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LOBBYING REPORT

08 FEB -7 PM 4:55

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization ☐ Individual

PRINCIPAL FINANCIAL GROUP

2. Address ☐ Check if different than previously reported

Address1 1350 EYE STREET, NW, #880

Address2

City WASHINGTON

State

DC

Zip Code 20005

Cou

3. Principal place of business (if different than line 2)

City

State

Zip Code

Cou

4a. Contact Name

b. Telephone Number c. E-mail

☐ International Number

Ms. R. LUCIA RIDDLE

(202) 682-1280

riddle.lucia@principal.com

5. Ser

321

7. Client Name ☒ Self

PRINCIPAL FINANCIAL GROUP

6. Ho

3151

TYPE OF REPORT

8. Year 2007

Midyear (January 1-June 30) ☐

Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date

11. No Lobbying Acti

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☐

\$

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this were:

Less than \$10,000 ☐

\$10,000 or more ☒

\$ 987,588.45

14. REPORTING Check box to indicate accounting method. See instructions for descriptive

☒ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 6033 Internal Revenue Code

☐ Method C. Reporting amounts under section 162(c) Internal Revenue Code

Signature ☒

Digitally Signed By: R Lucia Riddle

Date 02/

Printed Name and Title R. Lucia Riddle, Vice President-Federal Government Relations

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUPClient Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

RET

 Retirement (one per page)

16. Specific lobbying issues

H.R.69: To amend title II of the Social Security Act to eliminate the 5-month waiting period for entitlement to disability to eliminate reconsideration as an intervening step between initial benefit entitlement decisions and subsequent hearings record on such decisions.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Labor
Centers for Medicare and Medicaid Services

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Richard C.	Lawson		
Patti	Blumer		
Sue	Palmer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: **RET - Retirement**

H.R.82: To amend title II of the Social Security Act to repeal the Government pension offset and windfall elimination provisions.

H.R.93: To amend the Congressional Budget Act of 1974 to protect Social Security beneficiaries against any reduction in benefits.

H.R.149: To amend title II of the Social Security Act to remove the limitation upon the amount of outside income which individual may earn while receiving benefits under such title, and for other purposes.

H.R.191: To amend the Internal Revenue Code of 1986 to repeal the inclusion in gross income of Social Security benefits.

H.R.192: To amend the Internal Revenue Code of 1986 to repeal the 1993 increase in taxes on Social Security benefits.

H.R.219: To amend title II of the Social Security Act to ensure the integrity of the Social Security trust funds by requiring the Managing Trustee to invest the annual surplus of such trust funds in marketable interest-bearing obligations of the United States and certificates of deposit in depository institutions insured by the Federal Deposit Insurance Corporation, and to protect trust funds from the public debt limit.

H.R.279: To amend title II of the Social Security Act to provide for Congressional oversight and approval of totalization agreements.

H.R.288: To amend title II of the Social Security Act to provide for an improved benefit computation formula for workers affected by the changes in benefit computation rules enacted in the Social Security Amendments of 1977 who attain age 62 during the 10-year period after 1981 and before 1992 (and related beneficiaries) and to provide prospectively for increases in their benefits accordingly.

H.R.340: To amend title II of the Social Security Act to allow remarried widows, widowers, and surviving divorced spouses become or remain entitled to widow's or widower's insurance benefits if the prior marriage was for at least 10 years.

H.R.341: To amend title II of the Social Security Act to provide for payment of lump-sum death payments upon the death of a spouse.

H.R.368: To amend title II of the Social Security Act to allow workers who attain age 65 after 1981 and before 1992 to elect either lump sum payments over four years totalling \$5,000 or an improved benefit computation formula under a new 10-year rule governing the transition to the changes in benefit computation rules enacted in the Social Security Amendments of 1977 and for other purposes.

H.R.581: To amend title II of the Social Security Act to establish a Social Security Surplus Protection Account in the Federal Old-Age and Survivors Insurance Trust Fund to hold the Social Security surplus, to provide for suspension of investment amounts held in the Account until enactment of legislation providing for investment of the Trust Fund in investment vehicles other than obligations of the United States, and to establish a Social Security Investment Commission to make recommendations for alternative forms of investment of the Social Security surplus in the Trust Fund.

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ADDENDUM for General Lobbying Issue Area: RET - Retirement

H.R.709: To amend title II of the Social Security Act to restrict totalization agreements between the United States and other countries to providing for appropriate exchange of Social Security taxes or contributions between the parties to such agreements, and to prohibit crediting of individuals under such title with earnings from employment or self-employment in the United States performed while such individuals are not citizens, nationals, or lawful permanent residents of the United States who are not authorized by law to be employed in the United States.

H.R.726: To amend title II of the Social Security Act to restrict the application of the windfall elimination provision to individuals whose combined monthly income from benefits under such title and other monthly periodic payments exceeds the minimum COLA-adjusted amount of \$2,500 and to provide for a graduated implementation of such provision on amounts exceeding such minimum amount.

H.R.732: To amend title II of the Social Security Act to reduce from 10 to 5 the number of years of marriage prior to divorce required for a divorced spouse to be eligible for benefits under such title.

H.R.1090: To amend the Social Security Act and the Internal Revenue Code of 1986 to preserve and strengthen the Social Security Program through the creation of personal Social Security guarantee accounts ensuring full benefits for all workers and their families, restoring long-term Social Security solvency, to make certain benefit improvements, and for other purposes.

H.R.1158: To amend title II of the Social Security Act to eliminate the two-year waiting period for divorced spouse's benefits following the divorce.

H.R.1160: To amend title II of the Social Security Act to provide for increases in widow's and widower's insurance benefits on account of delayed retirement.

H.R.1161: To amend title II of the Social Security Act to credit prospectively individuals serving as caregivers of dependent relatives with deemed wages for up to five years of such service.

H.R.1349: To amend the Internal Revenue Code of 1986 to repeal the 1993 increase in income taxes on Social Security benefits.

H.R.1380: To amend title II of the Social Security Act to provide that a monthly insurance benefit thereunder shall be paid the month in which the recipient dies, subject to a reduction of 50 percent if the recipient dies during the first 15 days of the month, and for other purposes.

H.R.2032: To require the establishment of a Consumer Price Index for Elderly Consumers to compute cost-of-living increases for Social Security and Medicare benefits under titles II and XVIII of the Social Security Act.

H.R.2103: To amend title IV of the Employee Retirement Income Security Act of 1974 to require the Pension Benefit Guaranty Corporation, in the case of airline pilots who are required by regulation to retire at age 60, to compute the actuarial value of monthly benefits in the form of a life annuity commencing at age 60.

H.R.2167: To amend the Internal Revenue Code of 1986 to allow employees not covered by qualified retirement plans to contribute for retirement through automatic payroll deposit IRAs, to facilitate similar saving by the self-employed, and for other purposes.

