



SECRETARY OF THE SENATE
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August 11, 2000

The Honorable Gary Sisco
Secretary of the Senate
Office of Public Records
232 Hart Senate Office Building
Washington, D.C. 20510

RE: LD-2 for American Bankers Association Insurance Association
Senate I.D. #44468-00012

Dear Mr. Sisco:

Pursuant to the Lobbying Disclosure Act of 1995, I enclose the American Bankers Association Insurance Association's LD-2, Lobbying Report, for the first half of 2000.

Sincerely,

A handwritten signature in cursive script that reads "Paul A. Smith".

Paul A. Smith
Counsel

Enclosure

cc: Beth Climo
John Gill

1120 Connecticut Avenue, N.W. ♦ Washington, D.C. 20036 ♦ TEL: 202-662-5163 ♦ FAX: 202-828-4546
The ABA Insurance Association is an affiliate of the American Bankers Association

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Bankers Association Insurance Association (ABATA)			
2. Address <input type="checkbox"/> Check if different than previously reported 1120 Connecticut Avenue, NW Washington, DC 20036			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Paul A. Smith	Telephone 202-663-5331	E-mail (optional) PSmith	5. Senate ID # 44468-00012
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 34077000		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$80,000 Expenses (nearest \$20,000) _____ 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____

Printed Name and Title Paul A. Smith, House Counsel

LD-2 (REV. 6/98)

PAGE 1 of 4

Registrant Name Securities Association Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAI (one per page)

16. Specific lobbying issues

Implementation of the provisions of the Gramm-Leach-Bliley Financial Modernization Act of 1999 (GLEBA), including:

- 1. Support of a Federal preemption of certain state laws restricting sales of insurance by national banks.
- 2. Procedures and requirements to become a Financial Holding Company under GLEBA.
- 3. Implementation of functional regulation of banking versus insurance activities of Financial Holding Companies and their subsidiaries.

17. House(s) of Congress and Federal agencies contacted Check if None
 Department of Treasury
 Federal Reserve System
 Office of the Comptroller of the Currency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Beth L. Climo (New)		<input type="checkbox"/>
Lawrence P. LaRocco		<input type="checkbox"/>
Paul A. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date August 11, 2000

Printed Name and Title Paul A. Smith, House Counsel

Registrant Name Insurance Association Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Support of draft Federal legislation to allow federal chartering and regulation of national insurance companies and agencies (no bill introduced)

Implementing regulations of the provisions of the Gramm-Leach-Bliley Financial Modernization Act of 1999, including:

1. Support of a Federal preemption of certain state laws restricting sales of insurance by national banks.
2. Implementation of functional regulation of banking versus insurance activities of Financial Holding Companies and their subsidiaries.
3. Financial privacy provisions of Title V.

17. House(s) of Congress and Federal agencies contacted Check if None

Senate
House of Representatives
Federal Reserve System
Office of the Comptroller of the Currency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Beth L. Climo (New)		<input type="checkbox"/>
Lawrence P. LaRocco		<input type="checkbox"/>
Paul A. Smith		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date August 11, 2000

Printed Name and Title Paul A. Smith, House Counsel

Registrant Name Insurance Association Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Lawrence P. LaRocco

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature *Paul Alan Smith* Date August 11, 2000
 Printed Name and Title Paul A. Smith, House Counsel