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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name HUMANA			
2. Address <input type="checkbox"/> Check if different than previously reported 500 W. MAIN STREET			
3. Principal Place of Business (if different from line 2) City: LOUISVILLE		State/Zip (or Country) KY 40202	
4. Contact Name HEIDI S. MARGULIS	Telephone (502) 580-1854	E-mail (optional) hmargulis@humana.com	5. Senate ID # 189
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3228

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>\$260,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definit
	<input type="checkbox"/> Method B. Reporting amounts under section 603: Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162: Internal Revenue Code

Signature

Heidi S. Margulis

Printed Name and Title

Heidi S. Margulis, Senior Vice President, Government Relations

Filing #4e117a6f-969e-4eab-83af-bde92815656f - Page 2 of 14

Registrant Name HUMANA Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues related to TRICARE and military healthcare funding.
National Defense Authorization Act of 2002--S. 1438 & H.R. 2586
Defense Appropriations bills--H.R. 3338

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
Senate
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi S. Margulis
Heidi S. Margulis

Date 1/29/02

Printed Name and Title Heidi S. Margulis, Senior VP-Government Relations

[REDACTED]

Registrant Name HUMANA Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Patients Bill of Rights--H.R. 526, H.R. 2563, H.R. 2315, S. 283, S. 889, S.1052
HIPAA Privacy Rules
HIPAA Administrative Simplification--H.R. 1975, H.R. 3323, S. 839, S. 1684
ERISA Claims and SPD Rules
Mental Health Parity--H.R. 3061, S. 543
Genetic Non-Discrimination--H.R. 602, S. 382
Association Health Plans--H.R. 1774
Insurance for the uninsured--H.R. 1331, S. 683, S. 1454

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
Senate
Department of Health & Human Services
Department of Labor
Office of Management & Budget
White House Health Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Heidi S. Margulis
Heidi S. Margulis

Date 1/29/02

Printed Name and Title HEIDI S. MARGULIS, SENIOR VP - GOV'T REL



Registrant Name HUMANA Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Patients Bill of Rights--H.R. 526, H.R. 2563, H.R. 2315, S. 283, S. 889, S. 1052
HIPAA Privacy Rules
HIPAA Administrative Simplification--H.R. 1975, H.R. 3323, S. 839, S. 1684
ERISA Claims & SPD Rules
Mental Health Parity--H.R. 3061, S. 543
Genetic Non-Discrimination--H.R. 602, S. 382
Association Health Plans--H.R. 1774
Insurance for the uninsured--H.R. 1331, S. 683, S. 1454

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
Senate
Department of Health & Human Services
Department of Labor
Office of Management & Budget
White House Health Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi S. Margulis
Heidi S. Margulis

Date 1/29/02

Printed Name and Title

HEIDI S. MARGULIS, SR.VP. - GOV'T RELATIONS



Registrant Name HUMANA Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Items relating to Medicare reimbursement and prescription drug coverage--H.R. 1387, H.R. 1400, H.R. 2980, H.R. 351 S. 10, S. 186, S. 215, S. 357, S. 358, S. 669, S. 1135, S. 1185, S. 1317

Items relating to Medicare regulatory relief--H.R. 2768, H.R. 1993, S. 452

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
Senate
Department of Health & Human Services
Office of Management & Budget
White House Health Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi S. Margulis
Heidi S. Margulis

Date 1/29/02

Printed Name and Title

HEIDI S. MARGULIS SR. VP - GOVT RELATIONS

[REDACTED]

Registrant Name HUMANA Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Flexible Spending Accounts--H.R. 167
Medical Savings Accounts--H.R. 1524

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
Senate
Department of Treasury
Office of Management & Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi S. Margolis

Date 1/29/02

Printed Name and Title

HEIDI S. MARGOLIS



Registrant Name HUMANA Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

n/a

21. Client new principal place of business (if different from line 20)

City n/a

State/Zip (or Country)

22. New general description of client's business or activities

n/a

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

n/a

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

BUD

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or co
n/a		

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

n/a

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
n/a			

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

n/a

Signature

Heidi S. Mayfield
Heidi S. Mayfield

Date

1/29/02

Printed Name and Title Heidi S. Margulis, Senior VP-Government Relations

Form LD-2 (Rev. 6/98)

Page

