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# LOBBYING REPORT

02 AUG 12 PM

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Verner, Liipfert, Bernhard, McPherson &amp; Hand</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>901 15th Street, N.W.</b> Suite <b>700</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20005</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>John H. Zentay</b>	Telephone <b>(202) 371-6000</b>	E-mail (optional) <b>jhzentay@verner.com</b>	5. Senate ID # <b>39974-710</b>
7. Client Name <input type="checkbox"/> Self <b>Investment Company Institute</b>			6. House ID # <b>30883056</b>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_ 11. No Lobbying ☐

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$160,000.00</u> <small>Income (nearest \$20,000)</small> Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ <small>Expenses (nearest \$20,000)</small> <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of methods. <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603 of the Internal Revenue Code <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 of the Internal Revenue Code

Signature \_\_\_\_\_ Date 8/5/2002



Registrant Name: Verner, Liipfert, Bernhard, McPherson & HandClient Name: Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**Mutual fund fees.****Financial privacy legislation and proposals.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None**House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Eskin, Andrew D.</b>	
<b>Hand, Lloyd N.</b>	
<b>Minor, William H.</b>	
<b>Regan, Karen</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if NoneSignature \_\_\_\_\_ Date 8/5/2002



Registrant Name: Verner, Liipfert, Bernhard, McPherson & HandClient Name: Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R.2269, Retirement Security Advice Act of 2001,  
H.R.3669, Employee Retirement Savings Bill of Rights,  
H.R.3762, Pension Security Act of 2002,  
S.1677, Independent Investment Advice Act of 2001,  
S.1971, National Employee Savings and Trust Equity Guarantee Act,  
S.1992, Protecting America's Pensions Act of 2002,

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bernhard, Berl	
Eskin, Andrew D.	
Garon, Richard J.	Chief of Staff, House International Relations
Hand, Lloyd N.	
McPherson, Harry C.	
Merrigan, John A.	
Migdail, Evan M.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if NoneSignature \_\_\_\_\_ Date 8/5/2002



Registrant Name: Verner, Liipfert, Bernhard, McPherson & HandClient Name: Investment Company Institute

Item	Description	Data
18a	Lobbyist Name	Minor, William H.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phillips, Steven R.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Zentay, John H.
18b	Covered Official Position	
18c	New Lobbyist	Yes





Registrant Name: Verner, Liipfert, Bernhard, McPherson & HanuClient Name: Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R.168, To amend the Internal Revenue Code of 1986 to allow individuals an exclusion from gross income amounts of capital gains distributions from regulated investment companies.,**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None**House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Eskin, Andrew D.</b>	
<b>Hand, Lloyd N.</b>	
<b>Merrigan, John A.</b>	
<b>Migdail, Evan M.</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_

Date 8/5/2002



Registrant Name: Verner, Liipfert, Bernhard, McPherson & HandClient Name: Investment Company Institute**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**Morris, Sara W.**  
**Dwyer, Denis J.**  
**Freeman, Rosemary B.**  
**Sidman, Lawrence R.**

**ISSUE UPDATE**24. General lobbying issues previously reported that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

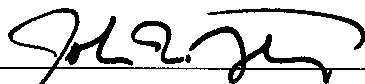
26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

Signature


Date 8/5/2002



Registrant Name: Verner, Liipfert, Bernhard, McPherson & HandClient Name: Investment Company Institute

Item	Description	Data
23	Lobbyist Update	Jacobsohn, David B.
23	Lobbyist Update	Martin, Jenifer
23	Lobbyist Update	Neilson, Mikol S.
23	Lobbyist Update	Meister, Brenda G.
23	Lobbyist Update	Hart, Vicki E.
23	Lobbyist Update	Boggs, Virginia R.
23	Lobbyist Update	Duncan, III, Lawrence
23	Lobbyist Update	Hawley, Noelle M.
23	Lobbyist Update	Garon, Richard J.

