



RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS
150 North Michigan
Chicago, Illinois 6060
312-558-1000 Fax 312-75
02 MAR -8 AM 8:06
SCOTT H

312.750.2750 Fax 312.92
scott.hodes@rosshardi

January 28, 2002

Secretary of the Senate
Office of Public Records
232 Hart Senate Office
Washington, D. C. 20510-7716

Re: Lobbying Disclosure Act of 1995
Investment Company Institute - ID 33762-12

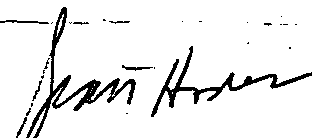
Dear Sir or Madam:

Enclosed please find a copy of Form LD-2 (Lobbying Report) for the Year Er
period July 1 through December 31, 2001. This Report is being submitted on behalf of
Registrant, Ross & Hardies.

If you have any questions concerning the attached Report, please do not hesita
contact the undersigned.

Please confirm receipt of this Report by date stamping the enclosed copy of th
letter and returning it to the undersigned in the self-addressed stamped envelope provided he

Very truly yours,



Scott Hodes

SH/j
enclosures

18821\00048\CH256259.WPD 1



RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

02 MAR -8 AM 8:06

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Ross & Hardies			
2. Address <input type="checkbox"/> Check if different than previously reported 150 North Michigan Avenue, Suite 2500, Chicago, Illinois 60601			
3. Principal Place of Business (if different from line 2) City: Chicago		State/Zip (or Country) Illinois 60601	
4. Contact Name Scott Hodes	Telephone 312/750-3522	E-mail (optional) scott.hodes@rosshardies.com	5. Senate ID # 3376
7. Client Name <input type="checkbox"/> Self Investment Company Institute			6. House ID # 31892-000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ → Termination Date _____ 11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>12,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code
--	--

Signature _____

Printed Name and Title Scott Hodes, Partner



Registrant Name Scott Hodes Client Name Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code Tax (one per page)

16. Specific lobbying issues

17. House(s) of Congress and Federal agencies contacted

☐ Check if None


U. S. House of Representatives
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Scott Hodes	- Partner

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature  Date 1/28/2002
Printed Name and Title Scott Hodes

