

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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03 SEP 17 PM 12:

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Research Foundation of State University of New York			
2. Address <input type="checkbox"/> Check if different than previously reported P.O. Box 9			
3. Principal Place of Business (if different from line 2) Albany New York 12201-0009 City: State/zip (or Country)			
4. Contact Name Christopher Hanifin	Telephone (518) 434-7170	E-mail (optional) christopher.hanifin@rfsuny.org	5. Senate ID # 56031-21
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 35165000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this report period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ 440,000.00 Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of op</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitio</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(I Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e Internal Revenue Code</p>
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Signature _____ Date _____

Printed Name and Title _____

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PAGE 1 of

Registrant Name Research Foundation of SUNY Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Requested direct federal (appropriations) funding support for various projects to be sponsored or administered by numerous campuses in the 64 institution system of the State University of New York, including AlbanyCryo-Power for the All-Electric Ship; BinghamtonCenter for Protein Dynamics, Advanced Sensor Design and Threat Detection Facility; BuffaloCenter for Excellence in Bioinformatics, Public Health Observatory; Buffalo State CollegeGreat Lakes Research Institute; Center for Excellence in Urban and Rural Education (CEURE); Canton Regional Center for Community-based Response to Terrorism; Cobleskill College of Agriculture and Technology; CortlandEnvironmental Education Center, Excellence in Science and Teaching Initiative;Downstate Medical Center Advanced Biotechnology Incubator Park; Empire State CollegeOnline Bachelor's Degree for Emergency Management Professionals

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Research Foundation of SUNY Client Name Self

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

ESF Center for Sustainable and Renewable Energy; ESF Source Sentinel Biosensor Technology for the Protection of Water and Air Systems; ESF and UpstateCNY Biotechnology Center; Farmingdale Center for Fuel Cell Research and Development; Fredonia Rural Information Technology Center; Fulton-Montgomery Community College Spatial Information Technology Center; Geneseo Materials Research Lab, Cluster Computing Facility; Great Lakes Research Consortium NY Great Lakes Initiative for Science and Education; Jamestown Community College Community Fitness Partnership, A Regional Solution for the Rural Disparity in Higher Education; Maritime On-line Education Center, Leadership Initiative for Economically Challenged Youth, Maritime Transportation and Port Security Research Center; New Paltz Environmental Laboratory Facility; New Paltz Literacy Teaching Center

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Matthew P. Behrmann	
Elizabeth Kempter	

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

Old Westbury Educational Outreach; Corporate and Community Education; International Student Exchange; Oneonta Biological Field Station Infrastructure Renovation, DNA Computing and Genomics Laboratory, Secondary Science Education Technology/Teaching Labs; Onondaga Community College Child Care Center, OCC Web College Project ACCESS Scholarship Program; Optometry Prevention and Treatment of Diabetic Retinopathy in Underserved Communities, Preventing Blindness from Glaucoma in Minority Populations, Emergency Eye Care in Large Urban Settings; Oswego Lake Ontario Research Center at Oswego; Potsdam Northern NY Travel and Tourism Research Center; NYC Initiative: Music Educators for NYC; Purchase Preparing Tomorrow's Leaders; Rockefeller Institute of Government Gov. Hugh Carey Center on State and Local Finance; Rockland Rockland University Center Shuttle Service

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

Rockland Haverstraw Extension Site; Technology Development; Stony Brook Regional Cancer Pathology Consortium Lab, NY State Center of Excellence in Wireless and Information Technology Program for Homeland Defense and National Security, The Model Community Services & Educational Program at the Cady Center, Creating a Multi-disciplinary Center to Develop Scientific Experts to Combat Terrorism; Sullivan County Community College Sullivan County Community College Campus Project; System Administration (on behalf of 47 campuses) Enhancing Access to Teacher Education Programs, Development of the SUNY Urban Teacher Education Center; Ulster County Community College Hudson Valley Environmental Science and Technology Center

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

University Technology Colleges (Canton, Utica/Rome, Alfred, Morrisville, Cobleskill, Delhi, Maritime, Farmingdale Practical Applications for New Technology in Energy Conservation and Development; Upstate Medical University Center for Emergency Preparedness, Bringing a Children's Hospital to Central NY; Westchester Community College The New Center, and Student Tracking System.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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15. General issue area code COM (one per page)

16. Specific lobbying issues

Advocated with Department of Commerce on behalf of International Programs for participating a business development mission to Romania and Bulgaria.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

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Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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15. General issue area code CPI (one per page)

16. Specific lobbying issues

Advocacy for the passage of Federal Legislation authorizing federal program support of increased domestic cyber-security research funding. In particular, advocating increased federal program support for University and College based cyber-security and information assurance research.

Advocacy for increased Nano scale research coordinated with a comprehensive National Nano program.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew Behrmann	
Beth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Research Foundation of SUNY Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

Advocated for the Higher Education Reauthorization Act with the Office of Vocational and Adult Education.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date _____

Printed Name and Title _____

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Advocate for affiliation of the Great Lakes Research Consortium

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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Printed Name and Title _____

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15. General issue area code GOV (one per page)

16. Specific lobbying issues

Sponsored a SUNY Day in Washington with SUNY Presidents to meet with Congressional Members, Senators and Bush Administration Officials.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of Education
Department of Homeland Security

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

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Printed Name and Title _____

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15. General issue area code LAW (one per page)

16. Specific lobbying issues

Advocated for the inclusion of provisions supporting university research and training programs in various legislative bills addressing homeland security.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Matthew P. Behrmann	
Elizabeth Kempter	

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

Advocacy inclusion for the Inter-modal Surface Transportation Funding Reauthorization on various research or infrastructure projects across the 64 State University campuses.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Matthew Behrmann	
Beth Kempter	

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Lobbying Report Expenses for January 1 – June 30, 2003

Expenses:

<u>Staff Salaries</u>	<u>half-year salary</u>	<u>Percent of Effort</u>
Matt Behrmann \$149,800/year	74,900	\$56,175 (75%)
Beth Kempter \$82,500/year	41,250	\$16,500(40%)
Meg Maier 42,500/year	\$21,250	\$2,125(10%)
Salary Expenses		\$ 74,800.00
Akin, Gump, Strauss, Feld & Hauer/travel expenses to DC		<u>\$364,573.99</u>
	GRAND TOTAL	\$439,373.99

Registrant Name ch Foundation of State University of Ne Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or cot

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, c
affiliated organization

Signature _____

Date September 13, 201

Printed Name and Title Christopher J. Hanifin, Assistant Vice President for Legal Affairs

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