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| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|   |                           |                     |                              |
|---|---------------------------|---------------------|------------------------------|
| 1. Registrant name  |                           |                     |                              |
| Organization  | Principal Financial Group |                     |                              |
| 2. Address <input type="checkbox"/> Check if different than previously reported |                           |                     |                              |
| 1350 I street, NW suite 880   |                           |                     |                              |
| City  | Washington                |                     |                              |
| State   | DC                        |                     |                              |
| Zip Code  | 20005                     |                     |                              |
| Country   | US                        |                     |                              |
| 3. Principal place of business (if different than line 2)                       |                           |                     |                              |
| City  | State                     | Zip Code            | Country                      |
| City  | State/Zip or Country      | Zip Code            | Country                      |
| 4a. Contact Name  |                           | b. Telephone number | c. E-mail                    |
| Prefix  | Full Name                 |                     |                              |
| Mr.   | Richard C. Lawson         | 202-682-1280        | lawson.richard@principal.com |
| 7. Client Name <input checked="" type="checkbox"/> Self                         |                           |                     | 5. Senate ID #               |
| Principal Financial Group   |                           |                     | 32178-                       |
|   |                           |                     | 6. House ID #                |
|   |                           |                     | 315880                       |

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Act

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

|   |   |
|---|---|
| <p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>800,000</u></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate exp accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code</p> |
|---|---|

Form C

Printed Name and Title R. Lucia Riddle, Vice President - Federal Government Relations



Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN - Banking (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue* 

None

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
|            |                   |        |   |
|            |                   |        |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None








Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue* 

none

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists to*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
|            |                   |        |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None







Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securities (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue*

none

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
| Sue        | Palmer            |        |   |
|            |                   |        |   |
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|            |                   |        |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None











Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code INS - Insurance (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue* 

none

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding individuals*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
| Sue        | Palmer            |        |   |
|            |                   |        |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None















Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod information as requested. Attach additional page(s) as needed.**

15. General issue area code GOV - Government Issues (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue*

none

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
| Sue        | Palmer            |        |   |
|            |                   |        |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None




Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue* 

none

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists to*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
| Sue        | Palmer            |        |   |
|            |                   |        |   |
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Registrant Name Principal Financial Group Client Name Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR - Torts (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue.*

none

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue activity of this issue.*

| First Name | Name<br>Last Name | Suffix | Covered Official Position (if applicable) |
|------------|-------------------|--------|---|
| Lucia      | Riddle            |        |   |
| Patti      | Blumer            |        |   |
|            |                   |        |   |
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|            |                   |        |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

*Add a page to continue.*



Registrant Name Principal Financial Group Client Name Principal Financial Group

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address  
Address

City State Zip Code Country

21. Client new principal place of business (if different than line 20)

City State Zip Code Country

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

| First Name | Last Name | Suffix | First Name | Last Name |
|------------|-----------|--------|------------|-----------|
| 1          |           |        | 3          |           |
| 2          |           |        | 4          |           |

**ISSUE UPDATE**

Find the code to select below.

24. General lobbying issues that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address          | Principal place of Business (city and state or country) |
|------|------------------|---|
|      | Address<br>C/S/Z | City<br>State<br>Country                                |
|      | Address<br>C/S/Z | City<br>State   |

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

|   |   |   |
|---|---|---|
| 1 | 2 | 3 |
|---|---|---|

**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Street Address | Address                | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Overseas client |
|------|----------------|------------------------|---|--|-----------------|
|      | City           | State/Province Country | City<br>State<br>Country                                |  |                 |

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant affiliated organization

|   |   |   |
|---|---|---|
| 1 | 3 | 5 |
| 2 | 4 | 6 |

Add a page to ...