H.R.2355: To amend the Internal Revenue Code of 1986 to extend the authority for penalty-free withdrawals from retirement plans by military reservists or national guardsmen called to active duty for extended periods.

H.R. 2461: to amend the Internal Revenue Code of 1986 to permit distributions from individual retirement plans to be made without contribution to 529 plans without including the distribution in gross income.

H.R.2591: To amend part B of title XVIII of the Social Security Act to limit the penalty for late enrollment under part B of the Medicare Program to 10 percent and twice the period of no enrollment, and to exclude periods of COBRA and retiree coverage from such late enrollment penalty.

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ADDENDUM for General Lobbying Issue Area: RET - Retirement

H.R. 2657: To amend the Employee Retirement Income Security Act of 1974 to ensure that employees are not improperly disqualified from benefits under pension plans and welfare plans based on the misclassification or reclassification of the status.

H.R.2683: To amend title I of the Employee Retirement Income Security Act of 1974 to require in the annual report of a defined benefit pension plan disclosure of plan investments in hedge funds.

H.R.2831: To amend title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Americans With Disabilities Act of 1990, and the Rehabilitation Act of 1973 to clarify that a discriminatory compensation decision or other practice that is unlawful under such Acts occurs each time compensation is paid pursuant to the discriminatory compensation decision or other practice, and for other purposes.

H.R. 3088: to amend the Internal Revenue Code of 1986 to provide that distributions from an individual retirement plan, section 401(k) plan, a section 403(b) contract, or a section 457 plan shall not be includible in gross income to the extent they pay long-term care insurance premiums.

HR. 3185: To amend the Employee Retirement Income Security Act of 1974 to provide special reporting and disclosure for individual account plans and to establish in the Department of Labor an Advisory Council on Improving Employer-Employee Retirement Practices.

H.R. 3361: A bill to make technical corrections related to the Pension Protection Act of 2006.

H.R. 3765: To amend the Internal Revenue Code of 1986 to provide transparency with respect to fees and expenses charged by participant-directed defined contribution plans.

H.R. 3868: to provide an orderly transition to new requirements, and for other purposes.

H.R. 4061: To allow employees of a commercial passenger airline carrier who receive payments in a bankruptcy proceeding to roll over such payments into an individual retirement plan, and for other purposes.

H.R. 4150: To amend the Internal Revenue Code of 1986 to encourage guaranteed lifetime income payments by excluding from income a portion of such payments.

H.R. 4807: To amend the Social Security Act to protect Social Security cost-of-living adjustments (COLA)

S.43: A bill to amend title II of the Social Security Act to preserve and protect Social Security benefits of American workers to help ensure greater congressional oversight of the Social Security system by requiring that both Houses of Congress agree on a totalization agreement before the agreement, giving foreign workers Social Security benefits, can go into effect.

S.137: A bill to amend title XVIII of the Social Security Act to provide additional beneficiary protections.

S.190: A bill to provide a technical correction to the Pension Protection Act of 2006.

S.191: A bill to provide relief for all air carriers with pension plans that are not frozen pension plans.

S.355: A bill to establish a National Commission on Entitlement Solvency.

S.1010: A bill to amend the Internal Revenue Code of 1986 to encourage guaranteed lifetime income payments from annuities and similar payments of life insurance proceeds at dates later than death by excluding from income a portion of such payments.

S.1141: A bill to amend the Internal Revenue Code of 1986 to allow employees not covered by qualified retirement plan to save for retirement through automatic payroll deposit IRAs, to facilitate similar saving by the self-employed, and for other purposes.

Printed Name and Title

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ADDENDUM for General Lobbying Issue Area: RET - Retirement

S.1254: A bill to amend title II of the Social Security Act to provide that the reductions in social security benefits which required in the case of spouses and surviving spouses who are also receiving certain government pensions shall be equal amount by which two-thirds of the total amount of the combined monthly benefit (before reduction) and monthly pension exceeds \$1,200, adjusted for inflation.

S.1270: A bill to amend title IV of the Employee Retirement Income Security Act of 1974 to require the Pension Benefits Guaranty Corporation, in the case of airline pilots who are required by regulation to retire at age 60, to compute the actuarial value of monthly benefits in the form of a life annuity commencing at age 60.

S.1288: A bill to amend the Internal Revenue Code of 1986 and the Employee Retirement Income Security Act of 1974 to increase the retirement security of women and small business owners, and for other purposes.

S.1533: A bill to amend the Internal Revenue Code of 1986 to allow certain coins to be acquired by individual retirement accounts and other individually directed pension plan accounts, and for other purposes.

S.1636: A bill to amend the Internal Revenue Code of 1986 to permanently allow penalty-free withdrawals from retirement plans for individuals called to active duty for at least 179 days.

S. 1725: to amend the Employee Retirement Income Security Act of 1974, the Internal Revenue Code of 1986, and title Code, to improve the protection of pension benefits, and for other purposes.

S. 1809: to amend the Internal Revenue Code of 1986 to provide that distributions from an individual retirement plan, a section 401(k) plan, a section 403(b) contract, or a section 457 plan shall not be includible in gross income to the extent used to pay long-term care insurance premiums.

S.1947: A bill to amend title XI of the Social Security Act to improve the quality improvement organization (QIO) program.

S. 1974: A bill to make technical corrections related to the Pension Protection Act of 2006.

S. 2184: To amend the Internal Revenue Code of 1986 to permanently allow penalty-free withdrawals from retirement plans for individuals called to active duty for at least 179 days.

S. 2201: to provide for the penalty-free use of retirement funds for mortgage delinquency relief.

S. 2473: to amend the Employee Retirement Income Security Act of 1974 to provide special reporting and disclosure requirements for individual account plans and for other purposes.

S.2501: A bill to amend the Social Security Act to protect Social Security cost-of-living adjustments (COLA).

S. 2505: to allow employees of a commercial passenger airline carrier who receive payments in a bankruptcy proceeding to roll over such payments into an individual retirement plan, and for other purposes.

S.RES.67: A resolution designating March 2007 as "Go Direct Month".

S.RES.240: A resolution designating October 21 through October 27, 2007, as "National Save for Retirement Week".

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Printed Name and Title

v5.0.1b

Registrant

PRINCIPAL FINANCIAL GROUP

Client Name

PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

H.R.32: To provide for a credit for certain health care benefits in determining the minimum wage.

H.R.46: To amend the Internal Revenue Code of 1986 to provide tax incentives for small businesses, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Richard	Lawson		
Patti	Blumer		
Sue	Palmer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None



Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: TAX - Taxation/Internal Revenue Code

H.R.126: To amend the Internal Revenue Code of 1986 to allow loans from individual retirement plans for qualified small business capital assets.

