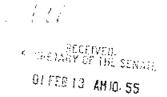
Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Clerk of the House of Representatives
Office of Public Records
232 Hart Building
Washington, DC 20510



LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

I. Régistrant Nause	
Caterpillar Inc.	
2. Address Check if different thus previously reported	
100 NE Adams Street 3. Principal Place of Business (if different from line 2)	42 24 44 mm mm m m m m m m m m m m m m m
	to (or Country) II. 61629-1430
· · · · · · · · · · · · · · · · · · ·	E-mail (optional) 5. Servate ID #
Douglas P. Crew (309) 675-5248	crew_douglas_p@CAT.com 8475-12
7. Client Name Sat Soif	6. House 1D# 31319000
9. Check if this filing amends a previously filed version of this 10. Check if this is a Termination Report □ ⇒ Termination	Date11. No Lobbying Activity C
INCOME OR EXPENSES - Complete Either	Line 12 OR Line 13
12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 🔲	Less than \$10,000 🗋
	\$10,000 or more (\$200,000.00
S10,000 or more income (oearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. C Method A. Reporting amounts using LDA definitions only
	Method B. Reporting amounts under section 6033(b)(8)of the Internal Revenue Code
	Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
Signature Mon le Fluo	
Printed Name and Title Douglas P. Crew, Manager,	Governmental Affairs
LD-2 (REV, 6/98)	PAGE (of 13

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant magaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information at requested. Attach additional page(s) as needed. 15. General issue area code TRD (one per page) 16. Specific lobbying issues Support Customs Reform Legislation and Funding Support Free Trade Agreements with Jordan. Singapore, and Chile Support Free Trade Agreements with Jordan. Singapore, and Chile Support Normal Trade Relations with Chia (annual and permanent) Support Normal Trade Relations with Chia (annual and permanent) Support Particularly Trade Relations with Vietnam Support Funding for EX-TM Bank, OFIC TDA and Norld Bank 17. Hossis, Congress and Federal agencies Contacted Check if None Bouse of Representatives Senate White House State Department Commerce Department Commerce Department Commerce Department Commerce Department USTR 18. Name of each individual who acted as a lobbyist in this issue area Nume Covered Official Periods (if applicable) New Washington Director, Coverumental Affairs Countries Contacted Check if None Covered Official Periods (if applicable) 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date Printed Name and Title Printed Name and Title Free Covered Official Periods (if applicable) Printed Name and Title Printed Name and Title	egistrant Name_Caterpillar .IncClient No.	Self	
16. Specific lobbying issues Support Customs Reform Legislation and Funding Support Sanctions Process Reform (Rt 1244 & S 757) Support Free Trade Agreements with Jordan, Singapore, and Chile Support Sifforts to improve Relations with Iran Support Normal Trade Relations with China (annual and permanent) Support Various bills to improve relations with Cuba Support Report Administration Act Support Normalized Trade Relations with Vietnam Support Free Trading for EX-IN Bank, OFIC TDA and World Bank 17. ORDING, 19 Congress and Freedral agricies Conducted Conducted House of Representatives Senate White House State Department USTR 18. Name of each individual who acted as a lobbyist in this issue area Nume Covered Official Particularly William C. Lane Washington Director, Governmental Affairs Nume Covered Official Particularly William C. Lane Washington Director, Governmental Affairs 19. Interest of each foreign entity in the specific issues listed on line 16 above C Check if None Signature Date Printed Name and Title Printed Name and Title	and a manager of the create diffile the teboli	UDG DCTOOL I Wind a senseste many for such and and	-
Support Sauctions Process Reform (IR 1244 & S 757) Support Free Trade Agreements with Jordan, Singapore, and Chile Support Efforts to Improve Relations with China (annual and permanent) Support Normal Trade Relations with China (annual and permanent) Support various bills to improve relations with Cuba Support Report Administration Act Support Normalized Trade Relations with Vietnam Support Normalized Trade Relations with Vietnam Support Funding for EX-DN Bank, OPIC TDA and World Bank 17. TRONGE Resultation for US to Withdram from WIO Check if None House of Representatives Senate White House State Department Treasury Department Treasury Department USTR 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Perition (if applicable) William C. Lane Washington Director, Governmental Affairs 19. Interest of each foreign catity in the specific issues listed on line 16 above Check if None Signabure Date Printed Name and Title	5. General issue area code TRD (one per page)		
William C. Lane Washington Birector, Governmental Affairs	Support Sanctions Process Reform (NR 1244 Support Free Trade Agreements with Jordan Support Efforts to Improve Relations with Support Normal Trade Relations with China Support various bills to improve relation Support Export Administration Act Support Normalized Trade Relations with V Support Funding for EX-IN Bank, OPIC TDA 17. Compose Resolution for US to Withdraw from House of Representatives Senate White House State Department Treasury Department Commerce Department USTR	& S 757) . Singapore, and Chile Itan (annual and permanent) s with Cuba ietnam and World Bank WTO Cicheck if None	
19. Interest of each foreign entity in the specific issues listed on line 16 above	Narto	Covered Official Position (if applicable)	New
19. Interest of each foreign entity in the specific issues listed on line 16 above		Washington Director, Governmental Affairs	0
19. Interest of each foreign entity in the specific issues listed on line 16 above			a
19. Insurest of each foreign entity in the specific issues listed on line 16 above			ا ت
19. Interest of each foreign entity in the specific issues listed on line 16 above			a
19. Interest of each fareign entity in the specific issues listed on line 16 above			a
19. Interest of each fareign entity in the specific issues listed on line 16 above			0
19. Interest of each foreign entity in the specific issues listed on line 16 above			
Signature			a
Printed Name and Title		·	, 1
Fare 2 of 13			
	Form LD-Z (Rev.ordis)	Page of _1:	3

