

Clerk of the House of Representatives
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Washington, DC 20515

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Office of Public Records
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Washington, DC 20510

SECRETARY OF THE SENATE

02 AUG 13 PM 2:54

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name Washington Council Ernst & Young			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1150 17th Street, NW Suite 601 City Washington State/Zip (or Country) DC 20036 USA			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Bruce Gates	Telephone 202-293-7474	E-mail (optional) bruce.gates@wc.ey.com	5. Senate ID # 57475-1231
7. Client Name <input type="checkbox"/> Self Securities Industry Association			6. House ID # 35185075

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-De

9. Check if this filing amends a previously filed version of this report

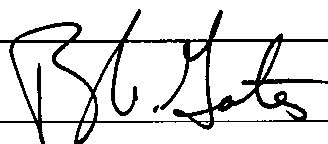
10. Check if this is a Termination Report >> Termination Date _____

11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$160,000.00</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input type="checkbox"/> Method A. Reporting amounts using LDA definit
	<input type="checkbox"/> Method B. Reporting amounts under section 603: the Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162: Internal Revenue Code

Signature


Date 8/7/2002

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

HR 3763, ,**S 2673, ,****tax issues relating to the financial services industry; corporate accountability**

17. House(s) of Congress and Federal agencies contacted

 Check if None**Department of Treasury****Executive Office of the President****House of Representatives****Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Badger, Doug	
Bazel, Lauren	
Doney, John	
Fitzgerald, Jayne	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	

19. Interest of each foreign entity in the specific issues listed on line 16 above

 Check if None

Signature _____

Date 8/7/2002

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Giles, Thomas
18b	Covered Official Position	Health Counsel, Energy and Commerce Committee
18c	New Lobbyist	No
18a	Lobbyist Name	Giordano, Nick
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	Tax & Trade Counsel, Office of Rep. Robert Matsui
18c	New Lobbyist	No
18a	Lobbyist Name	Koch, Cathy
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	Associate to Pete Sessions, House Cmte on Rules
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Relland, Susan
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele-Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues
General social security, pension issues
Retirement security

17. House(s) of Congress and Federal agencies contacted

 Check if None

Department of Treasury
Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Badger, Doug	
Bazel, Lauren	
Doney, John	
Fitzgerald, Jayne	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	

19. Interest of each foreign entity in the specific issues listed on line 16 above

 Check if None

Signature _____

Date 8/7/2002

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Giles, Thomas
18b	Covered Official Position	Health Counsel, Energy and Commerce Committee
18c	New Lobbyist	No
18a	Lobbyist Name	Giordano, Nick
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	Tax & Trade Counsel, Office of Rep. Robert Matsui
18c	New Lobbyist	No
18a	Lobbyist Name	Koch, Cathy
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	Associate to Pete Sessions, House Cmte on Rules
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Relland, Susan
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele-Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues
General tax issues
tax issues relating to the financial services industry
HR 10

17. House(s) of Congress and Federal agencies contacted

 Check if None

Department of Treasury
Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Badger, Doug	
Bazel, Lauren	
Doney, John	
Fitzgerald, Jayne	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	

19. Interest of each foreign entity in the specific issues listed on line 16 above

 Check if None

Signature _____

Date 8/7/2002

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Giles, Thomas
18b	Covered Official Position	Health Counsel, Energy and Commerce Committee
18c	New Lobbyist	No
18a	Lobbyist Name	Goodwin, Jeffrey L.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	Tax & Trade Counsel, Office of Rep. Robert Matsui
18c	New Lobbyist	No
18a	Lobbyist Name	Koch, Cathy
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	Associate to Pete Sessions, House Cmte on Rules
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Relland, Susan
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele-Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No

