Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE OF AUG 14 PH 12: 50.

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

| t. Registrant Name Duke Energy Corporation | | | |
|---|---|--|--|
| Address | USA | | |
| Principal Place of Business (if different from line 2) City | | | |
| 4. Contact Name Telephone E-mail Donald Hatley (704) 382-8199 | 11 (opiional) 5. Senate #D # 12744-12 | | |
| 7. Client Name 🔀 Self | 6. House 10 # 36901096 | | |
| O. Check if this is a Termination Report □ >> Termination INCOME OR EXPENSES - Complete Either 12. Labbrine Firms | r Line 12 OR Line 13 | | |
| 12. Lobbying Firms INCOME relating to lobbying activities for this reporting | 13. Organizations EXPENSES relating to lobbying activities for this reporting | | |
| period was: Less than \$10,000 📋 | period were: Less than \$10,000 | | |
| \$10,000 or more | \$10,000 or more >> \$ \$630,000,00 Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense | | |
| Provide a good fasth estimate, rounded to the nearest secounting method. See instructions for description of \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on helalf of the client). **Method A. Reporting amounts using LDA definition of the client of the cli | | | |
| | Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code Method C. Reporting amounts under section 162(c) of the Internal Revenue Code Internal Revenue Code Method Balance Me | | |
| ignature Doll & Holle | Date 8/14/2000 | | |
| rinted Name and Title Donald Hatley - Vice President Gor | | | |

| Biont Na | me: | Duke Energy | Corporatio | n | | | |
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| Client Name: Duke Energy Corporation LOBBYING ACTIVITY. Select as many codes an occessary to reflect the general issue areas in which the registrant capaged in abelying no behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed. 13. General issue area code: CAW | Registrant Name: | Duke Energy Corporation | | |
|--|--|--|---|------------|
| engaged in lobbying on behalf of the Lisent during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed. 15. General issue area code | Client Name: | Duke Energy Corporation | | |
| 16. Specific Lobbying issues H.R.2520, Credit for Voluntary Actions Act (714/99), Climate Change S.852, Locepty and Climate Policy Act of 1999, Climate Change S.5149, Credit for Voluntary Reductions Act, Climate Change S.1949, Clean Power Plant Act of 1999, Oxlo, SOZ, OZO 202 and Mercury Controls, Renewable Energy Provisions S.1369, Clean Four Pax Amendments of 1999, Climate Change S.1776, Climate Change Energy Policy Response Act, Climate Change S.1776, Climate Change Energy Policy Response Act, Climate Change H.R.2580, Clean Robert Policy Act of 1999, Climate Change H.R.2580, Clean Power Plant Act of 1999, No., SOZ, CO2 and Mercury Controls H.R.2980, Clean Power Plant Act of 1999, No., SOZ, CO2 and Mercury Controls H.R.2980, Clean Power Plant Act of 1999, No., SOZ, CO2 and Mercury Controls H.R.2980, Clean Power Plant Act of 1999, No., SOZ, CO2 and Mercury Controls House of Representatives Check if None | engaged in lobbyi | g on behalf of the client during the reporting | | |
| H.R. 2520, Credit for Voluntary Actions Act (J1499), Climate Change S.882, Energy and Climate Policy Act of 1999, Climate Change S.547, Credit for Voluntary Reductions Act, Climate Change S.1949, Clean Power Plant Act of 1999, Nox, SO2, CO2 and Mercury Controls, Renewable Energy Provisions S.1349, Clean Energy Act of 1999, Nox, SO2, CO2 and Mercury Controls, Renewable Energy Provisions S.1776, Climate Change Tax Amendments of 1999, Climate Change S.1776, Climate Change Tax Amendments of 1999, Climate Change II.R. 2667, Omnibus Mercury Emission Reduction Act of 1999, Mercury Controls H.R. 2384, Energy & Climate Policy Act of 1999, Nox, SO2, CO2 and Mercury Controls H.R. 2980, Clean Smokestacks Act of 1999, Nox, SO2, CO2 and Mercury Controls H.R. 2980, Clean Power Plant Act of 1999, Nox, SO2, CO2 and Mercury Controls House(s) of Congress and Foderal agencies contacted House of Representatives Name Covered Official Position of Applicable) New | 15. General issue | area code <u>CAW</u> (one per page) | | |
| House of Representatives Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Coveted Official Position (if applicable) No Marshall, Beverly No Mitchell, David No 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Date 8/14/2006 | H.R.2520, C S.882, Energ S.547, Credi S.1949, Clea S.1369, Clea S.1776, Clim S.1776, Clim H.R.2667, O H.R.3384, E H.R.2900, C | redit for Voluntary Actions Act (7/14/99), y and Climate Policy Act of 1999, Climate for Voluntary Reductions Act, Climate Con Power Plant Act of 1999, NOx, SOZ, Con Energy Act of 1999, Climate Changed ate Change Tax Amendments of 1999, Climate Change Energy Policy Response Act, annibus Mercury Emission Reduction Act tergy & Climate Policy Act of 1999, Climate an Smokestacks Act of 1999, NOx, SOZ, | Change Change Change Change Change Change Change Change Change Of 1999, Mercury Controls atc Change CO2 and Mercury Controls CO2 and Mercury Controls | ns |
| Name Covered Official Position (If applicable) No Marshall, Beverly No Mitchell, David No No Place Therest of each foreign entity in the specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above There is a specific issues listed on line 16 above | House of Re | | Check if None | |
| Milebell, David No No No Political Properties of each foreign cutify in the specific issues listed on line 16 above Signature Date 8/14/2006 | 18. Name of each | | | New New |
| 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 8/14/2006 | Marshall, Be | verly | | No |
| 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 8/14/2006 | Mitcheil, Da | vid | | No |
| Signature | | | | |
| Signature | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | | ······································ | |
| • | 19. Interest of ea | h foreign entity in the specific issues listed o | on line 16 above 🕱 Check if None | 1 1. |
| • | Signature | | (Date 8/14/2006 | |
| | - | | | ge 3 of 10 |

