ALPINE GROUP

Government Relations Consultants

SECRETARY
04 SEP 23

United States Senate
Office of the Secretary
232 Hart Senate Office Building
Washington, DC 20510

September 17, 2004

E*Trade: 1171-696

Dear Secretary Reynolds,

This letter is to confirm that the lobbying income and lobbying issue activity disclosed for our client, E*Trade for the January-June 2004 reporting period and mid-year termination, does cover the entire period from January 2004 through the termination date of July 28, 2004.

A copy of the mid year report is attached. No fees were collected after the June 30, 2004 reporting period.

If you have any questions, please contact me.

Thank you,

Casey Hastings

Casey Hastings
Alpine Group
660 Pennsylvania Ave. SE
Suite 201
Washington, DC 20003

660 PENNSYLVANIA AVE., SE SUITE 201 WASHINGTON, DC 20003
TEL 202.547.1831 FAX 202.547.4658 ALPINE@ALPINEGROUP.COM

MID Y
04 AMDT TE

00000892000

Clerk of the House of Representatives	Secretary of the Senate
Legislative Resource Center	Office of Public Records
B-106 Cannon Building	232 Hart Building
Washington, DC 20515	Washington, DC 20510

SECRETARY OF T
04 SEP 23 AM

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -**All Filers Are Required to Complete This Page**

1. Registrant Name
Alpine Group, Inc.

2. Registrant Address ☐ Check if different than previously reported
Address **660 Pennsylvania Avenue, SE** **Suite 201**
City **Washington** State/Zip (or Country) **DC 20003**

3. Principal Place of Business (if different from line 2)
City State/Zip (or Country)

4. Contact Name	Telephone	E-mail (optional)	5. Senate ID
Casey Hastings	**202-548-2316**	**chastings@alpinegroup.com**	**1171-696**

7. Client Name ☐ Self	6. House ID
E*Trade	**31749033**

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ **OR** Year End (July 1-I

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☒ >> Termination Date 7/28/2004 11. No Lob

INCOME OR EXPENSES - Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than $10,000 ☐

$10,000 or more ☒ >> $ $10,000.00
Income (nearest $20,000)

Provide a good faith estimate, rounded to the nearest $20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this r period were:

Less than $10,000 ☐

$10,000 or more ☐ >> $ _____
Expenses (nearest

14. REPORTING METHOD. Check box to indic accounting method. See instructions for description

☐ **Method A.** Reporting amounts using LDA defi

☐ **Method B.** Reporting amounts under section 6(the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 1(Internal Revenue Code

Signature Casey Hastings Date 7/28/2004

Printed Name and Title Casey Hastings - Assistant

Registrant Name: **Alpine Group, Inc.**

Client Name: **E*Trade**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registr engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** prov information as requested. Attach additional page(s) as needed.

15. General issue area code **BAN** (one per page)
16. Specific Lobbying issues
    Financial service issues
    Check truncation
    Privacy issues affect E*Trade and their clients
    Privacy issues affecting E*Trade and their clients.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
    House of Representatives
    Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Means, Greg	
Peterson, Mark	
Shaw, Rhod	
White, Richard	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 7/28/2004

Printed Name and Title Casey Hastings - Assistant P

Registrant Name: **Alpine Group, Inc.**

Client Name: **E*Trade**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provi** information as requested. Attach additional page(s) as needed.

15. General issue area code **COM** (one per page)
16. Specific Lobbying issues
    H.R.1017, Anti-Spamming Act of 2001,
    Privacy issues affecting E*Trade and their clients.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
    House of Representatives
    Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Means, Greg	
Shaw, Rhod	
Waguespack, Stephen	**Legislative Director to Cg. Joe Barton**

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Ronald Lieberman 7/28/2004

Signature _____ Date 7/28/2004

Printed Name and Title Casey Hastings - Assistant P

Registrant Name: **Alpine Group, Inc.**

Client Name: **E*Trade**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registran engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provic** information as requested. Attach additional page(s) as needed.

15. General issue area code **CSP** (one per page)
16. Specific Lobbying issues
    H.R.1017, Anti-Spamming Act of 2001,
    Privacy issues affecting E*Trade and their clients.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
    House of Representatives
    Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Means, Greg	
Shaw, Rhod	
Waguespack, Stephen	**Legislative Director to Cg. Joe Barton**
White, Richard	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

7/28/2004

Signature _____ Date 7/28/2004

Printed Name and Title **Casey Hastings - Assistant** P

Registrant Name: **Alpine Group, Inc.**

Client Name: **E*Trade**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registra engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provi** information as requested. Attach additional page(s) as needed.

15. General issue area code **TEC** (one per page)
16. Specific Lobbying issues
    H.R.1017, Anti-Spamming Act of 2001,
    Privacy issues affecting E*Trade and their clients.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
    House of Representatives
    Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Means, Greg	
Shaw, Rhod	
White, Richard	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

7/28/2004

Signature _____ Date 7/28/2004

Printed Name and Title **Casey Hastings - Assistant** P