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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name			
Stephens Group, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported			
111 Center Street, Suite 2500, Little Rock, AR 72201.			
3. Principal Place of Business (if different from line 2)			
City:	Little Rock	State/Zip (or Country)	Arkansas 72201
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Robert L. Schulte	501-377-2218	bschulte@stephens.com	36674-12
7. Client Name	<input checked="" type="checkbox"/> Self		6. House ID #
			30966000

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbyin

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇨ \$ _____	\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>140,000</u>
Income (nearest \$20,000)	Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature

*Robert Schulte*

8-14-02

Printed Name and Title Robert L. Schulte, Vice President

LD-2 (REV. 6/98)

Registrant Name Stephens Group, Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code LAW (one per page)

16. Specific lobbying issues

S1635, Pathogen Research, Emergency Preparedness and Response Efforts Act  
PREPARE Act

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Frank Thomas	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Robert L. Schulte* Date 8-14-02



Registrant Name Stephens Group, Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- H. R. 2576, Subchapter S Modernization Act of 2001, Estate and Gift Tax
- S. 1201, Subchapter S Modernization Act of 2001, Estate and Gift Tax


17. House(s) of Congress and Federal agencies contacted  Check if None

- House of Representatives
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Frank Thomas</u>	
.....	
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.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8-14-02

