

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HOFFMANN-LA ROCHE

2. Address:

1425 K STREET, NW, #650, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: SHANNON C. STEWARD

Telephone: 2024080090

E-mail (optional): shannon.cottrell-steward@roche.com

Senate ID #: 18411-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 3,193,529.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: HOFFMANN-LA ROCHE Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

BUD H.R. 3043; S. 1710-Labor, HHS and Education and H.R. 2764-Foreign Operations/Consolidated Appropriations Bill Appropriations related to preparedness for pandemic influenza, HIV/AIDS programs and HCV screening, care and treatment; BUD/Appropriations: S. Con Res 21, Budget resolution; funding for Pandemic Flu Preparedness; FY08 Labor -HHS Approps

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GARDNER, DARIN

Covered Official Position (if applicable): DIRECTOR, FGA

Name: MORRIS, EVAN

Covered Official Position (if applicable): VICE PRESIDENT

Name: SAGELY, ANNA

Covered Official Position (if applicable): DIRECTOR, FGA

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HOFFMANN-LA ROCHE Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

CPT: Patent/Trademark Issues - S. 1145; Patent Reform Act of 2007; Patent reform to eliminate a potential conflict between the U.S. District Courts and the ITC; Patent reform legislation;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GARDNER, DARIN

Covered Official Position (if applicable): DIRECTOR, FGA

Name: MORRIS, EVAN

Covered Official Position (if applicable): VICE PRESIDENT

Name: SAGELY, ANNA

Covered Official Position (if applicable): DIRECTOR, FGA

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HOFFMANN-LA ROCHE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

HCR: Healthcare Reimbursement Issues, Pandemic Preparedness; H.R.3043/S.1710, Departments of Labor, Health and Human Services, and Education Appropriations Act, 2008; H.R. 2764, Omnibus Appropriations Bill; Avian Flu Pandemic Preparation; Health pandemic flu funding; Coverage of Cancer drugs coverage of osteoporosis drugs (Boniva) H.R. 1293; Organ Donation and Recovery Improvement Act Transplant-related legislation: H.R. 3043/S.1710: FY2008 Labor/HHS/Education Appropriations P.L.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CALLAWAY, ANTHONY

Covered Official Position (if applicable): DIRECTOR, DIAGNOSTICS

Name: DANIEL, WILLIAM

Covered Official Position (if applicable): DIRECTOR, DIAGNOSTICS

Name: GARDNER, DARIN

Covered Official Position (if applicable): DIRECTOR, FGA

Name: MORRIS, EVAN

Covered Official Position (if applicable): VICE PRESIDENT

Name: SAGELY, ANNA

Covered Official Position (if applicable): DIRECTOR, FGA

Name: SCOTT, JAMES

Covered Official Position (if applicable): DIRECTOR, FGA

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HOFFMANN-LA ROCHE Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

HOM: Influenza Pandemic Preparedness federal, state and local levels;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GARDNER, DARIN

Covered Official Position (if applicable): DIRECTOR, FGA

Name: MORRIS, EVAN

Covered Official Position (if applicable): VICE PRESIDENT

Name: SAGELY, ANNA

Covered Official Position (if applicable): DIRECTOR, FGA

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HOFFMANN-LA ROCHE Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

MMM: Reimbursement and General Health Care Issues pertaining to Part B and End stage Renal Disease; S. 1338: Access to Medicare Imaging Act of 2007 H.R. 4206: Medicare Fracture Prevention and Osteoporosis Testing Act of 2007 (Coverage of Osteoporosis Screening Imaging (DEXA); Coverage of Immunosuppressive drugs Implementation of and appropriations related to P.L. 108-216; H.R. 3162: Children's Health and Medicare Protection Act of 2007; Medicare payment for diagnostic tests

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DANIEL, WILLIAM

Covered Official Position (if applicable): DIRECTOR, FGA

Name: GARDNER, DARIN

Covered Official Position (if applicable): DIRECTOR, FGA

Name: MORRIS, EVAN

Covered Official Position (if applicable): VICE PRESIDENT

Name: SCOTT, JAMES

Covered Official Position (if applicable): DIRECTOR, FGA

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HOFFMANN-LA ROCHE Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: VET (one per page)

16. Specific lobbying issues:

lobbying on various Veteran issues

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DANIEL, WILLIAM

Covered Official Position (if applicable): DIRECTOR, DIAGNOSTICS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: EVAN MORRIS, VICE PRESIDENT -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: -