| liem Na | me: <u>Duke Energy Co</u> | erporation | |
|----------------|---|--|-----|
| tem | Description | Data | |
| 16 16 16 | Lobbying Issues Lobbying Issues Lobbying Issues Lobbying Issues | S.673. Omnibus Mercury Emissions Reduction Act of 1999, Mercury Controls S.172, Acid Deposition and Ozone Control Act, NOx, SO2, and Mercury Controls H.R.25, Acid Deposition and Ozone Control Act, NOx, SO2, and Mercury Controls H.R.2221, Small Business, Family Farms, and Constitutional Protection Act, | |
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| Registrant Name: | Duke Energy Corporation | ······································ | |
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| Client Name: | Duke Energy Corporation | · | |
| engaged in lobbyin | | to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide | |
| General issue | area code ENG (one per page) | | |
| H.R.2335, H. H.R.2734, Co H.R.2786, In H.R.2944, El H.R.2887, TV S.1323, TVA H.R.3136, TV H.R.2605, En S.1186, Ener | ying issues electric Licensing Process Improvement a droelectric Licensing Process Improvement and the company of the filter of 19 terstate Transmission Act, Entire bill extrictly Competition and Reliability Act, /A Customer Protection Act of 1999, Entire /A Financial Integrity Act of 1999, Entire /A Financial Integrity Act of 1999, Entire gy & Water Development Appropriations & Water Development Appropriations in the Electric Industrial | ent Act of 1999, Entire bill 99, Entire bill Entire bill ire bill bill ons Act of 2000, Nuclear Waste Fund (Act, Nuclear Waste Fund | |
| | ongress and Federal agencies contacted gy Regulatory Commission resentatives | ☐ Check if None | |
| | | | |
| 8. Name of each | individual who acted as a lobbyist in this is: | stre area Covered Official Position (d applicable) | New |
| 8. Name of each Boyd, Mary | | | New No |
| | Name | | |
| Bəyd, Mary | Name | | No |
| Boyd, Mary Marshall, Be | Name veriy | | No No |
| Bayd, Mary Marshall, Be Mitchell, Dat | Name veriy | | No No No |
| Boyd, Mary Marshall, Be Mitchell, Dav | Name veriy | | No No No |
| Boyd, Mary Marshall, Be Mitchell, Dav | Name veriy | | No No No |
| Boyd, Mary Marshall, Be Mitchell, Dav Henry, Ways | Name veriy | Covered Official Position (et applicable) | No No No |
| Boyd, Mary Marshall, Be Mitchell, Dan Henry, Ways | Name veriy old le | Covered Official Position (of amplicable) | No No No |