H.R.227: To amend the Internal Revenue Code of 1986 to allow a deduction for amounts paid for health insurance and prescription drug costs of individuals.

H.R.298: To amend the Internal Revenue Code of 1986 to allow amounts in a health flexible spending arrangement that are unused during a plan year to be carried over to the next plan year.

H.R.527: To help American families save, invest, and build a better future, and for other purposes.

H.R.640: To amend the Internal Revenue Code of 1986 to permit financial institutions to determine their interest expense deduction without regard to tax-exempt bonds issued to provide certain small loans for health care or educational purposes.

H.R.666: To amend the Internal Revenue Code of 1986 to require that amounts paid for employer-provided coverage under accident or health plans be included on W-2 Forms.

H.R.892: To establish and provide for the treatment of Individual Development Accounts, and for other purposes.

H.R.1672: To amend the Internal Revenue Code of 1986 to deny qualified dividend income treatment to certain foreign dividends.

H.R.1748: To amend the Internal Revenue Code of 1986 to expand workplace health incentives by equalizing the tax consequences of employee athletic facility use.

H.R.1923: To amend the Internal Revenue Code of 1986 to modify the exemption amount for the alternative minimum tax.

H.R. 2163: to amend the Internal Revenue Code of 1986 to expand incentives for saving.

H.R. 2205: to amend the Internal Revenue Code of 1986 to encourage guaranteed lifetime income payments from annuity similar payments of life insurance proceeds at dates later than death by excluding from income a portion of such payments.

H.R.2834: To amend the Internal Revenue Code of 1986 to treat income received by partners for performing investment management services as ordinary income received for the performance of services.

H.R. 3475: to amend the Internal Revenue Code of 1986 to increase the estate and gift tax unified credit to an exclusion equivalent of \$5 million, to adjust such amount for inflation, to repeal the one-year termination of the estate tax, and for other purposes.

H.R. 3694: to amend the Internal Revenue Code of 1986 to provide corporate alternative minimum tax reform.

H.R. 3717: to amend the Internal Revenue Code of 1986 to provide a tax credit to employers for the costs of implementing wellness programs, and for other purposes.

H.R. 3923: to amend the Internal Revenue Code of 1986 to provide for the treatment of certain foreign nonqualified deferred compensation.

H.R. 3947: to amend the Internal Revenue Code of 1986 to provide for a carryforward of unused benefits in health flexible spending arrangements.

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Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: TAX - Taxation/Internal Revenue Code

H.R. 3970: to amend the Internal Revenue Code of 1986 to provide additional tax relief to low and moderate income individuals to repeal the individual alternative minimum tax, to reform the corporate income tax, and for other purposes.

H.R. 3975: to amend the Internal Revenue Code of 1986 to provide a 100 percent deduction for the health insurance costs of individuals.

H.R. 3996: to amend the Internal Revenue Code of 1986 to extend certain expiring provisions, and for other purposes.

H.R. 4172: to amend the Internal Revenue Code of 1986 and the Economic Growth and Tax Relief Reconciliation Act of 2001 to restore the estate tax and repeal the carryover basis rule and to increase the estate tax unified credit to an exclusion of \$3.5 million.

H.R. 4195: to amend the Internal Revenue Code of 1986 to make technical corrections.

H.R. 4235: to amend the Internal Revenue Code of 1986 to restore the estate tax, to repeal the carryover basis rule, to reduce estate tax rates by 20 percent, to increase the unified credit against estate and gift taxes to the equivalent of a \$3 million exclusion and to provide an inflation adjustment of such amount, and for other purposes.

H. Res. 775: providing for consideration of H.R. 1366, to amend the Internal Revenue Code of 1986 to repeal the alternative minimum tax on individuals.

S.14: to repeal the sunset on certain tax rates and other incentives and to repeal the individual alternative minimum tax, and for other purposes.

S.26: A bill to amend the Internal Revenue Code of 1986 to establish a program demonstrating multiple approaches to Learning Accounts, which are portable, worker-owned savings accounts that can be used by workers to help finance education, training, and apprenticeships and which are intended to supplement both public and employer-provided education and training resources, and for other purposes.

S.55: A bill to amend the Internal Revenue Code of 1986 to repeal the individual alternative minimum tax.

S.58: A bill to amend the Internal Revenue code of 1986 to repeal the reduction in the deductible portion of expenses for business meals and entertainment.

S.96: A bill to amend the Internal Revenue Code of 1986 to ensure a fairer and simpler method of taxing controlled foreign corporations of United States shareholders, to treat certain foreign corporations managed and controlled in the United States as domestic corporations, to codify the economic substance doctrine, and to eliminate the top corporate income tax rate, and for other purposes.

S.102: A bill to amend the Internal Revenue Code of 1986 to extend and expand relief from the alternative minimum tax and to repeal the extension of the lower rates for capital gains and dividends for 2009 and 2010.

S.141: A bill to amend the Internal Revenue Code of 1986 to permanently increase the maximum annual contribution allowed to Coverdell education savings accounts, and to provide for a deduction for contributions to education savings accounts.

S.734: A bill to amend the Internal Revenue Code of 1986 to reduce the rate of the tentative minimum tax for noncorporate taxpayers to 24 percent.

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area:

TAX - Taxation/Internal Revenue Code

S. 1875 : To amend the Internal Revenue Code of 1986 to provide a refundable and advanceable credit for health insurance, to amend the Social Security Act to provide for improved private health insurance access and affordability, to amend the Internal Revenue Code of 1986 to repeal the alternative minimum tax, and for other purposes.

S. 2239: to amend the Internal Revenue Code of 1986 to allow self-employed individuals to deduct health insurance costs in computing self-employment taxes.

S. 2293: to amend the Internal Revenue Code of 1986 to repeal the individual alternative minimum tax, and for other purposes.

S. 2374: to amend the Internal Revenue Code of 1986 to make technical corrections, and for other purposes.

S. 2389: to amend the Internal Revenue Code of 1986 to increase the alternative minimum tax credit amount for individuals, to allow long-term unused credits for prior year minimum tax liability, and for other purposes.

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Printed Name and Title

v5.0.1b

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Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR Health Issues (one per page)

16. Specific lobbying issues

H.RES.13: Encouraging increased public awareness of eating disorders and expanded research for treatment and cures.

H.R.15: To provide a program of national health insurance, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Health and Human Services
Department of Treasury
Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: HCR - Health Issues

H.R.20: To provide for research on, and services for individuals with, postpartum depression and psychosis.

H.R.63: To provide that the approved application under the Federal Food, Drug, and Cosmetic Act for the drug common as RU-486 is deemed to have been withdrawn, to provide for the review by the Comptroller General of the United States process by which the Food and Drug Administration approved such drug, and for other purposes.

