S. to Prepare for a Biological Threat or Attack

S.1661 – Deadly Biological Agent Control Act

S.1705 – Homeland Security Academic Centers

Issues related to science, research, and bioterrorism.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate / U.S. House of Representatives

Department of Agriculture

Department of Energy

Department of Commerce

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

S.1486 / H.R.3242 – Biological Weapons Preparedness Act
S.15201 / H.R.3153 – State Bioterrorism Preparedness Act
H.R.3394 – Cyber Security Research and Development Act

Issues related to science, research, and bioterrorism.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate / U.S. House of Representatives

Department of Agriculture

Department of Energy

Department of Commerce

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R.2511 – The Energy Tax Policy of 2001

H.R.3 – Economic Growth and Tax Relief Act of 2001

H.R.10 – Comprehensive Retirement Security and Pension Reform Act

S.205 – IRA Charitable Rollover

H.R.7 – Community Solutions Act

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

USAID

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Susan Long	Assistant Director of Governme Relations

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Signature



Date

2/13/02

Printed Name and Title