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

| hem | Description | Data |
|----------|-----------------|---|
| 16 | Lobbying Issues | H.R.1138, Ratepayer Protection Act, Entire bill |
| 16 | Lobbying Issues | H.R.2363, Public Utility Holding Company Act of 1999, Entire bill |
| 16 | Lobbying Issues | S.2098, Electric Power Market Competition and Reliability Act, Entire bill |
| 16 | Lobbying Issues | H.R.667, The Power Bill, Entire bill |
| 16 | Lobbying Issues | 8.516, Electric Utility Restructuring Empowerment and Competitiveness Act of 1999, Entire bill |
| 6 | Lobbying Issues | H.R.1587, Electric Energy Empowerment Act of 1999, Entire bill |
| 16 | Lobbying Issues | S-1947, Comprehensive Electricity Competition Act, Entire bill |
| 16 | Lobbying Issues | H.R.1828, Comprehensive Electricity Competition Act, Administrations electricity bill |
| 16 | Lobbying Issues | H.R.2059, Electric Consumers' Power To Choose Act of 1999, Entire bill |
| 16 | Lobbying Issues | S.1273, Federal Power Act Amendments of 1999, Entire bill |
| 16 | Lobbying Issues | S.1284, Electric Consumer Choice Act. Entire bill |
| 16 | Lobbying Issues | S.1369, Clean Energy Act of 1999, NOx, SO2, CO2 and Mercury Controls, |
| 16 | Eshbulas Iomes | Renewable Energy Portfolio |
| 16 16 | Lobbying Issues | H.R.2569, Fair Energy Competition Act of 1999 (7/26/99), Entire bill |
| 10 | Lobbying Issues | 1LR.2602, National Electricity Interstate Transmission Reliability Act (7/22/99), Entire bill |
| 16 | Lobbying Issues | 11.R.2645, To Provide for the Restructuring of the Electric Power Industry (7/29/99), Entire bill |
| 16 | Lobbying Issues | S.2071, Electric Reliability 2000 Act, Entire bill |
| 16 | Lobbying Issues | S.313, Public Utility Holding Company Act of 1999, Entire bill |
| 16 | Lobbying Issues | Areas lobbyied on: Price-Anderson; MOX-Pu Disposition; DNFSB oversight; DOE R&D Budgets; DOE Procurements for OCRWM, Hanford, ORNL, SRS, WIPP, INEEL, Pantox; DOE and DOD Privitizations; Congressional Oversight of DOE sites; Energy and Water Appropriations (DOE and DOD) |