H.R.113: To amend the Internal Revenue Code of 1986 to require group health plans to provide coverage for reconstructive surgery following mastectomy, consistent with the Women's Health and Cancer Rights Act of 1998.

H.R.119: To require that health plans provide coverage for a minimum hospital stay for mastectomies, lumpectomies, and axillary node dissection for the treatment of breast cancer and coverage for secondary consultations.

H.R.152: To amend title XXVII of the Public Health Service Act and title I of the Employee Retirement Income Security Act of 1974 to require that group and individual health insurance coverage and group health plans provide comprehensive coverage for childhood immunization.

H.R.282: To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to require that group and individual health insurance coverage and group health plans provide coverage for second opinions.

H.R.283: To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to require that group and individual health insurance coverage and group health plans permit enrollees direct access to services of obstetrical and gynecological physician services directly and without a referral.

H.R.324: To increase the minimum wage, to provide access to health care coverage to employees of small businesses, and to preserve American jobs.

H.R.506: To provide for innovation in health care through State initiatives that expand coverage and access.

H.R.636: To amend the Internal Revenue Code of 1986 to improve health care choice by providing for the tax deductibility of medical expenses by individuals.

H.R.676: To provide for comprehensive health insurance coverage for all United States residents, and for other purposes.

H.R.914: To amend the Internal Revenue Code of 1986 to allow individuals a refundable credit against income tax for the purchase of private health insurance, and for other purposes.

H.R.971: To ensure and foster continued patient safety and quality of care by making the antitrust laws apply to negotiations between groups of independent pharmacies and health plans and health insurance issuers (including health plans under part D of the Medicare Program) in the same manner as such laws apply to protected activities under the National Labor Relations Act.

H.R.979: To amend title I of the Employee Retirement Income Security Act of 1974, title XXVII of the Public Health Service Act, and the Internal Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.

H.R.1076: To promote health care coverage parity for individuals participating in legal recreational activities or legal transportation activities.

H.R.1368: To establish a program to provide financial incentives to encourage the adoption and use of interactive personal health records.



Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: HCR - Health Issues

H.R.1424: To amend section 712 of the Employee Retirement Income Security Act of 1974, section 2705 of the Public Service Act, and section 9812 of the Internal Revenue Code of 1986 to require equity in the provision of mental health a substance-related disorder benefits under group health plans.

H.R.1535: To amend titles XIX and XXI of the Social Security Act to ensure that every child in the United States has ac affordable, quality health insurance coverage, and for other purposes.

H.R.1666: To amend title XIX of the Social Security Act to provide for increased price transparency of hospital informa to provide for additional research on consumer information on charges and out-of-pocket costs.

H.R.1669: To amend the Public Health Service Act to provide for integration of mental health services and mental health treatment outreach teams, and for other purposes.

H.R.1802: To amend the Internal Revenue Code of 1986 to provide a refundable tax credit to small businesses for the cc qualified health insurance.

H.R.1820: To amend the Internal Revenue Code of 1986 to extend the exclusion from gross income for employer-provid health coverage for employees' spouses and dependent children to coverage provided to other eligible designated benefi of employees.

H.R.1841: To amend the Social Security Act and the Internal Revenue Code of 1986 to provide for an AmeriCare that as the provision of health insurance coverage to all residents, and for other purposes.

H.R. 2034: to provide quality, affordable health care for all Americans.

H.R. 2132: to amend the Public Health Service Act to establish a small business health benefits program.

H.R. 2302: to amend the Internal Revenue Code of 1986 to allow a deduction for premiums for high deductible health pl required with respect to health savings accounts.

H.R. 2633: to improve the health of Americans and reduce health care costs by reorienting the nation's health care syster toward prevention, wellness, and self care.

H.R. 2626: to provide for incentives to encourage health insurance coverage, and for other purposes.

H.R. 2737: to amend the Internal Revenue Code of 1986 to allow previously uninsured individuals a refundable credit for insurance costs and to provide tax incentives to encourage small business health plans.

H.R. 2842: To amend title I of the Employee Retirement Income Security Act of 1974, title XXVII of the Public Health : Act, and the Internal Revenue Code of 1986 to prohibit preexisting condition exclusions for children in group health plans health insurance coverage in the group and individual markets.

H.R. 2892: to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, chapter 89 c 5, U.S. Code, and title 10, U.S. Code, to require coverage for the treatment of infertility.

H.R.1111: To amend titles XIX and XXI of the Social Security Act to ensure that every uninsured child in America has he insurance coverage, and for other purposes.

H.R.1367: To amend section 712 of the Employee Retirement Income Security Act of 1974, section 2705 of the Public Service Act, and section 9812 of the Internal Revenue Code of 1986 to require equity in the provision of mental health a substance-related disorder benefits under group health plans.

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Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: HCR - Health Issues

H.R. 3234: To amend the Internal Revenue Code of 1986 to improve access to health care through expanded health saving accounts.

H.R. 3306: To amend the Internal Revenue Code of 1986 to allow amounts in a health flexible spending arrangement that unused during a plan year to be carried over to subsequent plan years or deposited into certain health or retirement plans.

H.R. 3584: To amend title XXI of the Social Security Act to extend funding for 18 months for the State Children's Health Insurance Program (SCHIP), and for other purposes.

H.R. 3800: to advance the adoption of nationwide interoperable health information technology and to improve health care and reduce health care costs in the United States.

H.R. 4222: to amend title I of the Employee Retirement Income Security Act of 1974, the Internal Revenue Code of 1986, title XXII of the Public Health Service Act to extend COBRA benefits for certain TAA-eligible individuals and PBGC re

H.R. 4232: to improve mental and substance use health care.

H.R. 4460: to amend the Public Health Service Act to provide for cooperative governing of individual health insurance coverage offered in interstate commerce.

H.R. 4848: to extend for one year parity in the application of certain limits to mental health benefits, and for other purposes.

S.46: A bill to amend the Internal Revenue Code of 1986 to expand the permissible use of health savings accounts to include premiums for non-group high deductible health plan coverage.

S.95: A bill to amend titles XIX and XXI of the Social Security Act to ensure that every uninsured child in America has health insurance coverage, and for other purposes.

S.99: A bill to amend the Internal Revenue Code of 1986 to provide a refundable credit for small business employee health insurance expenses.

S.158: A bill to expand access to affordable health care and to strengthen the health care safety net and make health care more available in rural and underserved areas.

S.244: A bill to improve women's access to health care services and provide improved medical care by reducing the excessive burden the liability system places on the delivery of obstetrical and gynecological services.

S.325: A bill to provide for innovation in health care through State initiatives that expand coverage and access and improve quality and efficiency in the health care system.

