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04 FEB 17 PM 12: 23

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

			<u></u>
1. Registrant Name			
Washington Council Ernst & Yo	ung		
 Registrant Address		Suite 601 DC 20036 USA	
3. Principal Place of Business (if different from	om line 2)		
City	State/Zip (or Country)		
4. Contact Name Bruce Gates	•	il (optional) ee.gates@wc.ey.com	5. Senate ID # 57475-1231
7. Client Name Self Securities Industry Association			6. House ID # 35185075
INCOME OR EXPENSE	S - Complete Either		
12. Lobbying Fi	rms	13. Organiza	tions
INCOME relating to lobbying activi period was:	ties for this reporting	EXPENSES relating to lobbying active period were:	vities for this rep
Less than \$10,000 □		Less than \$10,000	
\$10,000 or more 🔼 // \$	50,000.00 ome (nearest \$20,000)	\$10,000 or more	Expenses (nearest \$2
Provide a good faith estimate, rounde	ed to the nearest	14. REPORTING METHOD. Check accounting method. See instructions for	
\$20,000 of all lobbying related incon (including all payments to the registr	ant by any other entity	☐ Method A. Reporting amounts us	sing LDA definit
for lobbying activities on behalf of th	e client).	☐ Method B. Reporting amounts un the Internal Revenue	
	1 1 1	☐ Method C. Reporting amounts ur Internal Revenue Cod	
	7/ 1/ //		

Filing #592dfb66-fe90-48ff-bb23-7f3eb7dc12d7 - Page 1 of 14

Signature	much 188	Date <u>2/4/2004</u>	
Signature	0		
Printed Name and Title	Bruce Gates - Partner	F	⁾ a

Regi	strant Name:	Washington Council Ernst & Young	
Clien	nt Name:	Securities Industry Association	
enga	ged in lobbyin	IVITY. Select as many codes as necessarg on behalf of the client during the reportinested. Attach additional page(s) as needed	y to reflect the general issue areas in which the registranting period. Using a separate page for each code, provide it.
15.	General issue	area code FIN (one per page)	
16.	tax issues relainvestment as market struc spam deposit insur social securit privacy	ating to the financial services industry; dvice ture/ market data ance reform	corporate accountability
17.	Department	fice of the President	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Bazel, Laure	n	
	Del Monte, F	Brent	
	Doney, John		
	Garrett-Nels	on, LaBrenda	
	Gasper, Gar	у	
	Gates, Bruce	,	
	Giordano, N	ick	
19.	Interest of ea	ch foreign entity in the specific issues liste	ed on line 16 above

Signature		 Date	2/4/2004	
Printed Name and Title	Bruce Gates - Partner	<u></u>		Pa

Registrant Name: Washington Council Ernst & Young

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	Tax & Trade Counsel, Office of Rep. Robert Matsui
18c	New Lobbyist	No
18a	Lobbyist Name	Koch, Cathy
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No

Reg	istrant Name:	Washington Council Ernst & Young	
Clie	nt Name:	Securities Industry Association	
enga	iged in lobbyin		y to reflect the general issue areas in which the registranting period. Using a separate page for each code, provide l.
15.	General issue	area code RET (one per page)	
16.	•	al security, pension issues	
17.	Department	fice of the President	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Bazel, Laure	n	
	Del Monte, I	Brent	
	Doney, John		
	Garrett-Nels	on, LaBrenda	
	Gasper, Gar	y	
	Gates, Bruce		
	Giordano, N	ick	
19.	Interest of ea	ch foreign entity in the specific issues liste	d on line 16 above Check if None

Signature		 Date .	2/4/2004	
•	Bruce Gates - Partner			_ Pa

Registrant Name: Washington Council Ernst & Young

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	Tax & Trade Counsel, Office of Rep. Robert Matsui
18c	New Lobbyist	No
18a	Lobbyist Name	Koch, Cathy
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	~ 1
18c	New Lobbyist	No

Reg	istrant Name:	Washington Council Ernst & Young	
Clie	nt Name:	Securities Industry Association	
enga	aged in lobbyin		y to reflect the general issue areas in which the registrant ing period. Using a separate page for each code, provided.
15.	General issue	e area code TAX (one per page)	
16.		issues lating to the financial services industry at of dividends fit plans ninimum tax	
	HR 10		
17.	Department	ffice of the President	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Bazel, Laure	en	
	Del Monte, B	3rent	
	Doney, John		
	Garrett-Nels	son, LaBrenda	
	Gasper, Gar	у	
	Gates, Bruce	2	
	Goodwin, Je	ffrey L.	
19.	Interest of each	ch foreign entity in the specific issues liste	d on line 16 above 🔀 Check if None

4/4/4004

Signature		Date	Z/4/ZUU4	
Printed Name and Title	Bruce Gates - Partner			Pa

Registrant Name: Washington Council Ernst & Young

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18a	Lobbyist Name	Meltzer, Richard
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18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
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18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No