

SECRETARY OF THE SENATE

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H. O.



**Mortgage Bankers  
Association of America**

1919 Pennsylvania Avenue, NW  
Washington, DC 20006-3438  
www.mbaa.org

**TO:** Gary Sisco, Secretary of the Senate  
**FROM:** Simone C. Walton, Legislative Assistant *scw*  
**RE:** End of year Lobbying Report (1999)  
**DATE:** June 8, 2000

I received at my desk on June 6, 2000, a piece of correspondence dated May 8, 2000 from the Clerk of the U.S. House of Representatives that their office had not received our year end Lobbying Report for 1999; this means I also have not filed the report with your office.

This report was inadvertently not sent to your offices, due to the relocation of our offices in December and January, and then immediately following the relocation, our Legislative Department was in the midst of planning for our Washington Leadership Conference and the report was once again shuffled and misplaced among our paperwork.

Enclosed please find the end-of-year Lobbying Report for 1999 and we apologize for the delay.

Simone C. Walton, Legislative Assistant Legislative Affairs (202) 557-2816 (202) 721-0249 fax: simone\_walton@mbaa.org

Clerk of the House of Representatives  
 Legislative Resource Center  
 B-106 Cannon Building  
 Washington, DC 20515

Secretary of the Senate  
 Office of Public Records  
 232 Hart Building  
 Washington, DC 20510

SECRETARY OF THE SENATE  
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### LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>Mortgage Bankers Association of America</u>			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported <u>1919 Pennsylvania Avenue, NW Washington, DC 20006</u>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name <u>Elaine Z. Graham</u>	Telephone <u>202/557-2805</u>	E-mail (optional)	5. Senate ID # <u>25955-12</u>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <u>25955</u>

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____  <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ <u>\$202,829.43</u>  <small>Expenses (nearest \$20,000)</small></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. of Client Name Self  
America

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Bill, 2000 (no bill # as yet)

H. Con Res 10 Expressing sense of Congress that the GNMA fee should not be increased

S. Con Res 16 Expressing sense of Congress that the GNMA fee should not be increased

H. Con Res 290 FY01 Budget Resolution

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Eugene F. Swanzey</u>		<input type="checkbox"/>
<u>Rachel DeMarcus</u>		<input type="checkbox"/>
<u>Karen Kapen</u>		<input type="checkbox"/>
<u>Barbara Albrecht</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. of Client Name Self

America

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

HR 2544 Credit Cost Reduction Act of 1999

17. House(s) of Congress and Federal agencies contacted

Check if None

United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Eugene F. Swanzey</u>		<input type="checkbox"/>
<u>Karen Kapan</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. of America of Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 3703 Housing Finance Regulatory Improvement Act

HR 3194 Low income housing credit

17. House(s) of Congress and Federal agencies contacted  Check if None  
United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Eugene F. Swanzey</u>		<input type="checkbox"/>
<u>Karen Kaper</u>		<input type="checkbox"/>
<u>Rachel DeMarcus</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. Of America Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HOU (one per page)

16. Specific lobbying issues

HR 1776 American Homeownership and Economic Opportunity Act of 1999

HR 595 Homeowners Emergency Mortgage Assistance Act

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Eugene F. Swanzey		<input type="checkbox"/>
Karen Kapen		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. Of America Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

- PL 105-216 Homeowners Protection Act of 1998
- HR 21 Homeowners Insurance Availability Act
- HR 3637 Private Mortgage Insurance Technical Corrections and Clarification Act

17. House(s) of Congress and Federal agencies contacted  Check if None  
United States House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Eugene F. Swanzey		<input type="checkbox"/>
Karen Kapen		<input type="checkbox"/>
Jim Freeman		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_  
Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. of America of Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LAW (one per page)

16. Specific lobbying issues

HR 1875 Class Action Lawsuit REform Act of 1999

HR 775 Year 2000 Liability Act

17. House(s) of Congress and Federal agencies contacted

Check if None

United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Eugene F. Swanzy		<input type="checkbox"/>
Jim Freeman		<input type="checkbox"/>
Barbara Albrecht		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. of America of Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues  
HR 2488 Taxation of Real Estate Investment Trusts

17. House(s) of Congress and Federal agencies contacted  Check if None  
United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Eugene F. Swaney		<input type="checkbox"/>
Rachel DeMarcus		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Registrant Name Mortgage Bankers Assoc. Of America Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Jim Freeman

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Elaine Z. Graham Date 6/08/00  
Printed Name and Title ELAINE Z. GRAHAM, SENIOR STAFF VICE-PRESIDENT  
Form LD-2 (Rev. 6/98) GOVERNMENT AFFAIRS GROUP Page 10 of 10