S.334: A bill to provide affordable, guaranteed private health coverage that will make Americans healthier and can never be taken away.

S.397: A bill to amend the Internal Revenue Code of 1986 to allow individuals a refundable credit against income tax for purchase of private health insurance, and for other purposes.

S.400: A bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to require that dependent students who take a medically necessary leave of absence do not lose health insurance coverage, and for other purposes.

1000011520

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: HCR - Health Issues

S.459: A bill to require that health plans provide coverage for a minimum hospital stay for mastectomies, lumpectomies, lymph node dissection for the treatment of breast cancer and coverage for secondary consultations.

S.558: A bill to provide parity between health insurance coverage of mental health benefits and benefits for medical and services.

S.616: A bill to promote health care coverage parity for individuals participating in legal recreational activities or legal transportation activities.

S.651: A bill to help promote the national recommendation of physical activity to kids, families, and communities across United States.

S.733: A bill to promote the development of health care cooperatives that will help businesses to pool the health care power of employers, and for other purposes.

S.895: A bill to amend titles XIX and XXI of the Social Security Act to ensure that every child in the United States has to affordable, quality health insurance coverage, and for other purposes.

S.982: A bill to amend the Public Health Service Act to provide for integration of mental health services and mental health treatment outreach teams, and for other purposes.

S.1019: A bill to provide comprehensive reform of the health care system of the United States, and for other purposes.

S.1050: A bill to amend the Rehabilitation Act of 1973 and the Public Health Service Act to set standards for medical equipment and to establish a program for promoting good health, disease prevention, and wellness and for the prevention of secondary conditions for individuals with disabilities, and for other purposes.

S.1198: to determine successful methods to provide protection from catastrophic health expenses for individuals who have exceeded health insurance lifetime limits, to provide catastrophic health insurance coverage for uninsured individuals, and for other purposes.

S.1218: to provide quality, affordable health care for all Americans.

S.1298: to amend the Social Security Act to establish a federal reinsurance program for catastrophic health care costs.

S.1342: A bill to improve the health of Americans and reduce health care costs by reorienting the Nation's health care toward prevention, wellness, and self care.

S.1693: A bill to enhance the adoption of a nationwide interoperable health information technology system and to improve quality and reduce the costs of health care in the United States.

S. 1753: to amend the Internal Revenue Code of 1986 to provide a tax credit to employers for the costs of implementing wellness programs, and for other purposes.

S.1754: A bill to amend the Public Health Service Act to provide for a workplace wellness education campaign and an evaluation of employer-based wellness programs, and for other purposes.

S. 1783: to provide 10 steps to transform health care in America.

S. 1881: to amend the Americans with Disabilities Act of 1990 to restore the intent and protections of that law, and for other purposes.

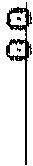
S. 1886: to provide a refundable and advanceable credit for health insurance through the Internal Revenue Code of 1986, provide for improved private health insurance access and affordability, and for other purposes.

S. 2236: to amend title I of the Employee Retirement Income Security Act of 1974, title XXVII of the Public Health Service Act, and the Internal Revenue Code of 1986 to provide additional limitations on preexisting condition exclusions in group plans and health insurance coverage in the group and individual markets.

S. 2477: to amend the Public Health Service Act to provide for cooperative governing of individual health insurance coverage offered in interstate commerce

S. 2499: to amend titles XVIII, XIX, and XXI of the Social Security Act to extend provisions under the Medicare, Medicaid, and State Children's Health Insurance programs

000011521



Printed Name and Title

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI Computer Industry (one per page)

16. Specific lobbying issues

H.R.743: To make the moratorium on Internet access taxes and multiple and discriminatory taxes on electronic commerce permanent.

H.R.964: To protect users of the Internet from unknowing transmission of their personally identifiable information through programs, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

1000011522



Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: CPI - Computer Industry

S.215: A bill to amend the Communications Act of 1934 to ensure net neutrality.

S. 1202: to require agencies and persons in possession of computerized data containing sensitive personal information to disclose security breaches where such breach poses a significant risk of identity theft.

S.1453: to extend the moratorium on taxes on Internet access and multiple and discriminatory taxes on electronic communications imposed by the Internet Tax Freedom Act, and for other purposes.

S.1625: A bill to protect against the unauthorized installation of computer software, to require clear disclosure to computer users of certain computer software features that may pose a threat to user privacy, and for other purposes.

3000011523



Printed Name and Title

v5.0.1b

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Registrant **PRINCIPAL FINANCIAL GROUP**

Client Name **PRINCIPAL FINANCIAL GROUP**

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **INS** **Insurance** (one per page)

16. Specific lobbying issues

H.R.150: To prevent the nondisclosure of employer-owned life insurance coverage of employees as an unfair trade practice under the Federal Trade Commission Act, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Centers for Medicare and Medicaid Services
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Richard	Lawson		
Patti	Blumer		
Sue	Palmer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title

v5.0.1b

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ADDENDUM for General Lobbying Issue Area: INS - Insurance

H.R.377: To amend the Internal Revenue Code of 1986 to increase the dollar limitation on employer-provided group term insurance that can be excluded from the gross income of the employee.

H.R.385: To amend title II of the Social Security Act to eliminate reconsideration as an intervening step between initial entitlement decisions and subsequent hearings on the record on such decisions.

H.R.537: To establish a bipartisan commission on insurance reform.

H.R.1065: To streamline the regulation of nonadmitted insurance and reinsurance, and for other purposes.

H.R.1081: To further competition in the insurance industry.

H.R.1159: To amend title II of the Social Security Act to provide for full benefits for disabled widows and widowers with regard to age.

H.R.1162: To amend title II of the Social Security Act to repeal the 7-year restriction on eligibility for widow's and widower's insurance benefits based on disability.

H.R. 2622: To amend title I of the Employee Retirement Income Security Act of 1974 to require de novo review of claim determinations made by employee benefit plans.

H.R.2713: To amend title II of the Social Security Act to authorize waivers by the Commissioner of Social Security of the 5-month waiting period for entitlement to benefits based on disability in cases in which the Commissioner determines that the waiting period would cause undue hardship to terminally ill beneficiaries, and to provide for a study by the Commissioner regarding possible improvements in disability claims processing.

H.R. 2761: to extend the Terrorism Insurance Program of the Department of the Treasury, and for other purposes.

H.R. 2933: To amend title II of the Social Security Act to provide that the reductions in Social Security benefits which are required in the case of spouses and surviving spouses who are also receiving certain government pensions shall be equal to the amount by which two-thirds of the total amount of the combined monthly benefit (before reduction) and monthly pension exceeds \$1,200, adjusted for inflation.

H.R. 2944: to amend title II of the Social Security Act to provide that the eligibility requirement for disability insurance under which an individual must have 20 quarters of Social Security coverage in the 40 quarters preceding a disability shall be applicable in the case of a disabled individual suffering from a covered terminal disease.

