

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

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H.D.

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|                                                                                                                                                                                                                            |  |                                    |                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|----------------------------|
| 1. Registrant Name<br><b>R. Duffy Wall &amp; Associates, Inc.</b>                                                                                                                                                          |  |                                    |                            |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported<br>Address <b>601 13th Street, N.W.</b> Suite <b>410 South</b><br>City <b>Washington</b> State/Zip (or Country) <b>DC 20005</b> |  |                                    |                            |
| 3. Principal Place of Business (if different from line 2)<br>City _____ State/Zip (or Country) _____                                                                                                                       |  |                                    |                            |
| 4. Contact Name<br><b>Daniel Scherder</b>                                                                                                                                                                                  |  | Telephone<br><b>(202) 737-0100</b> | E-mail (optional)<br>_____ |
| 5. Senate ID #<br><b>40362-191</b>                                                                                                                                                                                         |  | 6. House ID #<br><b>30174051</b>   |                            |
| 7. Client Name <input type="checkbox"/> Self<br><b>Cinergy Corporation</b>                                                                                                                                                 |  | _____                              |                            |

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms                                                                                                                                                                                                            | 13. Organizations                                                                                                                                                                                                                                                                                                                                                                                                              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| INCOME relating to lobbying activities for this reporting period was:                                                                                                                                                         | EXPENSES relating to lobbying activities for this reporting period were:                                                                                                                                                                                                                                                                                                                                                       |
| Less than \$10,000 <input checked="" type="checkbox"/>                                                                                                                                                                        | Less than \$10,000 <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                    |
| \$10,000 or more <input type="checkbox"/> >> \$ _____<br>Income (nearest \$20,000)                                                                                                                                            | \$10,000 or more <input type="checkbox"/> >> \$ _____<br>Expenses (nearest \$20,000)                                                                                                                                                                                                                                                                                                                                           |
| Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.<br><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only<br><input type="checkbox"/> Method B. Reporting amounts under section 6033(h)(8) of the Internal Revenue Code<br><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code |

Signature \_\_\_\_\_ Date 02/07/2000

Printed Name and Title Bill K. Brewster - Chairman Page 1 of 4

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: Cinergy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)
16. Specific Lobbying issues  
**Environmental issues in utility restructuring legislation.**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None  
**Council of Economic Advisors**  
**Council of Environmental Quality**  
**Department of Commerce**  
**Department of Energy**  
**Department of State**  
**Department of Treasury**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                        | Covered Official Position (if applicable)       | New       |
|-----------------------------|-------------------------------------------------|-----------|
| <b>Johnston, Ann Thomas</b> | <b>Legislative Assistant, Rep. Richard Burr</b> | <b>No</b> |
| <b>Scherder, Daniel</b>     |                                                 | <b>No</b> |
|                             |                                                 |           |
|                             |                                                 |           |
|                             |                                                 |           |
|                             |                                                 |           |
|                             |                                                 |           |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date 02/07/2000

Printed Name and Title Bill K. Brewster - Chairman Page 2 of 4

Client Name: Cinergy Corporation

| Item | Description                | Date                     |
|------|----------------------------|--------------------------|
| 17a  | House of Congress/Agencies | House of Representatives |
| 17a  | House of Congress/Agencies | Senate                   |

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: Cinergy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific Lobbying issues  
Environmental issues in utility restructuring legislation.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Department of Energy  
Environmental Protection Agency  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name                        | Covered Official Position (if applicable)       | New       |
|-----------------------------|-------------------------------------------------|-----------|
| <u>Johnston, Ann Thomas</u> | <u>Legislative Assistant, Rep. Richard Burr</u> | <u>No</u> |
| <u>Scherder, Daniel</u>     |                                                 | <u>No</u> |
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|                             |                                                 |           |
|                             |                                                 |           |
|                             |                                                 |           |
|                             |                                                 |           |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Bill K. Brewster Date 02/07/2000

Printed Name and Title Bill K. Brewster - Chairman Page 4 of 4