Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF TI O4 AUG 16 PI

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

Washington Council Ernst & Ye	oung		
2. Registrant Address	rent than previously reported State/Zip (or Country)	Suite 601 DC 20036 USA	
3. Principal Place of Business (if different fr	om line 2)		
City	State/Zip (or Country)		
4. Contact Name Bruce Gates	•	ail (optional) ace.gates@wc.ey.com	5. Senate ID # 57475-1231
7. Client Name Self Securities Industry Association		,	6. House ID # 35185075
	TS - Complete Fith	er Line 12 OR Line 13	
12. Lobbying F.		er Line 12 OR Line 13	erganizations
	irms		_
12. Lobbying F INCOME relating to lobbying activ	irms	13. O EXPENSES relating to lobby	_
12. Lobbying F INCOME relating to lobbying active period was: Less than \$10,000 □ \$10,000 or more ⋈ >> \$ _\$1	irms ities for this reporting 60,000.00	13. O EXPENSES relating to lobby period were:	ring activities for this re
12. Lobbying F INCOME relating to lobbying active period was: Less than \$10,000 □ \$10,000 or more ⋈ >> \$ _\$1	irms ities for this reporting 60,000.00 come (nearest \$20,000) ed to the nearest me from the client rant by any other entity	13. O EXPENSES relating to lobby period were: Less than \$10,000 □ \$10,000 or more □ >> \$ 14. REPORTING METHO accounting method. See instru □ Method A. Reporting am □ Method B. Reporting am	Expenses (nearest \$ D. Check box to indicate actions for description counts using LDA definations.)
12. Lobbying F INCOME relating to lobbying active period was: Less than \$10,000 □ \$10,000 or more ☒ >> \$\$1 In Provide a good faith estimate, round \$20,000 of all lobbying related incomplication including all payments to the registress.	irms ities for this reporting 60,000.00 come (nearest \$20,000) ed to the nearest me from the client rant by any other entity	13. O EXPENSES relating to lobby period were: Less than \$10,000 □ \$10,000 or more □ >> \$ 14. REPORTING METHO accounting method. See instru □ Method A. Reporting am □ Method B. Reporting am	Expenses (nearest S.D. Check box to indicate actions for description and the counts using LDA defination and the counts under section 60 Revenue Code anounts under section 160 mounts under section

Filing #528c0ded-ef28-4b44-8eb1-317e42463164 - Page 1 of 14

Date 7/19/2004

Signature	100	 Paic	
Printed Name and Title	Bruce Gates - Partner	 	F

Regi	strant Name:	Washington Council Ernst & Young	
Clie	nt Name:	Securities Industry Association	
enga	iged in lobbyin	IVITY. Select as many codes as necessary on behalf of the client during the reportinested. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.
15.	General issue	area code FIN (one per page)	
16.	tax issues rel investment a market struc spam deposit insur social securit privacy	ating to the financial services industry; of dvice ture/ market data ance reform	corporate accountability
17.	Department	ffice of the President	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Bazel, Laure	en	
	Conklin, Bri	an	Special Assistant to the President
	Del Monte, l	Brent	
	Doney, John		
	Garrett-Nels	son, LaBrenda	
	Gasper, Gar	у	
	Gates, Bruc	e	
10	Interest of an	ch foreign entity in the specific issues liste	ed on line 16 above

Date 7/19/2004

Signature		Date
Printed Name and Title	Bruce Gates - Partner	 1

Registrant Name: Washington Council Ernst & Young

Client Name:

Securities Industry Association

Item	Description	Data	
18a	Lobbyist Name	Giordano, Nick	
18b	Covered Official Position	·	
18c	New Lobbyist	No	
18a	Lobbyist Name	Grab, Francis	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Koch, Cathy	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Koshgarian, Dave	
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin	
18c	New Lobbyist	No	
18a	Lobbyist Name	McGuinness, Marty	
18b	Covered Official Position	N.	
18c	New Lobbyist	No	
18a	Lobbyist Name	Meltzer, Richard	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Moseley, Phil	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Phelps, Anne	
18b	Covered Official Position	Special Asst. to the President for Domestic Policy	
18c	New Lobbyist	Yes	
18a	Lobbyist Name	Porter, John	
18b	Covered Official Position	A7	
18c	New Lobbyist	No	
18a	Lobbyist Name	Rozen, Bob	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Steele Flynn, Donna	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Urban, Tim	
18b	Covered Official Position	NI.	
18c	New Lobbyist	No	
18a	Lobbyist Name	Wolff, Candi Asst. & Deputy Asst. to Vice President, Leg.Aff.	
18b	Covered Official Position		
18c	New Lobbyist	No	

