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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Canfield &amp; Associates, Inc.</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>801 Pennsylvania Avenue, NW</b> Suite <b>625</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20004</b>			
3. Principal Place of Business (if different from line 2) City <b>Same</b> State/Zip (or Country)			
4. Contact Name <b>April Boston</b>	Telephone <b>(202) 661-2100</b>	E-mail (optional) <b>april@canfieldassoc.com</b>	5. Senate ID # <b>7997-0003</b>
7. Client Name <input type="checkbox"/> Self <b>Association of Financial Guaranty Insurers</b>			6. House ID # <b>3359-2002</b>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_

11. No Lobbying Activities ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$40,000.00</u> <small>Income (nearest \$20,000)</small> Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ <small>Expenses (nearest \$20,000)</small> <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6011 of the Internal Revenue Code <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code

Signature \_\_\_\_\_ Date 2/17/2005

Printed Name and Title Anne Canfield - President |

Registrant Name: Canfield & Associates, Inc.

Client Name: Association of Financial Guaranty Insurers

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues

**HR 333, Bankruptcy Reform Act of 2001, Provision related to asset-backed securities and state and local tax**  
**HR 5221, Employee Abuse Prevention Act, Provisions related to conventional mortgage loan securitization**  
**Public Law 107-56, Financial Anti-Terrorism Act (USA Patriot Act), Provisions related to the issuance of rules**  
**covering money laundering by the Department of Treasury**

**S. 2798, Employee Abuse Prevention Act, Provisions related to conventional mortgage loan securitizations**  
**S. 420, Bankruptcy Reform Act of 2001, Provisions related to asset-backed securities and state and local tax**  
**Regulations concerning implementation of USA Patriot Act - issues related to money laundering regulator**  
**financial guaranty insurers**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Treasury**  
**U.S. House of Representatives**  
**U.S. Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blauwet, Roger</b>	
<b>Boston, April</b>	
<b>Canfield, Anne</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Anne Canfield - President |

Registrant Name: Canfield & Associates, Inc.

Client Name: Association of Financial Guaranty Insurers

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**HR 4071, Uniform Securities Disclosure Act, Provisions related to SEC requirements**

**Monitor HR 1409, Secondary Mortgage Market Enterprises Regulatory Improvement Act, and issues impacting the secondary market.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**U.S. House of Representatives**

**U.S. Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blauwet, Roger</b>	
<b>Boston, April</b>	
<b>Canfield, Anne</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 11/12/2020

Printed Name and Title Anne Canfield - President 1

Registrant Name: Canfield & Associates, Inc.

Client Name: Association of Financial Guaranty Insurers

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific Lobbying issues

**HR 1743, National Terrorism Reinsurance Fund Act, Provisions related to defining financial guaranty ins property and casualty companies**

**HR 3210, Terrorism Risk Protection Act, Provisions related to defining financial guaranty insurers as prop casualty insurers**

**S 2600, Terrorism Risk Insurance Act of 2002, Provisions related to defining financial guaranty insurers a casualty insurers.**

**Monitored Treasury guidance implementing the Terrorism Insurance Act of 2002 and upcoming Treasury implementing the Terrorism Insurance Act of 2002.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

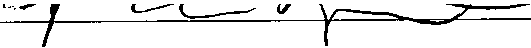
**Department of Treasury  
House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blauwet, Roger</b>	
<b>Boston, April</b>	
<b>Canfield, Anne</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature  Date 11/1/2020

Printed Name and Title Anne Canfield - President 1