gistrant Name Caterpillar Inc. Client N	fameSelf	
DBBYING ACTIVITY. Select as many codes as necess gaged in lobbying on behalf of the client during the report formation as requested. Attach additional page(s) as necessarily the contract of	ary to reflect the general issue areas in which the registrant rting period. Using a separate page for each code, provide ded.	"
. General issue area code (one per page)		
5. Specific lobbying issues		
Support Defense Appropriations (ER 2561 -	- Dept. of Defense Appropriations Act)	
•		
7. House(s) of Congress and Federal agencies contacted thouse of Representatives Senate	Check if None	
8. Name of each individual who acted as a lobbyist in th	nis issue area	
Name	Covered Official Position (if applicable)	New
James P. Halloran	Washington Hanager, Governmental Affairs	
		0
		Q
		10
		0
		۵
	www.	0
19. Interest of each foreign entity in the specific issues listed of	on line 16 above Check if None	
Signature		<u>:</u>
Printed Name and Title	<u> </u>	
Form LD-2 (Rev.of9#)	Pige 3 of	13

icgistrans Name	Caterpillar Inc. Client No.	self Self	
Siffed at 1000An	TIVITY. Select as many codes as necessang on behalf of the client during the reportuested. Attach additional page(s) as need	ary to reflect the general issue areas in which the registrant ting period. Using a separate page for each code, provide ied.	
i5. General issue	area code IMM (one per page)		
l6. Specific lobb	ying issues		
Support A Support t	merican Competitiveness in the be Righ-Tech Act (MR 3983)	21st Century Act (S 2045)	
		:	
17. House(s) of (Congress and Federal agencies connected	☐ Check if None	
18. Name of eac	b individual who acted as a lobbyist in thi	is issue area	
	Name	Covered Official Position (if applicable)	Меж
James F. 1	Malloran	Washington Manager, Governmental Affairs	

*****			۵
H*************************************	···		ū
	·		a
			ַ ַ ַ
181001-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1			a
			0
19. Interest of ea	ch foreign easily in the specific issues listed or	n line 16 above	
Signature		Date	
Printed Name and	i Tide	<u> </u>	
Form L.D.3 (Aurv.6/94	1)	Pago 4 or	13_

Registrant Name_	Caterpillar Inc.	Client Name Self	
engages in moory	TITVITY. Select as many codes ing on behalf of the client during quested. Attach additional page	s as necessary to reflect the general issue areas in which the registrant g the reporting period. Using a separate page for each ende, provide (s) as needed.	-
15. General issu	e area code <u>LBR</u> (one)	per page)	
Support Of Support Of Support Of Oppose Co Support It Support T 17. House(s) of House of Senate Office of Office of	SHA Reform (S 385) Salar SHA Reform (DR 1427) Saf SHA Reform (DR 1434, HR SHA Reform (HR 987) Work	place Preservation Act ions - Proposal Dealing with Federal Contract Awards s (HR 4577) Appropriations (HR 4871) connected	.
18. Name of ea	ch individual who acted as a lob	byist in this issue area	
	Name	Covered Official Position (if applicable)	New
James 2.	9slloran	Washington Manager, Governmental Affairs	a
-n-vimuuluuuu-u			a
			0
	'		a
	,,,		
1			
	zch foreign entity in the specific iss	nues listed on line 16 above	ŧ 1
Signature		Date	
Printed Name at	nd Title	-	
Form L.D-2 (Rev.or	78)	Page	13

