

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REPORT

SECRET

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

1. Registrant Name

Capitol Associates, Inc.

2. Address ☐ Check if different than previously reported

426 C Street, NE, Washington, DC 20002

3. Principal Place of Business (if different from line 2)

City: State/Zip (or Country)

4. Contact Name

Telephone

E-mail (optional)

5. Se

Debra M. Hardy Havens

202-544-1880

dh@capitolassociates.com

8101

7. Client Name

☐ Self

6. Hc

Kennedy Krieger Institute

301

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ ⇒ \$ 40,000
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See Instructions for description of

☐ **Method A.** Reporting amounts using LDA definition

☐ **Method B.** Reporting amounts under section 603 of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162 of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for** provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.Con.Res. 83 - Fiscal Year 2002 Budget Resolution

H.R. 2216 - Fiscal Year 2001 Supplemental Appropriations Act

H.R. - Department of Labor, Health and Human Services and Education and Related Agencies Appropriations Act, 2002. Specific interest in funding for the National Institutes of Health, other public health accounts, and education funding.

H.R. 2620 - Department of Veterans Affairs and Housing and Urban Development Independent Agencies Appropriations Act, 2002. Specific interest in funding for the HUD Economic Development Initiatives.

S. 1216 - Department of Veterans Affairs and Housing and Urban Development Independent Agencies Appropriations Act, 2002. Specific interest in funding for the HUD Economic Development Initiatives.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House

Senate

U.S. Department of Health and Human Services, National Institutes of Health

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Sara Milo	
Julie Pawelczyk	
Ed Long	
Matt Williams	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

[REDACTED]

Registrant Name Capitol Associates, Inc. Client Name: Kennedy Krieger Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Tracked and reported on the following legislation:

H. Con. Res. 17 – Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell re

S. 723, H.R. 2059 – Stem Cell Research Act of 2001

H.R. 2096 – Responsible Stem Cell Research Act of 2001

S. 790, H.R. 1644 – Human Cloning Prohibition Act of 2001

H.R. 2172 – Cloning Prohibition Act of 2001

Tracked and reported on Congressional Hearings, White House, and Department of Health and Human Servic regarding stem cell research and cloning

S. 838 – Best Pharmaceuticals for Children Act

-Tracked and monitored clinical research subject protection initiatives of the Department of Health and Hum White House, and the National Bioethics Advisory Commission, including financial conflicts of interest, ch Declaration of Helsinki, research involving children, and research conduct.

Tracked and reported on the following legislation:

H.R. 1975 - To modify the deadline for initial compliance with the standards and implementation specifications] under section 1173 of the Social Security Act, and for other purposes.

S. 836 - A bill to amend part C of title XI of the Social Security Act to provide for coordination of imple administrative simplification standards for health care information.

-Tracked and reported the release of the final regulations relative to medical records privacy and subsequ documents issued by the Department of Health and Human Services.

H.R. 1975 - To modify the deadline for initial compliance with the standards and implementation specifications] under section 1173 of the Social Security Act, and for other purposes.

S. 836 - A bill to amend part C of title XI of the Social Security Act to provide for coordination of imple administrative simplification standards for health care information.

-Tracked and reported the release of the final regulations relative to medical records privacy and subsequ documents issued by the Department of Health and Human Services.

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Sara Milo	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____
Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Monitor and track Medicare reforms, and prescription drug benefit.

S.357 – A bill to amend the Social Security Act to preserve and improve the medicare program.

S.358 : A bill to amend the Social Security Act to establish a Medicare Prescription Drug and Supplemental Benefit Program and for other purposes.

H.R.803 – To amend title XVIII of the Social Security Act to make the Medicare Program more competitive, efficient, to extend the solvency of the Medicare Program, to provide for a prescription drug benefit under the Medicare Program, to improve quality of care, to make Medicare supplemental insurance (Medigap) more affordable, and for other purposes.

S.10 – A bill to amend title XVIII of the Social Security Act to provide coverage of outpatient prescription drugs under the medicare program.

S.1135 – A bill to amend title XVIII of the Social Security Act to provide comprehensive reform of the medicare program, including the provision of coverage of outpatient prescription drugs under such program.

H.R.1400 – To provide for substantial reductions in the price of prescription drugs for Medicare beneficiaries.

S. 824 – Medication Errors Reduction Act of 2001

S. 863, H.R. 1804 – Patient Safety Act of 2001

H.R. 2340 – Patient Safety and Health Care Whistleblower Protection Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Department of Health and Human Services, Health Care Financing Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matt Williams	
Sara Milo	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc. Client Name Kennedy Krieger Institute

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage client

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature _____ Date _____

Printed Name and Title Debra M. Hardy Havens, CEO

Form LD-2 (Rev. 6/98)

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