

SECRETARY OF THE SENATE

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H.D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name R.G. Flippo and Associates, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1101 30th Street NW Suite 500, Washington, DC 20007			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Vicki P. Wallace	Telephone 202/289-5490	E-mail (optional) rgflippo@erols.com	5. Senate ID # 14861-125
7. Client Name <input type="checkbox"/> Self MassMutual Insurance Company	6. House ID # 32095005		

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature Ronnie G. Flippo
Printed Name and Title Ronnie G. Flippo, President

Registrant Name R.G. Flippo and Associates Client Name MassMutual Insurance Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code R&N (one per page)

16. Specific lobbying issues

(SR 10 "Financial Services Act of 1999 (PL 106-102)

S. 900 Financial Services Modernization Act of 1999 (PL 106-102, Gramm-Leach-Bliley Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	N
Ronnie G. Flippo		<input type="checkbox"/>
Vicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Ronnie G. Flippo Date February 9, 2000

Printed Name and Title Ronnie G. Flippo, President

Registrant Name R.G. Flippo and Associates Client Name MassMutual Insurance Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific lobbying issues

HR 833, S 625 "Bankruptcy Reform Act" provisions relating to single asset real estate

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

US House of Representatives

US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)	>
Ronnie G. Flippo		<input type="checkbox"/>
Vicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature Ronnie G. Flippo Date February 8, 2000

Printed Name and Title Ronnie G. Flippo, President

Form LIT-2 (Rev. 6/98)

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Registrant Name R.G. Elippo and Associates Client Name MassMutual Insurance Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

HR 775 (PL 106-37) Year 2000 Readiness and Responsibility Act
S 96 "Y2K Act"
S 461 "Year 2000 Fairness and Responsibility Act"

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Ronnie G. Elippo		<input type="checkbox"/>
Vicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature Ronnie G. Elippo Date February 9, 2000

Printed Name and Title Ronnie G. Elippo, President

Form LD-1 (Rev. 6/98)

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Registrant Name R.G. Flippo and Associates Client Name MassMutual Insurance Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 2438 "Financial Freedom Act of 1999" provisions relating to Subpart F exclusion, charitable split dollar insurance, 419A(f)(5) employer welfare benefit trusts
S 892 provisions relating to Subpart F exclusion for active financing income earned overseas
"No Bill" seek revenue estimate on cost of repealing Section 809 of Internal revenue code
HR 1180 "Ticket to Work and Work Incentives Improvement Act" provisions relating to Subpart F exclusion, charitable split dollar insurance
HR 3083 "Wage and Employment Growth Act" provisions relating to pension expansion and extending certain expiring tax provisions ☐ Check if None

17. House(s) of Congress and Federal agencies contacted

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covers Official Position (if applicable)	
Ronnie G. Flippo		<input type="checkbox"/>
Vicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Ronnie G. Flippo Date February 9, 2000
Printed Name and Title Ronnie G. Flippo, President

Registrant Name R.G. Flippo and Associates Client Name MassMutual Insurance Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

HR 630 a bill related to charitable split dollar insurance arrangements
HR 2102 "Long Term Care and Retirement Security Act of 1999"
Global Interest Netting Revenue IRS Procedure, Rev. Proc. 99-19

Administration's revenue proposals for Fiscal Year 2000 relating to business owned life insurance, life insurance add annuities generally, deferred acquisition costs (DAC) for life insurance

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives
US Senate
Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Ronnie G. Flippo		<input type="checkbox"/>
Vicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Ronnie G. Flippo Date February 9, 2000

Printed Name and Title Ronnie G. Flippo, President

Registrant Name R.G. Flippo and Associates Client Name MassMutual Insurance Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

HR 1213 Employee Pension Portability and Accountability Act of 1999
HR 2488 Financial Freedom Act of 1999 provisions related to pensions and retirement security
S 1429 Taxpayer Refund Act of 1999 provisions related to pensions and retirement security
S 649 Retirement Savings Opportunity Act of 1999

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Ronnie G. Flippo		<input type="checkbox"/>
Wicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Ronnie G. Flippo Date February 9, 2000
Printed Name and Title Ronnie G. Flippo, President

Registrant Name R.G. Flippo and Associates Client Name MassMutual Insurance Company

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15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 10 Financial Services Act of 1999
S 900 (PL 106-102) Gramm-Leach-Bliley Act
HR 1714 Electronic Signatures in Global and National Commerce Act
S 1903/HR 3320 Consumer's Right To Financial Privacy Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	
Ronnie G. Flippo		<input type="checkbox"/>
Vicki P. Wallace		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Ronnie G. Flippo Date February 9, 2000
Printed Name and Title Ronnie G. Flippo, President