legistrant Name	Caterpillar Inc.	Clicat Name	Self	
maakan m toookt	TIVITY, Select as many ag on behalf of the client juested. Anach additiona	Guring the reporting peri-	lect the general issue areas in which the registrant od. Using a separate page for each code, provide	
15. General issue	area code NAT	(one per page)		
l 6. Specific lobb	ying issues			
Department Expanded t	t of Interior/BLM/Mo Access to Oil and No	ountain Top Mining atural Gas on Feder	Mining Reform/Millsite Issues – Suppo al Lands – Support	rt
17 Houseful of	<i></i>			
U.S. House U.S. Sena	Congress and Federal age to of Representatives te (tment of Interior		☐ Check if None	
18. Name of eac	th individual who acted a	s a lobbyist in this issue a	ıca.	
	Name		Covered Official Position (if applicable)	New
Rita L. Ca	astle 	Isa	es Analysis Manager	0
William C.	Lane	Wast	ington Director - Governmental Affair	
	·			o l
				ū
·····				a
	****			a
				0
19. Interest of ea	sch foreign entity in the spec	iffic issues listed on line 16:	above Check if None	•
elaua				
	A Tiels	············.	Date	
s statutes) (statute all	d Title			
Form LD-1 (Rev. a/9	i)		Page 6 of	13

Registrant Name Caterpillar Inc. Client Na	Self	
LOBBYING ACTIVITY. Select as many codes as necessar engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as neede	NO PERMIT TERMS A COMPANIES WANTE FOR THE CO.	-
15. General issue area code TOR (one per page)		
16. Specific lobbying issues		
Workplace Goods Job Growth and Competitive Laterstate Class Action Fairness Act - Sup	mess Act - Oppose port	
17. House(s) of Congress and Federal agencies contacted	O Check if None	
U.S. House of Representatives U.S. Senate Department of Justice Administration		
18. Name of each individual who acted as a lobbyist in this	issue area	
Nerre	. 1	,
	Covered Official Position (if applicable)	New
Rita L. Castle	Covered Official Position (if applicable) Issues Analysis Manager	``*e**
		_ l
		0
		0
		0 0 0
		0 0 0 0
		0 0 0 0
		0 0 0 0 0
	Issues Analysis Hanager	0 0 0 0 0 0
19. Interest of each foreign entity in the specific issues listed on	Issues Analysis Hanager line 16 above	0 0 0 0 0 0
Rita L. Castle	Issues Analysis Hanager line 16 above	0 0 0 0 0 0

Registrant Name	Caterpillar Inc.	Client Name Self	
engagen to toooks	TIVITY. Select as many codes a ng on behalf of the client during t puested. Attach additional page(s	is necessary to reflect the general issue areas in which the registrant the reporting period. Using a separate page for each code, provide) as needed.	-
15. General issue	area code SCI (one pe	r page)	
16. Specific lobb	ying issues		
HDDE Pro LDDE Pro Advanced	gram	propriations (FY 2002) - Support	
17. House(s) of	Congress and Federal agencies co	ontacted	
U.S. Senat	e of Representatives te of Energy		
18. Name of eac	ch individual who acted as a lobby	yist in this issue area	
	Narre	Covered Official Position (IF applicable)	New
Rita L. Ca	stle	Issues Analysis Manager	Q.
101010000000000000000000000000000000000	# \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$		a
4 to 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 			Q
····			a
	-		
			a
177578 FEBRUAR BARRATAN			0
			0
19. Interest of ea	sch foreign entity in the specific issue	es listed on line 16 above	•
Signature		Date	
Printed Name an	ed Title		
Form L.D-2 (Rev.6/9		Page 8 of	13

legistrant Name_	Caterpillar Inc.	Client Name Self	
cuRaken itt föbbå	CTIVITY. Select as many ying on behalf of the client equested. Attach additional	odes as necessary to reflect the general issue areas in which the registrant uring the reporting period. Using a separate page for each code, provide page(s) as needed.	
15. General issu	ne area code	one per page)	
16. Specific lob			
Support I	nterest Allocation R	xtrateritorial Ducome Act of 2000 form (No bill number) nance (No bill number)	
17. House(s) of	f Congress and Federal age	cies contacted	
House of Senate Treasury USTR	Representatives		
18. Name of ea	sch individual who acted as	a lobbyist in this issue area	
	Nar re	Covered Official Position (if applicable)	• [
William N	L. Bali	Washington Manager, Governmental Affairs	

		Q	
	. !		
	i		
<u> </u>			
19. Interest of e	each foreign entity in the spec	ic issues listed on line to above Check if None	i
A	i		
Signature	·····	Ste	
Printed Name a	and Title	Date	