Page 6 of 10

| Registrant Name: | Duke Energy Corporation | | |
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| Client Name: | Duke Energy Corporation | | |
| engaged in lobbyu | TIVITY. Select as many codes as necessary ig on behalf of the client during the reporting sested. Attach additional page(s) as needed. | to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide | t de |
| S.1226, To a furnishing of H.R.1416, To furnishing of H.R.721, Bot S.386, Bond (L.R.1253, To | oying issues solvent production of the statifical mend the Internal Revenue Code of 1986 and the Internal Revenue Code of 1986 and the Internal Revenue Code of 19 amend the Internal Revenue Code of 19 and Fairness and Protection Act of 1999, Mark and Protection Act of 1999, Mark amend the Internal Revenue Code of 19 amend the Internal Revenue Code of 19 | to provide that interest on indebtedness used to fine natural gas in the United, International Tax issues 186 to provide that interest on indebtedness used to it natural gas in the United, International Tax issues funicipalization Tax issues | s Tinance the |
| t7. House(s) of C House of Reg Senute | iongress and Pederal agencies contacted presentatives | ☐ Check if None | |
| 18. Name of each | individual who acted as a tobbyist in this is | isue area Covered Official Position (if applicable) | New ! |
| Monahu E Da | | | |
| Signature | h foreign entity in the specific issues listed | Date 8/14/2098 | |
| Printed Name and T | itle Donald Hattey - Vice President Go | vernmental Affairs | age 7 of 10 |
| | | | |

| Registrant Name: | Duke Energy Corporation | | |
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| Client Name: | Duke Energy Corporation | | |
| engaged in lobbyis | | to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide | |
| 15. General issue | area code TRA (one per page) | | |
| S.2409, Pipe S.2438, King H.R.1378, T | line Safety Act of 2000, Entire bill line Safety and Community Protection A ; and Tsiorvas Pipeline Safety Improvem | ct of 2000, Entire bill. ent Act of 2000, Entire bill. out pipeline safety activities under chapter 601 of title 49, | United |
| Department Federal Ene | Congress and Federal agencies contacted of Transportation rgy Regulatory Commission presentatives | ☐ Check if None | |
| 18. Name of each | t individual who acted as a lobbyist in this is | issue area Covered Official Position (1/ applicable) | New 4 |
| Marshall, Be | warly | | -14 |
| | | | No |
| Prenda, Bria | :B | | No |
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| 19. Interest of eac | th foreign entity in the specific issues listed | on line 16 above 💢 Check if None | |
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| Printed Name and | Title Donald Hatley - Vice President Go | vernmental Affairs Page | 8 of 10 |
| | | | |

Registrant Name: Duke Energy Corporation **Duke Energy Corporation** Client Name: Item Description Pipeline Safety - Preparing and evaluating the movement of regulation and 16 Lobbying Issues statutery reauthorization of the Pipeline Safety Act. Meetings with regulatory officials (DOT) and Congressional members. Pipeline Expansion Issues - Meetings with Congressional members (House & Senate) concerning on going and proposed pipeline expansion projects. New Electric Generating Facility projects - Meeting with Congressional members (House & Senate) to convey information concerning Duke Energy North America's projects which are proposed.

Page 9 of 10

| Regi | strant Name: | Duke Energy Corporation | |
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| Clier | nt Name: | Duke Energy Corporation | |
| enga | ged in lobbyin | TIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant ag on behalf of the client during the reporting period. Using a separate page for each code, provide uested. Attach additional page(s) as needed. | |
| 15. | General issue | area code WAS (one per page) | |
| | S.608, Nuclea | oying issues tenr Wuste Policy Act of 1999, Nuclear waste ar Waste Policy Act of 1999, Nuclear waste ear Waste Policy Amendments Act of 1999, Nuclear waste | |
| | House(s) of C House of Rep Senate | Congress and Federal agencies contacted (**) Check if None presentatives | |
| | Nama of could | individual who acted as a lobbyist in this issue area | |
| 10. | Mame Of Bach | 1 | |
| | ~11·III·/I· | Name Official Position fit applicables | New |
| | Boyd, Mary | | No |
| *************************************** | Marshall, Be | werly | No |
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| 19. | Interest of eac | th foreign entity in the specific issues listed on line 16 above 💢 Check if None | |
| Signa | iture | Date 8/14/2000 | |
| Print | ed Name and | Title Donald Hatley - Vice President Governmental Affairs Pag | e 19 of 10 |