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SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name New York Stock Exchange, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 801 Pennsylvania Avenue, N.W., Suite 630, Washington, DC 2004			
3. Principal Place of Business (if different from line 2) New York City: New York/10005 State/zip (or Country)			
4. Contact Name Kevin R. Edgar	Telephone (202) 347-4300	E-mail (optional) kedgar@nyse.com	5. Senate ID # 29221-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 312820

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-December)
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇌ Termination Date _____ 11. No Lobbying**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇌ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇌ \$ <u>140,000.00</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(b) Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code
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2/17/04

Signature _____ Date _____

Printed Name and Title _____ Kevin R. Edgar, Special Counsel & Director

LD-2 (REV. 4/03)

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Registrant Name New York Stock Exchange, Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

S. 14, the "Energy Policy Act of 2003," Electricity Title, sections pertaining to market transparency

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Richard L. Ribbentrop	
Allen "Beau" Greenwood	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Kevin R. Dean

Date _____

2/17/04

Printed Name and Title Kevin R. Edgar, Special Counsel & Director

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Registrant Name New York Stock Exchange, Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Securities Market Structure issues
NYSE Corporate Governance Reforms
NYSE Listed Company Corporate Governance
Securities industry self-regulation
Post 9/11/01 issues: NYSE's business continuity planning, physical security, electronic/information security initiatives
H.R. 957, the "Broker Accountability through Enhanced Transparency Act"
H.R. 247, Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2003,
sections pertaining to SEC appropriations and disclosure of financial activity in sanctioned countries

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ananias "Andy" Blocker	
Kevin R. Edgar	
Allen "Beau" Greenwood	
Richard L. Ribbentrop	
Cecile Srodes	
Harry Day	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date 2/17/04

Printed Name and Title NEVIN R. EDGAR, Special Counsel & Director

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Registrant Name New York Stock Exchange, Inc. Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, c
affiliated organization

Signature

Kim L. Egan

Date

2/17/04

Printed Name and Title Kevin R. Edgar, Special Counsel & Director

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