Registrant Name_	Caterpilla	r Inc.	Client Name Self	
AND AND AND INCOME.	THE OWN DECIME	: elect as many codes f of the client during ach additional page(as necessary to reflect the general issue areas in which the registrant the reporting period. Using a separate page for each code, provide (s) as needed.	~
15. General iss	ue area code _	TRD (one p	er page)	
16. Specific tol	bbying issues	:		
Support		(NR 4444) Trade Relations lons Against Rus		
17. House(s) o	of Construes on	d Federal agencies o	contacted Check if None	
	Representa		Check it Note	
Senate		:		
		:		
18. Name of e	ach individual	who acted as a lobb	pyist in this issue area	
	N	भाग्न	Corrected Official Positions (if applicable)	New
William	N. Ball	:	Washington Manager, Governmental Affairs	2
**		· · ·		
			44-41	a
		:		0
	•	:		
19. Interest of	each foreign en	ity in the specific issu	uss listed on line 16 above Check if None	1 1
	•	!	WINNER STORY	
		!		
Signature		:	Date	
Printed Name:	and Title	i	<i>-</i>	
Form LD-2 (Rev.)	brink)	:	9am 10 of 1	3

Registrant Name_	Caterpilla	r Inc.	Client Name	Self	
enflaffen in 1000.	ans ou cetait	lect as many code of the client durin ich additional page	g the reporting per	effect the general issue areas in which the registrant icd. Using a separate page for each code, provide	-
15. General issu	re sues code	ENG (one	per page)		
16. Specific tob	bying issues	! !			
Electrici	ty Restruct	uring — Suppo	rt	•	
		:			
		: : :			
17. House(s) o	f Congress and	Federal agencies	contacted	Check if None	
U.S. Sena	e of Repres te t of Energy				
18. Name of e	ach individual	who acted as a lol	byist in this issue	area	
	Na	: ETTG :		Covered Official Position (if applicable)	Hew
Rita L. C	astle	: : :	Lss	sues Analysis Manager	
William N	. Ball	: :	Was	shington Manager, Governmental Affairs	©
Richard S	. Brent	· :	Dia	ector, Government Affairs	0
	********				a
	-		····		
· · · · · · · · · · · · · · · · · · ·		: : :			<u>.</u>
		· :			a
		:			
19. Interest of	each foreign ent	: tity in the specific is	sues listed on line 16	above Check if None	, ,
		:			
Signature				Date	:
Printed Name	and Title				
Form LD+2 (Rev. a	MAR)			Page 11 of	13
		•			!

Registrant Name_	Caterpillar Inc.	Client Name Self	
CINEMBON IN INDIA	CTIVITY. Select as ma lying on behalf of the cli- requested. Attach addition	any codes as necessary to reflect the general issue areas in which the registrant turing the reporting period. Using a separate page for each code, provide tonal page(s) as needed.	
15. General iss	ue area code <u>TRD</u>	(one per page)	
16. Specific to	bbying issues		
Support	for company export	issues	
	:		
	:		
17. House(s) c	of Congress and Federal	agencies contacted Check if None	
Departme	nt of Commerce nt of State nt of Energy		
18. Name of e	each individual who acte	ed as a labbyist in this issue area	
	Name	Covered Official Position (if applicable)	Mew
		1	1]
Richard	S. Brent	Director, Government Affairs	ם
Richard		Director, Government Affairs	0
Richard			
Richard			a
			0
			0000
			0000
			0 0 0 0
			00000
			00000
	each foreign entity in the s	specific issues listed on line 16 above	00000
19. Interest of	each foreign entity in the s	specific issues listed on line 16 above	00000

Registrant Name.	Caterpillar Inc.	Client Name_	Self	
cuffefer itt tonn	CTIVITY. Select as many ying on behalf of the clien requested. Attach addition	t culting the reporting t	reflect the general issue areas in which the registrant criod. Using a separate page for each code, provide	
15. General iss	ue area code <u>TRD</u>	(one per page)		
16. Specific tol	bbying issues			
Support	China PNTR	•		
	:			
17 Housefe) a	of Congress and Federal ag			
USTR	u conficer and teoers sig	ciscies contacted	Check if None	
	Representatives			
Senate	:			
White Ho State De				
	Bepartment			
Commerce	Department:			
is. Name of e	each individual who acted a	us a lobbyist in this issu	te area	
	Name	1	Course Control of the	1 1
		ļ	Covered Official Position (if applicable)	New
		•		
Lisa H.	Young	Li	gislative Communicator	
Lisa H.	Young	Le	gislative Communicator	
Lisa H.	Young	Le	gislative Communicator	0
Lisa H.	Young	Le	gislative Communicator	
Lisa H.	Young	Le	gislative Communicator	a
Lisa H.	Young	Le	gislative Communicator	0
Lisa H.	Young	Le	gislative Communicator	000
Lisa H.	Young	Le	gislative Communicator	0000
Lisa H.	Young	Le	gislative Communicator	
	each foreign entity in the spe			00000
19. Interest of Signature	each foreign entity in the spe			00000
19. Interest of	each foreign entity in the spe		16 above	00000