

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ERISA INDUSTRY COMMITTEE

2. Address:

1400 L STREET, N.W., SUITE 350, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: MARK J. UGORETZ

Telephone: 202-789-1400

E-mail (optional): mugoretz@eric.org

Senate ID #: 13803-12

House ID #: 32318000

7. Client Name: Self

TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 140,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: ERISA INDUSTRY COMMITTEE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R.162 Mental Health and Substance Abuse Parity Amendments of 2001; all provisions. H.R.526 BiPartisan Patient Protection Act of 2001; all provisions. H.R.583 Workplace Privacy Study Commission; all provisions. H.R. 602 Genetic Nondiscrimination in Health Insurance and Employment Act of 2001; all provisions. H.R.1255 Extending COBRA; all provisions. H.R.1322 Emergency Retiree Health Benefits Protection Act; all provisions. H.R.1331 The Fair Care for the Uninsured Act of 2001; all provisions. H.R.1663 Health Care Coverage; all provisions. H.R.2315 Patients' Bill of Rights Act of 2001; all provisions. H.R.2563 Bi-Partisan Patient Protection Act; all provisions. H.R.3061 FY 2002 Labor-HHS Appropriations Bill; mental health parity provision (Title V). H.R.3090 Economic Stimulus Bill; COBRA subsidy provisions. H.R.3529 Economic Stimulus bill; COBRA subsidy provisions. H.R.602/S.318 (Genetic Information Non-Discrimination; health insurance provisions. S.6 Patients' Bill of Rights Act; all provisions. S.10 Medicare Prescription Drug Coverage Act 2001; all provisions. S.104 Equity in Prescription Insurance and Contraceptive Coverage Act of 2001; all provisions. S.382 Genetic Information Nondiscrimination in Health Insurance Act of 2001; all provisions. S.543 Mental Health Equitable Treatment Act of 2001; all provisions. S.1052 BiPartisan Patient Protection Act; all provisions. S.1055 Privacy Act of 2001; all provisions. S.1454 Displaced Workers Assistance Act; all provisions. S.1502 COBRA Subsidy Legislation; all provisions. S.283 Bi-Partisan Patient Protection Act of 2001; all provisions. S.543 Mental Health Equitable Treatment Act of 2001; all provisions. S.623 Extending COBRA; all provisions. S.683 The Fair Care for the Uninsured Act of 2001; all provisions. S.837 Defines Serice Providers; all provisions. S.868/H.R.1809 Cancer Screening Coverage Act of 2001; all provisions. S.872 BiPartisan Patients Bill of Rights Act of 2001; all provisions. S.889 BiPartisan Patients Bill of Rights Act of 2001; HIPAA - Non-Discrimination Rules; Medical Privacy Rules.

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)
Executive Office of the President
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)
Pension & Welfare Benefits Administration
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CHEN, LANHEE J.
Covered Official Position (if applicable): LEGISLATIVE REPRESENTATIVE
Name: KNETTEL, ANTHONY J.
Covered Official Position (if applicable): N/A
Name: UGORETZ, MARK J.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.
NA

Registrant Name: ERISA INDUSTRY COMMITTEE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

H.R. 333 Bankruptcy Reform Act of 2001; §224. H.R.1026 Increased IRAs for All Act of 2001. H.R.1487 AMT Relief for ISOs; entire bill. H.R.2036 Social Security Number Privacy and Identity Theft Prevention Act of 2001. H.R.2269 Retirement Security Advice Act of 2001; entire bill. H.R.2282 Preservation of Civil Rights Protections Act of 2001; entire bill. H.R.2558 Teacher Voluntary Early Retirement Act of 2001; entire bill. H.R.2695 Treatment of ISOs and ESPPs; entire bill. H.R.2794 AMT Relief for ISOs; entire bill. H.R.2884 Victims of Terrorism Tax Relief Act of 2001; Title I. H.R.2968 Aviation Employee Assistance Relief Act of 2001; entire bill. H.R.3090 Economic Security and Recovery Act of 2001; Title III. H.R.3463 The Pension Protection Act; entire bill. H.R.3488 Retirement Opportunity Expansion Act of 2001; entire bill. H.R.3509 Retirement Account Protection Act of 2001; entire bill. S. 220 Bankruptcy Reform Act of 2001; §224. S. 848 The Social Security Misuse Prevention Act of 2001; entire bill. S.1055 The Privacy Act of 2001; Titles II, IV. S.1142 AMT Relief for ISOs; entire bill. S.1383 Treatment of ISOs and ESPPs; entire bill. S.1677 Independent Investment Advice Act of 2001; entire bill. S.1838 Pension Protection and Diversification Act of 2001; entire bill.

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)
Equal Employment Opportunity Commission (EEOC)
Executive Office of the President
HOUSE OF REPRESENTATIVES
Labor, Dept of (DOL)
Pension & Welfare Benefits Administration
Pension Benefit Guaranty Corporation (PBGC)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CURABBA, NICHOLAS S.
Covered Official Position (if applicable): N/A
Name: GREGORY, JANICE M.
Covered Official Position (if applicable): N/A
Name: UGORETZ, MARK J.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 14, 2002

Printed Name and Title: DEBORAH CHIN - DIRECTOR, ADMINISTRATION