

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SEN

**LOBBYING REPORT**

03 FEB 13 PM 1:1

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name New York Life Insurance Company			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 1501 K Street, NW, Suite 575, Washington, DC 20005			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country) New York 10010			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Ronald Lefrancois	202-654-2940		29174-24
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #
			31217000

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobbying

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

**12. Lobbying Firms**  
INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more  ⇒ \$ \_\_\_\_\_  
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more  ⇒ \$ 1,120,000.  
Expenses (nearest \$)

**14. REPORTING METHOD.** Check box to indicate accounting method. See instructions for description of

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6031 Internal Revenue Code

Method C. Reporting amounts under section 162 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

LD-2 (REV. 6/98)

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Registrant Name New York Life Insurance Co Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

General issue area code TOR (one per page)

Specific lobbying issues

HR 2341 & S 1712 class action reform

7. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Moore Hamrick	
Ronald Lefrancois	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Form LD-2 (Rev.6/98)

Registrant Name New York Life Insurance Co Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

1. General issue area code FIN (one per page)

5. Specific lobbying issues

financial records privacy issues

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Moore Hamrick	
Ronald Lefrancois	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Form LD-2 (Rev. 6/98)

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Registrant Name New York Life Insurance Co Client Name DELL

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

1. General issue area code TAX (one per page)

2. Specific lobbying issues

- HR 661 & S 992 regarding add-on tax on mutual insurers
- HR 831 & S 627 tax deductibility of long term care insurance premi
- HR 4551 corporate owned life insurance
- tax treatment of estate assets and lifetime annuity payments

3. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of The Treasury
- Office of Management and Budget

4. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Jessie Colgate	
Mary Moore Hamrick	
Ronald Lefrancois	

5. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title



Registrant Name New York Life Insurance Co Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

General issue area code TRD (one per page)

Specific lobbying issues

HJRes 51 Vietnam bilateral trade agreement  
Trade Promotion Authority

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Trade Representative

18. Name of each individual who acted as a lobbyist in this issue area

Covered Official Position (if applicable)

Name	Covered Official Position (if applicable)
<u>Jessie Colgate</u>	.....
<u>Mary Moore Hamrick</u>	.....
<u>Ronald Lefrancois</u>	.....
<u>Sandra Kristoff</u>	.....
<u>Raymond Sander</u>	.....
	.....
	.....

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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Registrant Name New York Life Insurance Co Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

General issue area code HCR (one per page)

Specific lobbying issues

medical information privacy

7 House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mary Moore Hamrick</u>	
<u>Ronald Lefrancois</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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Registrant Name New York Life Insurance Co Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

General issue area code RET (one per page)

Specific lobbying issues

HR 10 pension reform.

7. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Mary Moore Hamrick</u>	
<u>Ronald Lefrancois</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

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Registrant Name New York Life Insurance Co Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

federal regulation of insurance

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary Moore Hamrick	
Ronald Lefrancois	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Ronald J. Lefrancois* Date 2/13/08

Printed Name and Title Ronald Lefrancois, Gov't Affairs VP

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