

SECRETARY OF THE SENATE  
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Duke Energy Corporation</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>422 S. Church Street Charlotte NC USA</b>			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name <b>Donald Hatley</b>	Telephone <b>(704) 382-8190</b>	E-mail (optional)	5. Senate ID # <b>12744-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <b>30901000</b>

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$630,000.00</u> <small>Expenses (nearest \$20,000)</small></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Donald E Hatley Date 8/14/2000

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 1 of 10

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
2f	Registrant Zip	28202-1904

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific Lobbying issues

- H.R.2520, Credit for Voluntary Actions Act (7/14/99), Climate Change
- S.882, Energy and Climate Policy Act of 1999, Climate Change
- S.547, Credit for Voluntary Reductions Act, Climate Change
- S.1949, Clean Power Plant Act of 1999, NOx, SO2, CO2 and Mercury Controls, Renewable Energy Provisions
- S.1369, Clean Energy Act of 1999, Climate Change
- S.1777, Climate Change Tax Amendments of 1999, Climate Change
- S.1776, Climate Change Energy Policy Response Act, Climate Change
- H.R.2667, Omnibus Mercury Emission Reduction Act of 1999, Mercury Controls
- H.R.3384, Energy & Climate Policy Act of 1999, Climate Change
- H.R.2900, Clean Smokestacks Act of 1999, NOx, SO2, CO2 and Mercury Controls
- H.R.2980, Clean Power Plant Act of 1999, NOx, SO2, CO2 and Mercury Controls

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Coveted Official Position (if applicable)	New
<u>Marshall, Beverly</u>		No
<u>Mitchell, David</u>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2006

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 3 of 10

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	S.673, Omnibus Mercury Emissions Reduction Act of 1999, Mercury Controls
16	Lobbying Issues	S.172, Acid Deposition and Ozone Control Act, NOx, SO2, and Mercury Controls
16	Lobbying Issues	H.R.25, Acid Deposition and Ozone Control Act, NOx, SO2, and Mercury Controls
16	Lobbying Issues	H.R.2221, Small Business, Family Farms, and Constitutional Protection Act, Climate Change

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15. General issue area code ENG (one per page)

16. Specific Lobbying issues

- S.740, Hydroelectric Licensing Process Improvement Act of 1999, Entire bill
- H.R.2335, Hydroelectric Licensing Process Improvement Act of 1999, Entire bill
- H.R.2734, Community Choice for Electricity Act of 1999, Entire bill
- H.R.2786, Interstate Transmission Act, Entire bill
- H.R.2944, Electricity Competition and Reliability Act, Entire bill
- H.R.2887, TVA Customer Protection Act of 1999, Entire bill
- S.1323, TVA Customer Protection Act of 1999, Entire bill
- H.R.3130, TVA Financial Integrity Act of 1999, Entire bill
- H.R.2605, Energy & Water Development Appropriations Act of 2000, Nuclear Waste Fund
- S.1186, Energy & Water Development Appropriations Act, Nuclear Waste Fund
- S.282, Transition to Competition in the Electric Industry Act, Entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None  
Federal Energy Regulatory Commission  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Boyd, Mary		No
Marshall, Beverly		No
Mitchell, David		No
Henry, Wayne		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2000

