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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name J.P. Morgan Chase & Co.			
2. Address <input type="checkbox"/> Check if different than previously reported 270 Park Avenue, New York, New York 10017			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Gregory S. Meredith	Telephone 212-270-2178	E-mail (optional)	5. Senate ID # 25807-12
7. Client Name <input type="checkbox"/> Self			6. House ID # 31280001

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Income (nearest \$20,000)</small>	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>3,320,000</u> <small>Expenses (nearest \$20,000)</small>
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title Gregory S. Meredith, Senior Vice President



Registrant Name J.P. Morgan Chase & Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

HR 1051	All provisions relating to high cost mortgages
HR 2269	All provisions relating to ERISA reform, investment
HR 718, HR 1017	All provisions relating to unsolicited E-Mails
HR 556	All provisions relating to internet gambling business use of credit card services
HR 3152	All provisions relating to money laundering

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
L. Thomas Block	
Cory N. Strupp	
Susan L. Meade	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



4  
Registrant Name J.P. Morgan Chase & Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

HR 333 All provisions relating to bankruptcy reform, discharge of claims which are based on fraud  
HR 974 All provisions relating to payment of interest on corporate checking accounts and on bank reserves held at Federal Reserve  
S 1762 All provisions relating to interest rates on student loans  
HR 3068 All provisions relating to moratorium on state privacy laws presidential commission to study privacy rights of American

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
L. Thomas Block	
Cory N. Strupp	
Susan L. Meade	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name J.P. Morgan Chase & Co. Client Name Self

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15. General issue area code BAN (one per page)

**16. Specific lobbying issues**

Issues relating to cross-marketing between banks and merchant banking portfolio companies

Issues relating to deposit insurance reform

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Department of Treasury

**18. Name of each individual who acted as a lobbyist in this issue area**

Name	Covered Official Position (if applicable)
L. Thomas Block	
Cory N. Strupp	
Susan L. Meade	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_





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15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 1088	All provisions relating to reduction in SEC Fees
HR 3211	All provisions relating to netting of financial contra
Graham-Leach-Bliley Act	Issues relating to SEC push-out rules; issues relating to restrictions on cross-marketing to a company in which merchant banking investment has been made

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Department of Treasury  
Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
L. Thomas Block	
Cory N. Strupp	
Susan L. Meade	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name J.P. Morgan Chase & Co. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

HR 1333 All provisions relating to patent law reform  
S 1754, HR 2047 All provisions relating to PTO funding

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Cory N. Strupp	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name J.P. Morgan Chase & Co. Client Name Self

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15. General issue area code TRD (one per page)

**16. Specific lobbying issues**

- S 1004 All provisions relating to trade promotion authority, WTO financial services negotiations, FTA negotiations with Chile and Singapore, WTO accession negotiations with China and Russia, U.S. discussions with Japan on financial sector reform and U.S. support for reform process in Ukraine
- S 1307 All provisions relating to sanctions that could affect U.S. capital markets
- S 1447 All provisions regarding airlines honoring tickets issued by other airlines
- Issues relating to negotiations with EU commission on data privacy

17. House(s) of Congress and Federal agencies contacted  Check if None

- House of Representatives
- Senate
- Department of Treasury
- Department of State
- Federal Reserve Board
- U.S. Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
L. Thomas Block	
Edward W. Russell	
Cory N. Strupp	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name J.P. Morgan Chase & Co. Client Name Self

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 2269 All provisions relating to comprehensive ERISA reform, investment advice

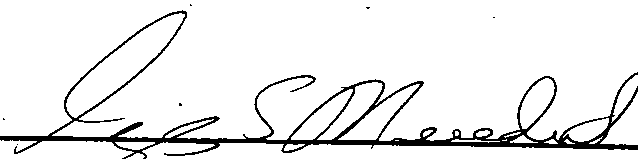
17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Cory N. Strupp	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date February 14, 20

Printed Name and Title Gregory S. Meredith, Senior Vice President

