

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Massachusetts Mutual Life Insurance Company</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>1295 State Street</b>			
3. Principal Place of Business (if different from line 2) City: <b>Springfield</b> State/Zip (or Country) <b>Massachusetts 01111</b>			
4. Contact Name <b>Kenneth S. Cohen</b>	Telephone <b>(413)744-6057</b>	E-Mail (optional) <b>kcohen@massmutual.com</b>	5. Senate ID # <b>23986-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <b>31968000</b>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  | Termination Date \_\_\_\_\_ 11. No Lobbying Activities

## INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/>   \$ _____ <small>Income (nearest \$20,000)</small> Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/>   \$ <u>1,380,000.00</u> <small>Expenses (nearest \$20,000)</small>
<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description. <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code	<b>15.</b>

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual

Client Name Sen

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

**H.R. 2341, S. 1712, "Class Action Fairness Act of 2001," all provisions of the bill**

17. House(s) of Congress and Federal agencies contacted  Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Kenneth S. Cohen</u>	.....
<u>Ellen Wilkins Ellis</u>	.....
<u>Kevin M. Sweeney</u>	.....
<u>Gregory Woodworth</u>	.....
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	.....
	.....

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page) (#1 of 2 Code: FIN)

16. Specific lobbying issues

**Health and Human Services Regulations on the privacy of medical information  
H.R. 583, To establish the Commission for the Comprehensive Study of Privacy Protection, all provisions  
H.R. 2036, "Social Security Number Privacy and Identity Theft Protection Act of 2001," all provisions**

17. House(s) of Congress and Federal agencies contacted  Check if None

- Senate
- House
- Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	.....
Dennis Herchel	.....
William B. Fisher	.....
Alison Weiss	.....
	.....
	.....
	.....

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which tl engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cc** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page) (#2 of 2 Code: FIN)

16. Specific lobbying issues

- S. 848, "Social Security Number Misuse Prevention Act of 2001," all provisions
- SEC rule-making to exempt federal thrift institutions from Investment Advisers Act of 1940
- S. 2201, "Online Personal Privacy Act", all provisions

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate

House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Dennis Herchel	
William B. Fisher	
Alison Weiss	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page) (#1 of 2 Code: TAX)

16. Specific lobbying issues

H.R. 3622, "Emergency Worker and Investor Protection Act of 2002", provision addressing the tax deduction f on debt instruments where the corporate issuer reflects the instrument as equity in its financial records.

S. 892, all provisions relating to extending or making permanent the Subpart F exclusion for active financing in overseas

H.R. 661, S. 992, "Life Insurance Tax Simplification Act of 2001," all provisions

H.R. 909, S. 795, regarding repeal of restrictions on the filing of consolidated returns for life and non-life insura companies.

17. House(s) of Congress and Federal agencies contacted  Check if None

- House
- Senate
- Treasury
- White House
- IRS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Ellen Wilkins Ellis	
Alison Weiss	

Check if None

19. Interest of each foreign entity in the specific issues listed on line 16 above

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual

Client Name ...

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page) (#2 of 2 Code: TAX)

16. Specific lobbying issues

IRS Notice S. 2001-10 and 2002-8 relating to taxation of split dollar life insurance policies

H.R. 831, S. 627, "Long Term Care and Retirement Security Act of 2001," provisions relating to tax incentives f premiums paid for long-term care insurance

P.L. 107-147, "Job Creation and Worker Assistance Act of 2002", provisions relating to the temporary suspensi Section 809 Add-On tax and the extension of Subpart F exclusion for active financing income earning overseas.

No bill number, consideration of legislative measure to impose federal premium taxes for life insurers to addres inversions

17. House(s) of Congress and Federal agencies contacted  Check if None

- House
- Senate
- Treasury
- IRS
- White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Margaret Sperry	
Ellen Wilkins Ellis	
Alison Weiss	
Kevin Sweeney	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Seit

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page) (#1 of 3 Code: RET)

16. Specific lobbying issue

**P.L. 107-147, "Job Creation and Worker Assistance act of 2002", provisions relating to 30-year Treasury bond i defined benefit pension plans and variable/PBGC premium calculations**

**H.R. 2269, S.1978 "Retirement Security Advice Act of 2001," all provisions**

**H.R. 10 (Public Law 107-16), "Comprehensive Retirement Security and Pension Reform Act of 2001," all provis**

**S. 742, "Retirement Security and Savings Act of 2001," all provisions**

**S. 1677, "Independent Investment Adviser Act of 2001," all provisions**

**S.2673, "Public Accounting Reform and Investor Protection Act of 2002", provisions relating to suspension peri conversion of 401(k) plans**