H.R.2987: To require the establishment of regional consumer price indices to compute cost-of-living increases under the programs for Social Security and Medicare and other medical benefits under titles II and XVIII of the Social Security Act

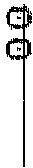
H.R. 3046: To amend the Social Security Act to enhance Social Security account number privacy protections, to prevent fraudulent misuse of the Social Security account number, and to otherwise enhance protection against identity theft, and for other purposes.

H.R. 3200: To authorize the issuance of Federal charters and licenses for carrying on the sale, solicitation, negotiation, and underwriting of insurance or any other insurance operations, to provide a comprehensive system for the Federal regulation and supervision of national insurers and national agencies, to provide for policyholder protections in the event of an insolvency, the impairment of a national insurer, and for other purposes.

H.R. 3271: To prohibit the solicitation and display of Social Security account numbers, and for other purposes.

H.R. 3363: To amend the Internal Revenue Code of 1986 to allow long-term care insurance to be offered under cafeteria and flexible spending arrangements and to provide additional consumer protections for long-term care insurance.

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Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: INS - Insurance

H.R. 4181: To reform Social Security retirement and Medicare by establishing a Personal Social Security Savings Program, to create a safer, healthier, more secure, and more prosperous retirement for all Americans and to reduce the burden on young Americans.

H.R. 4299: to extend the Terrorism Insurance Program of the Department of the Treasury.

H.R. 4684: To amend the Internal Revenue Code of 1986 to waive the employee portion of Social Security taxes imposed on individuals who have been diagnosed as having cancer or a terminal disease.

H.R. 4751: To amend title XI of the Social Security Act to provide that annual Social Security account statements indicating the level of projected benefits of eligible individuals, the effect on such benefits levels of benefit reductions that may be necessary, in the absence of future legislative remedies, by reason of anticipated insolvency of the Social Security Funds.

S. 40: to authorize the issuance of federal charters and licenses for carrying on the sale, solicitation, negotiation, and underwriting of insurance or any other insurance operations, to provide a comprehensive system for the federal regulatory supervision of national insurers and national agencies, to provide for policyholder protections in the event of an insolvency or the impairment of a national insurer, and for other purposes.

S.292: A bill to establish a bipartisan commission on insurance reform.

S.618: A bill to further competition in the insurance industry.

S.799: A bill to amend title XIX of the Social Security Act to provide individuals with disabilities and older Americans with equal access to community-based attendant services and supports, and for other purposes.

S.1736: to amend title II of the Social Security Act to provide that the eligibility requirement for disability insurance benefits under which an individual must have 20 quarters of Social Security coverage in the 40 quarters preceding a disability shall be applicable in the case of a disabled individual suffering from a covered terminal disease.

S.1865: to provide for mandatory availability of life insurance that does not preclude future lawful travel, and for other purposes.

1000011526



Printed Name and Title

v5.0.1b

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Registrant

PRINCIPAL FINANCIAL GROUP

Client Name

PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

MMM

Medicare/Medicaid

(one per page)

16. Specific lobbying issues

H.R.685: To amend the Social Security Act to eliminate the 5-month waiting period for Social Security disability and the waiting period for Medicare benefits in the cases of individuals with disabling burn injuries.

17. House(s) of Congress and Federal agencies

☐

Check if None

☒

House

☒

Senate

Centers for Medicare and Medicaid Services

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒

Check if None

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Printed Name and Title

v5.0.1b

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ADDENDUM for General Lobbying Issue Area: MMM - Medicare/Medicaid

S.173: A bill to amend title XVIII of the Social Security Act to establish Medicare Health Savings Accounts.

S. 2320: to amend title XVIII of the Social Security Act to provide continued entitlement to coverage for immunosuppressive drugs furnished to beneficiaries under the Medicare program that have received a kidney transplant and whose entitlement to coverage would otherwise expire, and for other purposes.

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Printed Name and Title

v5.0.1b

Registrant

PRINCIPAL FINANCIAL GROUP

Client Name

PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

SMB

Small Business

(one per page)

16. Specific lobbying issues

H.R.241: To amend title I of the Employee Retirement Income Security Act of 1974 to improve access and choice for employees with small businesses with respect to medical care for their employees.

H.R.976: To amend the Internal Revenue Code of 1986 to provide tax relief for small businesses, and for other purposes

17. House(s) of Congress and Federal agencies

☐

Check if None

☒

House

☒

Senate

18. Name of each individual who acted as a lobbyist in this issue area

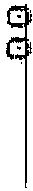
Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Patti	Blumer		

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒

Check if None

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Printed Name and Title

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUPClient Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

TRD

 Trade (Domestic/Foreign) (one per page)

16. Specific lobbying issues

H.R.1229: To amend title VII of the Tariff Act of 1930 to provide that the provisions relating to countervailing duties apply to nonmarket economy countries, and for other purposes.

H.R.2942: To provide for identification of misaligned currency, require action to correct the misalignment, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Ricard	Lawson		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: **TRD - Trade (Domestic/Foreign)**

S 122 - Trade Adjustment Equity for Service Workers Act of 2007

S.1607: A bill to provide for identification of misaligned currency, require action to correct the misalignment, and for o purposes.

S.1677: A bill to amend the Exchange Rates and International Economic Coordination Act of 1988 and for other purpos

S 1848 - Trade and Globalization Adjustment Assistance Act of 2007

S. 1919 - Trade Enforcement Act of 2007

HR 522 - Calling on People's Republic of China to Remove Barriers to US Financial Services Firms Doing Business in

HR 1830 - To extend the authorities of the Andean Trade Preferences Act

HR 3375 - To Extend the Trade Adjustment Assistance Program Under the Trade Act of 1974 for 3 months

H.R. 3589: to amend the Trade Act of 1974 to extend trade adjustment assistance to certain service workers.

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Printed Name and Title

v3.0.1b

Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM Immigration (one per page)

16. Specific lobbying issues

H.R.98: To amend the Immigration and Nationality Act to enforce restrictions on employment in the United States of un-
aliens through the use of improved Social Security cards and an Employment Eligibility Database, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Immigration and Naturalization Services
Immigration and Customs Enforcement

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Patti	Blumer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

2000011532

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: IMM - Immigration

H.R.138: To require an employer to take action after receiving official notice that an individual's Social Security account number does not match the individual's name, and for other purposes.

H.R.147: To amend the Immigration and Nationality Act to exempt elementary and secondary schools from the fee imposed on employers filing petitions with respect to non-immigrant workers under the H-1B program.

H.R.332: To amend title II of the Social Security Act to provide that wages earned, and self-employment income derived, by individuals while such individuals were not citizens or nationals of the United States and were illegally in the United States not be credited for coverage under the old-age, survivors, and disability insurance program under such title.