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 5 of 10

Registrant Name: **Duke Energy Corporation**

Client Name: **Duke Energy Corporation**

Item	Description	Data
16	Lobbying Issues	H.R.1138, Ratepayer Protection Act, Entire bill
16	Lobbying Issues	H.R.2363, Public Utility Holding Company Act of 1999, Entire bill
16	Lobbying Issues	S.2098, Electric Power Market Competition and Reliability Act, Entire bill
16	Lobbying Issues	H.R.667, The Power Bill, Entire bill
16	Lobbying Issues	S.516, Electric Utility Restructuring Empowerment and Competitiveness Act of 1999, Entire bill
16	Lobbying Issues	H.R.1587, Electric Energy Empowerment Act of 1999, Entire bill
16	Lobbying Issues	S.1047, Comprehensive Electricity Competition Act, Entire bill
16	Lobbying Issues	H.R.1828, Comprehensive Electricity Competition Act, Administrations electricity bill
16	Lobbying Issues	H.R.2050, Electric Consumers' Power To Choose Act of 1999, Entire bill
16	Lobbying Issues	S.1273, Federal Power Act Amendments of 1999, Entire bill
16	Lobbying Issues	S.1284, Electric Consumer Choice Act, Entire bill
16	Lobbying Issues	S.1369, Clean Energy Act of 1999, NOx, SO2, CO2 and Mercury Controls, Renewable Energy Portfolio
16	Lobbying Issues	H.R.2569, Fair Energy Competition Act of 1999 (7/26/99), Entire bill
16	Lobbying Issues	H.R.2602, National Electricity Interstate Transmission Reliability Act (7/22/99), Entire bill
16	Lobbying Issues	H.R.2645, To Provide for the Restructuring of the Electric Power Industry (7/29/99), Entire bill
16	Lobbying Issues	S.2071, Electric Reliability 2000 Act, Entire bill
16	Lobbying Issues	S.313, Public Utility Holding Company Act of 1999, Entire bill
16	Lobbying Issues	Areas lobbied on: Price-Anderson; MOX-Pu Disposition; DNFSB oversight; DOE R&D Budgets; DOE Procurements for OCRWM, Hanford, ORNL, SRS, WIPP, INEEL, Pantex; DOE and DOD Privatizations; Congressional Oversight of DOE sites; Energy and Water Appropriations (DOE and DOD)

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15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.2038, Nuclear Decommissioning Funds Clarification Act, Nuclear Decommissioning

S.1226, To amend the Internal Revenue Code of 1986 to provide that interest on indebtedness used to finance the furnishing or sale of rate-regulated electric energy or natural gas in the United., International Tax Issues

H.R.1416, To amend the Internal Revenue Code of 1986 to provide that interest on indebtedness used to finance the furnishing or sale of rate-regulated electric energy or natural gas in the United., International Tax Issues

H.R.721, Bond Fairness and Protection Act of 1999, Municipalization Tax Issues

S.386, Bond Fairness and Protection Act of 1999, Municipalization Tax Issues

H.R.1253, To amend the Internal Revenue Code of 1986 to restrict the use of tax-exempt financing by governmentally owned electric utilities and to subject certain activities of such utilities., Municipalization Tax Issues

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Marshall, Beverly</u>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2000

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 7 of 10

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

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15. General issue area code TRA (one per page)

16. Specific Lobbying issues

**S.2004, Pipeline Safety Act of 2000, Entire bill**

**S.2409, Pipeline Safety and Community Protection Act of 2000, Entire bill.**

**S.2438, King and Tsiourvas Pipeline Safety Improvement Act of 2000, Entire bill.**

**H.R.1378, To authorize appropriations for carrying out pipeline safety activities under chapter 601 of title 49, United States Code, Pipeline Safety**

17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Transportation  
Federal Energy Regulatory Commission  
House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Marshall, Beverly</b>		No
<b>Prends, Brian</b>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2000

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 8 of 10



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	<p>Pipeline Safety - Preparing and evaluating the movement of regulation and statutory reauthorization of the Pipeline Safety Act. Meetings with regulatory officials (DOT) and Congressional members.</p> <p>Pipeline Expansion Issues - Meetings with Congressional members (House &amp; Senate) concerning on going and proposed pipeline expansion projects.</p> <p>New Electric Generating Facility projects - Meeting with Congressional members (House &amp; Senate) to convey information concerning Duke Energy North America's projects which are proposed.</p>

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15. General issue area code WAS (one per page)
16. Specific Lobbying issues  
**H.R.45, Nuclear Waste Policy Act of 1999, Nuclear waste**  
**S.608, Nuclear Waste Policy Act of 1999, Nuclear waste**  
**S.1287, Nuclear Waste Policy Amendments Act of 1999, Nuclear waste**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Boyd, Mary</b>		<b>No</b>
<b>Marshall, Beverly</b>		<b>No</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

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Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 10 of 10

