

01 FEB 13 PM 12:43

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Northwestern Memorial Hospital			
2. Address <input type="checkbox"/> Check if different than previously reported 240 E. Ontario, Suite 500, Chicago, IL 60611			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Karlene Wenz	Telephone 312-926-2006	E-mail (optional) kwenz@nmh.org	5. Senate ID # 29595-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 31287000		

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇌ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇌ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇌ \$ <u>200,000</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Karlene S. Wenz

Printed Name and Title Karlene S. Wenz, Director, Government Relations

Registrant Name Northwestern Memorial Hospital Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Implementation of the Balanced Budget Act of 1997
Implementation of the Health Insurance Protection and Portability Act of 1996
Implementation of the Balanced Budget Refinement Act of 1999
Organ Transplant Regulations
Tracked and monitored the Following Bills:
H.R. 1388, Medicare Cancer Clinical Trial Coverage Act of 1999
S.784, Medicare Cancer Clinical Trial Coverage Act of 1999
H.R.1798, Clinical Research Enhancement Act of 1999
H.R.2391, National Center for Research on Domestic Health Disparity.

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Health and Human Services
Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Karlene Wenz		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Karlene S. Wenz* Date 2/12/01
Printed Name and Title Karlene Wenz, Director, Government Relations

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues (cont.)

- S.1091, Pediatric Research Initiative Act of 1999
- H.R.2621, Pediatric Research Initiative Act of 1999
- H.R.3110, Dr. Sydney E. Salmon Access to Cancer Research Clinical Trials Act of 1999
- H.R.4605, Human Research Subjects Protection Act of 2000
- S.1010, Medical Innovation Tax Credit for Clinical Testing Research
- S.1267, Clinical Trials Disclosure Act of 1999
- S.1813, Clinical Research Enhancement Act of 1999
- H.R.2498, Public Health Improvement Act
- H.R.4365, Childrens Health Act, 2000

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Department of Health and Human Services
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karlene Wenz		<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Karlene S. Wenz* Date 2/12/01
 Printed Name and Title Karlene S. Wenz, Director, Government Relations

Registrant Name: Northwestern Memorial Hospital Client Name: _____

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15. General issue area code HCR (one per page)

16. Specific lobbying issues (cont.)

- S.2038, Medical Error Reduction Act of 2000
- S.2738, Patient Safety and Errors Reduction Act
- S.2743, Voluntary Error Reduction and Improvement in Patient Safety Act
- H.R.1057, Medical Information Privacy Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.1941, Health Information Privacy Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.2404, Personal Medical Information Protection Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Department of Health and Human Services
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
Karlene Wenz		<input type="checkbox"/>
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Signature: *Karlene S. Wenz* Date: 2/12/01
Printed Name and Title: Karlene Wenz, Director, Government Relations

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15. General issue area code HCR (one per page)

16. Specific lobbying issues (cont.)

- H.R.2457, Genetic Nondiscrimination in Health Insurance and Employment Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- S.1322, Genetic Nondiscrimination in Health Insurance and Employment Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.2470, Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Department of Health and Human Services
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
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15. General issue area code HCR (one per page)

16. Specific lobbying issues (cont.)

- H.R.2878, Medical Privacy in the Age of New Technologies Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.4585, Medical Financial Privacy Protection Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- S.573, Medical Information Privacy and Security Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Department of Health and Human Services
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	NW
Karlene Wenz		<input type="checkbox"/>
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Signature *Karlene S. Wenz* Date 2/12/01

Printed Name and Title Karlene Wenz, Director, Government Relations

Registrant Name Northwestern Memorial Hospital Client Name _____

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R.4577, Department of Labor, Health and Human Services & Education & Related Agencies Appropriations bill, 2001. Title II-Tracked and monitored funding for NIH and secure funding for Hospital
S.2553, Department of Labor, Health & Human Services & Education & Related Agencies Appropriations bill, 2001. Title II-Tracked and monitored funding for NIH and secure funding for Hospital.
H.R.5656, Department of Labor, Health & Human Services & Education & Related Agencies Appropriation bill, 2001. Title II-Tracked and monitored funding for NIH and secure funding for Hospital
H.R.4635, Department of Veterans Affairs, Housing and Urban Development and Related Agencies Appropriations bill, 2001. Secure funding for Hospital.