S.9: A bill to recognize the heritage of the United States as a nation of immigrants and to amend the Immigration and Nationality Act to provide for more effective border and employment enforcement, to prevent illegal immigration, and to reform and rationalize avenues for legal immigration, and for other purposes.

S.243: A bill to improve patient access to health care services and provide improved medical care by reducing the excessive burden the liability system places on the health care delivery system.

S.330: A bill to authorize secure borders and comprehensive immigration reform, and for other purposes.

S. 1639: to provide for comprehensive immigration reform, and for other purposes.

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Printed Name and Title

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

BAN

Banking

 (one per page)

16. Specific lobbying issues

H.R.1289: To enhance the availability of capital and credit for all citizens and communities, to ensure that community re keeps pace as banks, securities firms, and other financial service providers become affiliates as a result of the enactment Gramm-Leach-Bliley Act, and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Treasury
Office of Thrift Supervision
Financial Crimes Enforcement Network

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Patti	Blumer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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2000011534

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: BAN - Banking

H.R.41: To repeal the prohibition on the payment of interest on demand deposits, and for other purposes.

H.R.323: To amend section 5313 of title 31, United States Code, to reform certain requirements for reporting cash transactions and for other purposes.

H.R.873: To amend the Truth in Lending Act to prohibit fees by creditors for payments on credit card accounts by electronic fund transfers, and for other purposes.

H.R.1443: To treat arbitration clauses which are unilaterally imposed on consumers as an unfair and deceptive trade practice and to prohibit their use in consumer transactions, and for other purposes.

H.R.1461: To amend the Consumer Credit Protection Act to ban abusive credit practices, enhance consumer disclosures, protect underage consumers, and for other purposes.

H.R. 1869: to enhance the ability of community banks to foster economic growth and serve their communities, boost small businesses, increase individual savings, and for other purposes.

H.R.2146: To amend the Truth in Lending Act to prohibit universal defaults on credit card accounts, and for other purposes.

H.R.2669: Higher Education Access Act of 2007

H.R. 4332: To amend the Federal Financial Institutions Examination Council Act to require the council to establish a single telephone number that consumers with complaints or inquiries could call and be routed to the appropriate federal banking or state bank supervisor.

S.1176: A bill to require enhanced disclosure to consumers regarding the consequences of making only minimum required payments in the repayment of credit card debt, and for other purposes.

S.1309: A bill to amend the Truth in Lending Act to prohibit universal defaults on credit card accounts, and for other purposes.

S.1395: A bill to prevent unfair practices in credit card accounts, and for other purposes.

S.1642: A bill to extend the authorization of programs under the Higher Education Act of 1965, and for other purposes.

S.1831: A bill to amend the Truth in Lending Act, to improve disclosures for private student loans, and for other purposes.

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Printed Name and Title

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

GOV

Government Issues

 (one per page)

16. Specific lobbying issues

H.R.372: To direct the Federal Trade Commission to revise the regulations regarding the Do-not-call registry to prohibit politically-oriented recorded message telephone calls to telephone numbers listed on that registry.

S.1: A bill to provide greater transparency in the legislative process.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Federal Trade Commission
Federal Election Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Patti	Blumer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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2000011536

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: GOV - Government Issues

S.88: A bill to increase the penalty for failure to comply with lobbying disclosure requirements.

S.192: A bill providing greater transparency with respect to lobbying activities, and for other purposes.

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Printed Name and Title

v5.0.1b

Registrant

PRINCIPAL FINANCIAL GROUP

Client Name

PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

FIN

Financial Institutions/Investments/Sec

(one per page)

16. Specific lobbying issues

H.R.515: To establish a commission on corporate entitlement reform.

17. House(s) of Congress and Federal agencies

☐

Check if None

☒

House

☒

Senate

Financial Crimes Enforcement Network

18. Name of each individual who acted as a lobbyist in this issue area

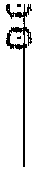
Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Richard	Lawson		
Patti	Blumer		
Sue	Palmer		

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒

Check if None

1000011538



Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: FIN - Financial Institutions/Investments/Sec

H.R.946: To extend the protections of the Truth in Lending Act to overdraft protection programs and services provided by depository institutions, to require customer consent before a depository institution may initiate overdraft protection services and fees, to enhance the information made available to consumers relating to overdraft protection services and fees, to prohibit systematic manipulation in the posting of checks and other debits to a depository account for the purpose of generating overdraft protection fees, and for other purposes.

H.R.1066: To increase community development investments by depository institutions, and for other purposes.

H.R.1208: To amend the Securities and Exchange Act of 1934 to require improved disclosure of corporate charitable contributions, and for other purposes.

H.R.1257: To amend the Securities Exchange Act of 1934 to provide shareholders with an advisory vote on executive compensation.

H.R. 1550: To reduce the reporting and certification burdens for certain financial institutions of sections 302 and 404 of Sarbanes-Oxley Act of 2002.

H.R. 1967: To amend the Gramm-Leach-Bliley Act to provide an exception from the continuing requirement for annual notices for financial institutions which do not share personal information with affiliates, and for other purposes.

H.R. 2586: to amend the Investment Advisers Act of 1940 to authorize the commission to require the registration of hedge advisers under that law.

H.R. 2595: To amend the Securities Exchange Act of 1934 to require the disclosure of proxy votes relating to executive director compensation by beneficial owners of more than five percent of a company's shares.

H.R. 2868: to eliminate the exemption from state regulation for certain securities designated by national securities exchange

H.R.3225: To require the Securities and Exchange Commission to improve the disclosure of fees and expenses of open-end investment companies registered under the Investment Company Act of 1940.

S.473: A bill to improve the prohibitions on money laundering, and for other purposes.

S. 1181: To amend the Securities Exchange Act of 1934 to provide shareholders with an advisory vote on executive compensation.

S.1402: A bill to amend the Investment Advisers Act of 1940, with respect to the exemption to registration requirements

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Printed Name and Title

v5.0.1b

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Registrant

PRINCIPAL FINANCIAL GROUP

Client Name

PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

CSP

Consumer Issues/Safety/Products

(one per page)

16. Specific lobbying issues

S. 1208: to provide additional security and privacy protection for Social Security account numbers.

S. 1558: to amend title 14, U.S. Code, to strengthen requirements related to security breaches of data involving the disclosure of sensitive personal information.

17. House(s) of Congress and Federal agencies

☐

Check if None

☒

House

☒

Senate

Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒

Check if None

1000011540

Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: CSP - Consumer Issues/Safety/Products

H.R.136: To amend title II of the Social Security Act to provide that individuals and appropriate authorities are notified by the Commissioner of Social Security of evidence of misuse of the Social Security account numbers of such individuals.

