



American Association of  
**HEALTH PLANS**

SECRETARY OF THE SENATE  
00 AUG -9 AM 10:28

**VIA MESSENGER DELIVERY**

August 9, 2000

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, D.C. 20510

**Senate Identification Number 1581-12**

Dear Sir/Madam:

Enclosed please find a Lobbying Report for the six months ending June 30, 2000 for the American Association of Health Plans, Inc. Please call me at (202) 778-3210 if you require any further information.

Sincerely,

Louis Saccoccia  
General Counsel

Enclosure

1129 Twentieth Street, NW • Suite 600 • Washington, DC 20036 • 202-778-3200 • FAX: 202-331-7487

Clerk of the House of Representatives    Secretary of the Senate  
 Legislative Resource Center    Office of Public Records  
 H-106 Cannon Building    232 Hart Building  
 Washington, DC 20515    Washington, DC 20510

SECRETARY OF THE SENATE

## LOBBYING REPORT

00 AUG -9 AM 10:28

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Association of Health Plans, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1129 20th St., NW, Suite 600, Washington, D.C. 20036			
3. Principal Place of Business (if different from line 2)			
City		State/Zip (or Country)	
4. Contact Name Louis Saccoccio	Telephone 202-778-3210	E-mail (optional) lsaccoccio@aahp.org	5. Senate ID # 1581-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 3062900		

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Activity

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms  INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> ⇒ \$ <u>      </u> Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations  EXPENSES relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>\$1,880,000.00</u> Expenses (nearest \$20,000)  14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.  <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only  <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
--	--

Signature \_\_\_\_\_

Printed Name and Title Louis Saccoccio, General Counsel  
FD-2 (REV. 6/98)

PAGE 1 of 5

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

**Health Care (Legislative):** All provisions relating to health plans and network-based health care in the following bills: S. 6, The Patients' Bill of Rights Act; S. 96, The Y2K Act; S. 300, The Patients' Bill of Rights Plus Act; S. 326, The Patients' Bill of Rights Act; S. 374, The Promoting Responsible Managed Care Act; S. 513, The Medical Information Privacy and Security Act; S. 578, The Health Care Personal Information NonDisclosure Act; S. 881, The Medical Information Protection Act; S. 1250, The Patients' Bill of Rights Act; S. 1344, The Patients' Bill of Rights Act; The Labor-HHS Appropriations Bill for FY 2001; S. 2038, The Medical Error Reduction Act; S. 2320, The Health Coverage, Access, Relief and Equity Act; S. 2378, The Stop All Frequent Errors in Medicare and Medicaid Act; S. 2513, The Financial Information Privacy Protective Act; S. 2553, The Labor-HHS-Education Appropriations Act for Fiscal Year 2001; S. 2736, The Patient Safety and Errors Reduction Act; S. 2743, The Voluntary Error Reduction and Improvement in Patient Safety Act; H.R. 10, The Financial Services Act; H.R. 216, The Access to Quality Care Act; H.R. 358, The Patients' Bill of Rights Act; H.R. 448, The Patient Protection Act; H.R. 719, The Managed Care Reform Act; H.R. 775, The Year 2000 Readiness and Responsibility Act; H.R. 904, The Access to Emergency Medical Services Act; H.R. 1336, The Affordable Health Care Act; H.R. 1304, The Quality Health-Care Coalition Act; H.R. 1687, The Patients' Health Care Choice Act; H.R. 2041, The Patient Right to Obstetric and Gynecological Care Act; H.R. 2042, The Health Care Access, Affordability, and Quality Advisory Commission Act; H.R. 2043, The Patient Right to Understand Medical Advice Act; H.R. 2044, The Patient Right to Pediatric Care Act; H.R. 2045, The Patient Right to Emergency Medical Care Act; H.R. 2046, The Patient Access to Information Act; H.R. 2047, The Small Business Access and Choice for Entrepreneurs Act; H.R. 2089, The Group Health Plan Review Standards Act; H.R. 2095, The Health Care Quality and Access Act; H.R. 2302, The Fair Care for the Uninsured Act; H.R. 2470, The Medical Information Protection and Research Enhancement Act; H.R. 2723, The Bipartisan Consensus Managed Care Improvement Act of 1999; H.R. 2824, The Health Care Quality and Choice Act; H.R. 2926, The Comprehensive Access and Responsibility in Health Care Act; H.R. 2990, The Quality Care for the Uninsured Act; H.R. 3474, The Labor-HHS-Education Appropriations Bill for Fiscal Year 2000; H.R. 4049, The Privacy Commission Act; H.R. 4571, The Labor-HHS-Education Appropriations Act for Fiscal Year 2001; H.R. 4585, The Medical Financial Privacy Protection Act.

**Health Care (Regulatory):** ERISA claims regulations for health benefits; OPM plain language initiative; OPM mental health parity initiative; OPM patient safety initiative; implementation of HIPAA portability, accessibility and renewability requirements; HIPAA administrative simplification issues.