17. House(s) of Congress and Federal agencies contacted  Check if None

House

Senate

Treasury

Department of Labor

White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Michelle St. John	
Alison Weiss	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual

Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page) (#2 of 3 Code: RET)

16. Specific lobbying issues

S. 2190 "WIRE Act", all provisions relating to 401(k) plans

No bill number, consideration of issues to be included in House comprehensive pension legislation

IRS Small Pension Plan Compliance Study

IRS Determination Letter Program

S.2087, no bill name, a bill to make a variable tax credit for employer provided independent investment advice,

H.R. 4931, "Retirement Security Act of 2002", all provisions

17. House(s) of Congress and Federal agencies contacted

Check if None

House

Senate

Treasury

Department of Labor

IRS

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
Alison Weiss	
Edmond F. Ryan	
Michelle R. St. John	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual

Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page) (#3 of 3 Code: RET)

16. Specific lobbying issues

H.R. 586, "Tax Relief Guarantee Act of 2002", provisions to make permanent the expanded IRA and retirement incentives of the "Economic Growth and Tax Relief Reconciliation Act of 2001."

H.R. 3762, "Pension Security Act of 2002", all provisions

S. 1971, "National Employer Savings and Trust Equity Act of 2002", all provisions

S. 1992, "Protecting America's Pensions Act of 2002", all provisions

P.L. 107-147, "Job Creation and Worker Assistance Act", provisions relating to relief from the 30-year Treasur for defined benefit pension plan funding and variable/PBGC premium calculations

H.R. 3669, "Employee Retirement Savings Bill of Rights", all provisions

17. House(s) of Congress and Federal agencies contacted  Check if None

- House
- Senate
- Treasury
- Department of Labor
- White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	.....
Alison Weiss	.....
Ellen Wilkins Ellis	.....
Michelle R. St. John	.....
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page) (#1 of 1 Code: HCR)

16. Specific lobbying issues

**Health and Human Services Regulations on the privacy of medical information**  
**H.R. 4946, "Improving Access to Long-Term Care Act of 2002", all provisions**

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
HHS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
..... <b>William B. Fisher</b> .....	.....
..... <b>Kenneth S. Cohen</b> .....	.....
..... <b>Dennis Herchel</b> .....	.....
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature: \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual

Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page) (#1 of 2 Code: INS)

16. Specific lobbying issues

H.R. 1408, "Financial Services Anti-Fraud Network Act of 2001," all provisions

S. \_\_\_\_\_, a bill sponsored by Senator Schmer relating to an optional federal charter for life insurance companies

House consideration of the costs of multi-state regulation of life insurers

H.R. 3210, S.2600, "Terrorism Risk Protection Act," all provisions

S. 1744, "Terrorism Insurance Act," all provisions

H.R. 3766, "Insurance Industry Modernization and Consumer Protection Act", all provisions relating to an opt charter for life insurance companies.

17. House(s) of Congress and Federal agencies contacted  Check if None

- House
- Senate
- White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
William B. Fisher	
Alison Weiss	
Ellen Wilkins Ellis	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and issue area information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page) (#2 of 2 Code: INS)

16. Specific lobbying issues

**H.R. 4551, "Life Insurance Employer Notification Act", all provisions**

17. House(s) of Congress and Federal agencies contacted  Check if None

House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kenneth S. Cohen	
William B. Fisher	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which tl engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cc** information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

**Solicitation of funds to promote the funding of the "Game Face" educational program in public middle schools.**

17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Education**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
..... Ellen Wilkins Ellis	
..... Alison B. Weiss	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and providing the information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific lobbying issues

**H.R. 833, S. 625, "Bankruptcy Reform Act", provisions relating to single asset real estate and placing a cap on the amount of protection for retirement savings.**

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate

House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Kenneth S. Cohen</u>	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Massachusetts Mutual Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City ..... State/Zip (or Country) .....

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Kevin Sweeney

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of (city and state or c
OppenheimerFunds, Inc.	498 Seventh Avenue	New York, New York 1

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
.....	.....	.....	.....

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

Signature *Kenneth S. Cohen* Date 8/21/10

Printed Name and Title Kenneth S. Cohen, Senior Vice President and Deputy General Counsel