Reg	istrant Name:	Washington Council Ernst & Young	
Clie	nt Name:	Securities Industry Association	
enga	aged in lobbyin	TVITY. Select as many codes as necessary on behalf of the client during the reportinested. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide
15.	General issue	area code RET (one per page)	
16.	4	al security, pension issues	
17.	Department Executive Of	Congress and Federal agencies contacted of Treasury Iffice of the President presentatives	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Bazel, Laure	en	
	Conklin, Bri	an	Special Assistant to the President
	Del Monte, l	Brent	
	Doney, John		
	Garrett-Nels	son, LaBrenda	
	Gasper, Gar	<u>'y</u>	
	Gates, Bruce	e	
10	Interest of	ch foreign entity in the specific issues liste	d on line 16 above 🛛 Check if None

Date 7/19/2004

Signature		Date
Printed Name and Title	Bruce Gates - Partner	

Registrant Name: Washington Council Ernst & Young

Client Name:

Securities Industry Association

Item	Description	Data	
18a	Lobbyist Name	Giordano, Nick	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Grab, Francis	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Koch, Cathy	
18b	Covered Official Position	A T	
18c	New Lobbyist	No	
18a	Lobbyist Name	Koshgarian, Dave	
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin	
18 c	New Lobbyist	No	
18a	Lobbyist Name	McGuinness, Marty	
18b	Covered Official Position	Nic	
18c	New Lobbyist	No	
18a	Lobbyist Name	Meltzer, Richard	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Moseley, Phil	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Phelps, Anne	
18b	Covered Official Position	Special Asst. to the President for Domestic Policy	
18c	New Lobbyist	Yes	
18a	Lobbyist Name	Porter, John	
18b	Covered Official Position	w.t	
18c	New Lobbyist	No	
18a	Lobbyist Name	Rozen, Bob	
18b	Covered Official Position	3 7	
18c	New Lobbyist	No	
18a	Lobbyist Name	Steele Flynn, Donna	
18b	Covered Official Position	NY.	
18c	New Lobbyist	No	
18a	Lobbyist Name	Urban, Tim	
18b	Covered Official Position		
18c	New Lobbyist	No	
18a	Lobbyist Name	Wolff, Candi	
18b	Covered Official Position	Asst. & Deputy Asst. to Vice President, Leg.Aff.	
18c	New Lobbyist	No	

Reg	istrant Name:	Washington Council Ernst & Young	
Clie	nt Name:	Securities Industry Association	
enga	iged in lobbyin		y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.
15.	General issue	area code TAX (one per page)	
16.		ssues ating to the financial services industry t of dividends fit plans iinimum tax	
	HR 10		
17.	Department (fice of the President	□ Check if None
18.	Name of each	individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Bazel, Laure	n	
	Conklin, Bris	an	Special Assistant to the President
	Del Monte, B	rent	
	Doney, John		
	Garrett-Nels	on, LaBrenda	
	Gasper, Gar	<u>y</u>	
	Gates, Bruce		
19.	Interest of eac	ch foreign entity in the specific issues liste	d on line 16 above 🔀 Check if None

Date 7/19/2004

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Signature		Date	
Printed Name and Title	Bruce Gates - Partner		Р

Registrant Name: Washington Council Ernst & Young

Client Name:

* * * *

Securities Industry Association

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ave	
Office of Congressman Ben Cardin	
Marty	
ırd	
the President for Domestic Policy	
Yes	
Porter, John	
Oonna	
A A Maria Direction A London	
y Asst. to Vice President, Leg.Aff.	