

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

INVESTMENT CO INST

2. Address:

1401 H STREET, NW SUITE 1200, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: DANIEL F. C. CROWLEY

Telephone: 2023265800

E-mail (optional): dcrowley@ici.org

Senate ID #: 20128-12

House ID #:

7. Client Name: Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 3,283,911.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: INVESTMENT CO INST Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

H.R. 1171 Money Market Fund Parity Act of 2007 (mutual fund competitiveness) H.R. 1257 Shareholder Vote on Executive Compensation Act (proxy vote disclosure) H.R. 2347 Iran Sanctions Enabling Act of 2007 (divestiture) H.R. 3046 Social Security Number Privacy and Identity Theft Prevention Act of 2007 (privacy) H.R. 3915 Mortgage Reform and Anti-Predatory Lending Act of 2007 (subprime assignee liability) H. Res. 552 China Market Access Resolution S. 831 Sudan Divestment Authorization Act of 2007 (divestiture) S. 1563 Sudan Disclosure and Enforcement Act of 2007 (divestiture) S. 1677 Currency Reform and Financial Markets Access Act of 2007 (China market access) S. 2271 Sudan Accountability and Divestment Act of 2007 (divestiture) S. 2452 Home Ownership Preservation and Protection Act of 2007 (subprime assignee liability) H.R. 180 Darfur Accountability and Divestment Act (divestiture) H.R. 964 Securely Protect Yourself Against Cyber Trespass (SPY) Act (data security)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: AUERBACH, DONALD C.

Covered Official Position (if applicable): N/A

Name: CROWLEY, DANIEL F.C

Covered Official Position (if applicable): N/A

Name: SACKETT, DEAN R. III

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: INVESTMENT CO INST Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

S. 2473 Defined Contribution Fee Disclosure Act of 2007 H.R. 2167 Automatic IRA Act of 2007 S. 1141 Automatic IRA Act of 2007
H.R. 3185 401(k) Fair Disclosure for Retirement Security Act of 2007 H.R. 3765 Defined Contribution Plan Fee Transparency Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: AUERBACH, DONALD C.

Covered Official Position (if applicable): N/A

Name: CROWLEY, DANIEL F.C

Covered Official Position (if applicable): N/A

Name: GUNAS, PETER J III

Covered Official Position (if applicable): N/A

Name: HART, JAMES R.

Covered Official Position (if applicable): N/A

Name: KRAMERICH, LESLIE

Covered Official Position (if applicable): N/A

Name: SACKETT, DEAN R. III

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: INVESTMENT CO INST Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

H.R. 6 Energy Independence and Security Act of 2007 (cost basis reporting) H.R. 3996 Tax Increase Prevention Act of 2007 (cost basis reporting, flow-through) H.R. 2796 Generating Retirement Ownership Through Long-Term Holding (GROWTH) Act of 2007 (taxation of capital gains distributions) S. 2126 Generating Retirement Ownership Through Long-Term Holding (GROWTH) Act of 2007 (taxation of capital gains distributions) H.R. 2205 Retirement Security for Life Act of 2007 (lifetime annuity) S. 1010 Retirement Security for Life Act of 2007 (lifetime annuity) H.R. 4912 Taxation of Prepaid Derivative Contracts (ETNs) H.R. 878 Simplification Through Additional Reporting Tax Act of 2007 (cost basis reporting) S. 601 Simplification Through Additional Reporting Tax Act of 2007 (cost basis reporting)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: AUERBACH, DONALD C.

Covered Official Position (if applicable): N/A

Name: CROWLEY, DANIEL F.C

Covered Official Position (if applicable): N/A

Name: GUNAS, PETER J III

Covered Official Position (if applicable): N/A

Name: KRAMERICH, LESLIE

Covered Official Position (if applicable): N/A

Name: LAWSON, KEITH

Covered Official Position (if applicable): N/A

Name: SACKETT, DEAN R. III

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: DANIEL F.C. CROWLEY, CHIEF GOVERNMENT AFFAIRS OFFI -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 13, 2008

Printed Name and Title: -