H.R.948: To strengthen the authority of the Federal Government to protect individuals from certain acts and practices in the sale, purchase, and use of Social Security numbers and Social Security account numbers, and for other purposes.

H.R.958: To protect consumers by requiring reasonable security policies and procedures to protect computerized data containing personal information, and to provide for nationwide notice in the event of a security breach.

H.R.1627: To amend title II of the Social Security Act to require that the Commissioner of Social Security notify individuals of improper use of their Social Security account numbers.

H.R.1685: To protect information relating to consumers, to require notice of security breaches, and for other purposes.

H.R. 2124: to amend title 44, U.S. Code, to strengthen requirements related to security breaches of data involving the disclosure of sensitive personal information.

H.R. 2455: to amend title II of the Social Security Act to prohibit the sale, purchase, and display to the general public of Social Security account number.

H.R.3316: To amend the Fair Credit Reporting Act to provide individuals the ability to control access to their credit reports and for other purposes.

H.R. 4175: To amend title 18, U.S. Code, with respect to data privacy and security, and for other purposes.

H.R. 4241: To prohibit the transfer of personal information to any person or business outside the United States, without the consent of the individual.

S.92: A bill to amend the Communications Act of 1934 to prohibit the unlawful acquisition and use of confidential customer proprietary network information, and for other purposes.

S.238: A bill to amend title 18, United States Code, to limit the misuse of Social Security numbers, to establish criminal penalties for such misuse, and for other purposes.

S.239: A bill to require Federal agencies, and persons engaged in interstate commerce, in possession of data containing personally identifiable information, to disclose any breach of such information.

S.495: A bill to prevent and mitigate identity theft, to ensure privacy, to provide notice of security breaches, and to enhance criminal penalties, law enforcement assistance, and other protections against security breaches, fraudulent access, and non-consensual disclosure of personally identifiable information.

S.806: A bill to give consumers tools to protect themselves from ID theft by allowing them to prevent unauthorized access to their credit reports, and for other purposes.

S.1178: A bill to strengthen data protection and safeguards, require data breach notification, and further prevent identity theft.

S.1260: A bill to protect information relating to consumers, to require notice of security breaches, and for other purposes.

S.1814: to provide individuals with access to health information of which they are a subject, ensure personal privacy with respect to health related information, promote the use of non-identifiable information for health research, impose criminal and civil penalties for unauthorized use of protected health information, to provide for the strong enforcement of these rights, and to protect states' rights.

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Printed Name and Title

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR Labor Issues/Antitrust/Workplace (one per page)

16. Specific lobbying issues

H.R.2: To amend the Fair Labor Standards Act of 1938 to provide for an increase in the Federal minimum wage.

H.R.19: To require employers to conduct employment eligibility verification.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Richard	Lawson		
Patti	Blumer		
Sue	Palmer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title

v5.0.1b

ADDENDUM for General Lobbying Issue Area: LBR - Labor Issues/Antitrust/Workplace

H.R.239: To increase the Federal minimum wage and to provide an alternative minimum wage as an incentive to an employer to provide health care and child care benefits.

H.R.493: To prohibit discrimination on the basis of genetic information with respect to health insurance and employment.

H.R.697: To preserve and protect the free choice of individual employees to form, join, or assist labor organizations, or refrain from such activities.

H.R.1369: To amend the Family and Medical Leave Act of 1993 to expand the scope of the Act, and for other purposes.

H.R.1542: To provide for paid sick leave to ensure that Americans can address their own health needs and the health needs of their families.

H.R.1644: To amend the National Labor Relations Act to clarify the definition of "supervisor" for purposes of such Act.

H.R. 2670: To amend the National Labor Relations Act to protect employer rights.

H.R.2792: To amend the Family and Medical Leave Act of 1993 to permit leave to care for a same-sex spouse, domestic partner, parent-in-law, adult child, sibling, or grandparent who has a serious health condition.

H.R. 4301: to permit employees to request, and to ensure employers consider requests for, flexible work terms and conditions.

S.2: A bill to amend the Fair Labor Standards Act of 1938 to provide for an increase in the Federal minimum wage.

S.358: A bill to prohibit discrimination on the basis of genetic information with respect to health insurance and employment.

S.555: A bill to amend the Internal Revenue Code of 1986 to allow small businesses to set up simple cafeteria plans to provide nontaxable employee benefits to their employees, to make changes in the requirements for cafeteria plans, flexible spending accounts, and benefits provided under such plans or accounts, and for other purposes.

S.910: A bill to provide for paid sick leave to ensure that Americans can address their own health needs and the health needs of their families.

S.1041: A bill to amend the National Labor Relations Act to establish an efficient system to enable employees to form, join, or assist labor organizations, to provide for mandatory injunctions for unfair labor practices during organizing efforts, and for other purposes.

S. 1301: To preserve and protect the free choice of individual employees to form, join, or assist labor organizations, or to refrain from such activities; read the first time.

S. 1312: To amend the National Labor Relations Act to ensure the right of employees to a secret-ballot election to conduct the National Labor Relations Board.

S. 1570: To amend the National Labor Relations Act to protect employer rights.

S. 2419: to permit employees to request, and to ensure employers consider requests for, flexible work terms and conditions.

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Printed Name and Title

v5.0.1b

Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LAW Law Enforcement/Crime/Criminal Justice (one per page)

16. Specific lobbying issues

H.R. 3013: to provide appropriate protection to attorney-client privileged communications and attorney work product.
S.186: A bill to provide appropriate protection to attorney-client privileged communications and attorney work product.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
R. Lucia	Riddle		
Richard	Lawson		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title

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ADDENDUM for General Lobbying Issue Area:

LAW - Law Enforcement/Crime/Criminal Justice

H.R.836: To amend title 18, United States Code, to better assure cyber-security, and for other purposes.

S.2168: A bill to amend title 18, United States Code, to enable increased federal prosecution of identity theft crimes and allow for restitution to victims of identity theft.

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Printed Name and Title

v5.0.1b

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Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code

BNK

 Bankruptcy (one per page)

16. Specific lobbying issues

HR 3609: To amend title 11 of the United States Code with respect to modification of certain mortgages on principal res and for other purposes.

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☐ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Patti	Blumer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title

v5.0.1b

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Registrant PRINCIPAL FINANCIAL GROUP

Client Name PRINCIPAL FINANCIAL GROUP

LOBBYING ACTIVITY Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD Budget/Appropriations (one per page)

16. Specific lobbying issues

HR2641: Making appropriations for energy and water development and related agencies for the fiscal year ending Septe 2008.
S.1751: An original bill making appropriations for energy and water development for the fiscal year ending September 3

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Patti	Blumer		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title R. Lucia Riddle, Vice President-Federal Government Relations

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