

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE  
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Mutual of Omaha Companies			
2. Address <input type="checkbox"/> Check if different than previously reported 1700 Pennsylvania Ave., NW, Suite 500, Washington, DC 20006			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name William C. Mattox	Telephone (202) 393-6200	E-mail (optional)	5. Senate ID # 2628
7. Client Name <input checked="" type="checkbox"/> Self Self			6. House ID # 3199

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobbying

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>220,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description:</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6013 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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*W. C. Mattox*

Signature \_\_\_\_\_

Printed Name and Title William C. Mattox, Executive Vice President

LD-2 (REV. 6/98)

Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

<p>S 318 'Genetic Nondiscrimination in Health Insurance and Employment Act' Title I  S 543 'Mental Health Equitable Treatment Act of 2002' All Titles  S 1995 'Genetic Information Nondiscrimination Act of 2002' All Titles    HR 4066 'Mental Health Equitable Treatment Act of 2002' All Titles  HR 602 'Genetic Nondiscrimination in Health Insurance and Employment Act' Title I  HR 3897 'Healthcare Antitrust Improvements Act of 2002' All Titles</p>
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17. House(s) of Congress and Federal agencies contacted  Check if None

<p>Senate  House of Representatives</p>
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18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/14/200

Signature \_\_\_\_\_

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

S 1239 'Medicare Prescription Drug Discount and Security Act of 2001' All Titles  
S 2 '21st Century Medicare Act' All Titles  
HR 4954 'Medicare Modernization and Prescription Drug Act of 2002' Title I


17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/14/2003

Signature \_\_\_\_\_

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the re engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

S 627 'Long Term Care and Retirement Security Act of 2001' All Titles  
HR 3090 'Economic Stimulus Bill' All Titles  
HR 831 'Long Term Care and Retirement Security Act of 2001' All Titles  
HR 4946 'Improving Access to Long Term Care Act of 2002' All Titles

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature William C. Mattox Date 2/14/2007

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the re engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

S 2600 'Terrorism Risk Insurance Act of 2002' All Titles  
HR 4551 'Life Insurance Employee Notification Act' All Titles  
HR 3320 'To amend the Internal Revenue Code of 1986 to encourage guaranteed lifetime income payments from annuities and similar payments of life insurance proceeds at dates later than death by taxing the income portion of such payments at capital gains rates' Title I  
HR 3210 'Terrorism Risk Protection Act' All Titles

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

*William C. Mattox*

Date 2/10/20

Signature \_\_\_\_\_

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

S 1971 'National Employee Savings and Trust Equity Guarantee Act of 2002' All Titles  
HR 5095 'American Competitiveness and Corporate Accountability Act of 2002' All Titles  
HR 5432 'To amend the Internal Revenue Code of 1986 to require the same holding period for company stock acquired upon exercise of options as is applicable to company stock in its 401(k) plan, to require disclosure to shareholders of the amount of corporate perks provided to retired executives, and to provide parity for secured retirement benefits between the rank and file and executives.'

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature William C. Mattox Date 2/14/2005

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

- S 3010 'A bill to provide information and advice to pension plan participants to assist them in making decisions regarding the investment of their pension plan assets, and for other purposes' All Titles
- S 1992 'Protecting America's Pensions Act of 2002' All Titles
- S 1971 'National Employee Savings and Trust Equity Guarantee Act' All Titles
- S 1677 'Independent Investment Advice Act of 2001' All Titles
- S 2087 'A bill to amend the Internal Revenue Code of 1986 to allow employers a credit against income tax for the provision of independent investment advice to employees' All Titles

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature William C. Mattox Date 2/14/12

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

HR 2269 'Retirement Security Act of 2001' All Titles  
HR 3762 'Pension Security Act of 2002' All Titles  
HR 4931 'Retirement Savings Security Act of 2002' All Titles  
HR 5558 'Retirement Savings and Security Act of 2002' All Titles

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature William C. Mattox Date 2/14/2008

Printed Name and Title

↓  
William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

S 2201 'Online Personal Privacy Act' All Titles  
S 3100 'Social Security Number Misuse Prevention Act of 2002' All Titles  
HR 4678 'Consumer Privacy Protection Act of 2002' All Titles  
16 CFR, Part 310 'Ammendment to Telemarketing Sales Role' All Subsections.

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives  
Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Mattox	
Melissa S. Rewinkel	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature W. C. Mattox Date 2/14/200

Printed Name and Title William C. Mattox, Executive Vice President

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Registrant Name Mutual of Omaha Companies Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Anna Beardsley  
James Frogue

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

*Handwritten signature/initials*

2-14-25

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title William C. Mattox, Executive Vice President

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**Office of the Clerk**  
**Legislative Resource Center**  
**Lobby Disclosure Act**  
**Request for Notification of Receipt by E-mail**

Please complete the following information if you would like to receive an e-mail confirming receipt of your original filing. Please list each client House Identification Number separately.

**Registrant's Name:** Mutual of Omaha Companies

**Client House Identification Number:** 31991000

**Contact Name:** William C. Mattox

**Contact Title:** Executive Vice President

**Contact E-mail Address:** bill.mattox@mutualofomaha.com

**Contact's Daytime Phone Number:** 202/393-6200