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives  
White House  
Department of Health and Human Services  
Department of Labor  
Office of Personnel Management

18. Name of each individual who acted as a lobbyist in this issue area

Name	New
Julie Goon	<input type="checkbox"/>
Kristin Stewart	<input checked="" type="checkbox"/>
Jacqueline Ponsfrel	<input checked="" type="checkbox"/>
Adrienne Biggerl	<input type="checkbox"/>
Scott Styles	<input type="checkbox"/>
Constance Schaller	<input type="checkbox"/>
Pamela Pergal	<input type="checkbox"/>
Diana C. Dennett	<input type="checkbox"/>
Rick Smith	<input type="checkbox"/>
Karen Ignagni	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Louis Saccoccia, General Counsel

Form FD-2 (Rev.6/98)

Page 2 of 5

Registrant Name ..... American Association of Health Plans, Inc. .... Client Name .....

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ..... MMMM ..... (one per page)

16. Specific lobbying issues

**Medicare/Medicaid (Regulatory):** Medicare+Choice implementation, including risk adjustment/enrollee data; adjusted community rate (ACR) and plan benefit package (PBP) policies; National Medicare Education program; Medicare+Choice enrollment issues; Medicare managed care marketing materials review and standardization; Medicare+Choice appeals and grievances; quality oversight requirements, including Medicare+Choice Monitoring Guide. In addition, Medicare managed care model compliance program guidance; Quality Improvement System for Managed Care (QISM); Medicaid managed care policies; Decoding under the Medicare+Choice Program; The Competitive Pricing Demonstration Project; Medicaid Upper Payment Limit.

**Medicare/Medicaid (Legislative):** S. 731, The Prescription Drug Fairness for Seniors Act; S. 841, The Access to Rx Medications in Medicare Act; S. 1788, The Medicare, Medicaid, and SCHIP Adjustment Act; S. 1893, The Medicare Preservation and Improvement Act; S. 2110, The Medicare+Choice Beneficiary Confidentiality Act; S. 2142, The Medicare Modernization Act; S. 2378, The Stop All Frequent Errors in Medicare and Medicaid Act; S. 2541, The Medicare Expansion for Needed Drugs Act; S. 2753, The Medicare Expansion for Needed Drugs Act; S. 2758, The Medicare Outpatient Drug Act; S. 2807, The Medicare Prescription Drug and Modernization Act; S. 2836, The Medicare Rx Drug Discount and Security Act; H.R. 664, The Prescription Drug Fairness for Seniors Act; H.R. 1495, The Access to Prescription Medications in Medicare; H.R. 2419, The Medicare+Choice Risk Adjustment Amendments; H.R. 3075, The Medicare Balanced Budget Refinement Act; H.R. 3092, The Save Medicare Beneficiaries Act; H.R. 3146, The Health Care Restoration Act; H.R. 3194, The Consolidated Omnibus Appropriations Bill for Fiscal Year 2001; H.R. 3284, The Medicare Beneficiaries' Choice Protection Act; H.R. 3426, The Medicare, Medicaid, and SCHIP Balanced Budget Refinement Act; H.R. 4236, The Seniors Health Choice Preservation Act; H.R. 4680, The Medicare Rx 2000 Act.

17. House(s) of Congress and Federal agencies contacted  
Senate  Check if None  
House of Representatives   
White House   
National Bipartisan Commission on the Future of Medicare   
Department of Health and Human Services   
Department of Labor   
Office of Personnel Management

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Julie Goon		<input type="checkbox"/>
Kristin Stewart		<input checked="" type="checkbox"/>
Jacqueline Pemfret		<input checked="" type="checkbox"/>
Audrienne Biggett		<input type="checkbox"/>
Sentt Styles		<input type="checkbox"/>
Candace Schaller		<input type="checkbox"/>
Patricia Pergal		<input type="checkbox"/>
Diana C. Bennett		<input type="checkbox"/>
Colette Desmarais		<input checked="" type="checkbox"/>
Rick Smith		<input type="checkbox"/>
Karen Ignagni		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature ..... Date .....

Printed Name and Title ..... Louis Saccoccio, General Counsel  
Form 1-D-2 (Rev. 6/98) Page 3 of 5

Registrant Name American Association of Health Plans, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code PHA (one per page)

16. Specific lobbying issues

**Pharmacy (Regulatory): FDA Draft Guidance on Medical Product Promotion by Healthcare Organizations and PBMs**

17. House(s) of Congress and Federal agencies contacted  Check if None

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New <input type="checkbox"/>
Candace Schaller		<input type="checkbox"/>
Patricia Pergal		<input type="checkbox"/>
Diana C. Deppert		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Louis Saccoccio, General Counsel

Form LD-2 (Rev. 6/98)

Page 4 of 5

✓ Registrant Name American Association of Health Plans, Inc. Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Kristin Bass

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

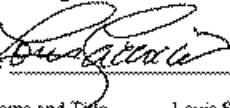
26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature 

Date 8-9-00

Printed Name and Title Louis Saccoccia, General Counsel

Form LD-2 (Rev. 6/98)

Page 5 of 5