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Health & Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
Karlene Wenz		<input type="checkbox"/>
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Signature Karlene S. Wenz Date 2/12/01
Printed Name and Title Karlene Wenz, Director, Government Relations

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15. General issue area code BUD (one per page)

16. Specific lobbying issues (cont.)

- H.R. 5482, Departments of Veterans Affairs, Housing and Urban Development and Related Agencies Appropriations bill, 2001. Secure funding for Hospital.
- H.J. Res. 109-Making further continuing appropriations for the FY2001, and for other purposes.
- H.J. RES 110-Making further continuing appropriations for the FY2001 and other purposes.
- H.J. RES 111-Making further continuing appropriations for the FY2001 and for other purposes.
- H.J. Res. 114-Making further continuing appropriations for the FY2001 and for other purposes.
- H.J. Res. 115-Making further continuing appropriations for the FY2001 and for other purposes.
- H.J. Res. 116-Making further continuing appropriations for the FY2001 and for other purposes.
- H.J. Res. 117-Making further continuing appropriations for the FY2001 and for other purposes.

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Health & Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
Karlene Wenz		<input type="checkbox"/>
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Signature Karlene Wenz Date 2/12/01
Printed Name and Title Karlene Wenz, Director, Government Relations

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15. General issue area code BUD (one per page)

16. Specific lobbying issues (cont.)

- 3.J. Res. 118-Making further continuing appropriations for the FY2001, and for other purposes.
- 3.J. Res. 119-Making further continuing appropriations for the FY2001, and for other purposes.
- 3.J. Res. 120-Making further continuing appropriations for the FY2001 and for other purposes.
- 3.J. Res. 121-Making further continuing appropriations for FY2001, and for other purposes.
- 3.J. Res. 122-Making further continuing appropriations for the FY2001, and for other purposes.
- 3.J. Res. 123-Making further continuing appropriations for the FY2001, and for other purposes.
- 3.J. Res. 124-Making further continuing appropriations for the FY2001, and for other purposes.
- 3.J. Res. 84-Making further continuing appropriations for the FY2001, and for other purposes.

17. House(s) of Congress and Federal agencies contacted Check if None

- House of Representatives
- Senate
- Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	M
Karlene Wenz		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature: *Karlene S. Wenz* Date: 2/12/01
 Printed Name and Title: Karlene Wenz, Director, Government Relations

Registrant Name Northwestern Memorial Hospital Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues (cont.)

- H.J. Res. 125-Making further continuing appropriations for the FY2001, and for other purposes.
- H.J. Res. 126-Making further continuing appropriations for the FY2001, and for other purposes.
- H.J. Res. 127-Making further continuing appropriations for the FY2001, and for other purposes.
- J.J. Res. 128-Making further continuing appropriations for the FY2001, and for other purposes.
- H.J. Res. 129-Making further continuing appropriations for the FY2001, and for other purposes.
- H.J. Res. 133-Making further continuing appropriations for the FY2001 and for other purposes.

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Health & Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Karlene Wenz		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Karlene S. Wenz Date 2/12/01
Printed Name and Title Karlene Wenz, Director, Government Relations

Registrant Name Northwestern Memorial Hospital Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

S.2015, Stem Cell Research Act of 2000. Tracked and monitored bill.

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Yes
Karlene Wenz		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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Signature Karlene S. Wenz Date 2/12/01
Printed Name and Title Karlene Wenz, Director, Government Relations

Registrant Name Northwestern Memorial Hospital Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMH (one per page)

16. Specific lobbying issues

- Implementation of the Balanced Budget Act of 1997
- Implementation of the Health Insurance Protection and Portability Act of 1996
- Implementation of the Balanced Budget Refinement Act of 1999
- Organ Transplant Regulations
- Medicare, Medicaid & SCHIP Benefits Improvement Act of 2000
- Medicare Upper Payment Limit Regulations

17. House(s) of Congress and Federal agencies contacted Check if None

- Department of Health & Human Services
- Executive Office of the President
- House of Representatives
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karlene Wenz		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>
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		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Karlene Wenz* Date 2/12/01
Printed Name and Title Karlene Wenz, Director, Government Relations

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Kathleen S. Wenz Date 2/12/01
 Printed Name and Title Director, Govt Relations