

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

UNITED TECHNOLOGIES CORP

2. Address:

1401 EYE STREET, NW Suite 600, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: Hartford State/Zip(or Country): CT 06101

4. Contact Name: JOHN HUMPHRIES

Telephone: 2023367474

E-mail (optional): jack.humphries@utc.com

Senate ID #: 39267-12

House ID #: 3076400

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 2,212,950.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AER (one per page)

16. Specific lobbying issues:

NASA Funding HR 3093, S 1745; NASA Aeronautics; Support for Advanced Space Exploration Technology; FAA Reauthorization

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Natl Aeronautics & Space Administration (NASA)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, DARBY

Covered Official Position (if applicable): N/A

Name: FASZCZA, JEFFREY

Covered Official Position (if applicable): N/A

Name: KAUFMAN, ALISON

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AVI (one per page)

16. Specific lobbying issues:

Repair Station Security Rule S 4, S 509, HR 1, HR 1981; Foreign Repair Stations HR 2881, S 1300; Enviro Standards/Engines; GSP/GMS Business Plans; EcoPower Engine Wash, FAA Reauthorization Fees; Life Limited Engine Parts Certification

17. House(s) of Congress and Federal agencies contacted:

Federal Aviation Administration (FAA)

HOUSE OF REPRESENTATIVES

SENATE

Transportation Security Administration (TSA)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, DARBY

Covered Official Position (if applicable): N/A

Name: MANKE, DAVE

Covered Official Position (if applicable): N/A

Name: WALSH, SUSAN M.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Defense Appropriations HR 3222; Homeland Security HR 2638, S 1644; Chem/Bio Countermeasures; Fire and Security S 1644, HR 2638

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FAUSER, KRISTINE KEARNS

Covered Official Position (if applicable): N/A

Name: PEACE, CHRIS

Covered Official Position (if applicable): N/A

Name: PYZIK, LAURA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CAW/ (one per page)

16. Specific lobbying issues:

Climate Change S 280, S 1766, S 317, S 1177; Hazardous Air Pollutants Proposed Rule Docket # OAR 2004-0094

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARKS, JEFFREY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Patent Reform HR 1908, S 1145

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, DARBY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

FY 07 Supplemental Appropriations; FY 08 Authorization Bill; FY 08 Appropriations Bill; Defense Authorization HR 1585, S 1547; Budget; Air Force Programs; Nuclear Smuggling HR 1; JSF; C-17 Funding; Alternate Engine Funding F-35 Spending/Program

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)
Executive Office of the President (EOP)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FAUSER, KRISTINE KEARNS
Covered Official Position (if applicable): N/A
Name: GRANDJEAN, SHERRY
Covered Official Position (if applicable): N/A
Name: HELLIER, RICHARD G.
Covered Official Position (if applicable): N/A
Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: KAUFMAN, ALISON
Covered Official Position (if applicable): N/A
Name: MANKE, DAVE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Energy, Energy Efficiency, Distributed Energy, etc HR 6; ARPA-E HR 364, S 761; Geothermal HR 2304, S 1543; Coal-to-Liquids Fuel HR 6; Depreciation HR 1888; Energy Efficiency S 1419, S 1115; Renewable Portfolio Standard HR 6, HR 969; Energy Bills in House and Senate; Geothermal R&D HR 2304; Energy Policy HR 6; Montreal Protocol

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President (EOP)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAYER, JUDITH

Covered Official Position (if applicable): N/A

Name: HUMPHRIES, JACK

Covered Official Position (if applicable): N/A

Name: KAUFMAN, ALISON

Covered Official Position (if applicable): N/A

Name: MARKS, JEFFREY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

FEMA Grants; Tax Policy

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Homeland Security, Dept of (DHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KAUFMAN, ALISON

Covered Official Position (if applicable): N/A

Name: PYZIK, LAURA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

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15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

Employment Verification S 1348

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, DARBY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

Card Check Bill HR 800, S 1041; Pay Discrimination Litigation HR 2831

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, DARBY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

Development of Chemical and Biological Detectors; Chemical and Biological Countermeasures

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Homeland Security, Dept of (DHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FASZCZA, JEFFREY

Covered Official Position (if applicable): N/A

Name: PYZIK, LAURA

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Fuel Cell Tax Extension HR 2776; Air Conditioner Depreciation; CHP Tax Credit HR 2001; Geothermal Tax Credit HR 1977; Fuel Cell Tax Credit HR 550, S 590; Geothermal Production Credit HR 197; Energy Tax Credits HR 2776, S 1543

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAYER, JUDITH

Covered Official Position (if applicable): N/A

Name: HUMPHRIES, JACK

Covered Official Position (if applicable): N/A

Name: MARKS, JEFFREY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

Fuel Cell Buses HR 2881, S 1300

17. House(s) of Congress and Federal agencies contacted:

Federal Aviation Administration (FAA)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, DARBY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

OECD A/C Agreement, Russia/WTO Reform of Export Licensing System; Market Access in Vietnam; Economic Sanctions Legislation HR 1, HR 957, HR 1400; IEEPA Penalties S 1612; China Dual-Use Licensing Policy; Export Controls/Economic Sanctions Various; Syria Sanctions HR 2332; Foreign Investment S 1610, HR 556; Iran Sanctions/Divestiture S 970, HR 1400, HR 1357, HR 957; Turkey and Armenia SRes 65, SRes 106, HRes 106; China Currency S 1677; Trade Penalties S 1612; Arms Sales and Licenses; USG Advocacy/Engine Sales;

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Defense, Dept of (DD)
Export-Import Bank of the United States (EXIM Bank)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Transportation, Dept of (DOT)
Treasury, Dept of
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GRANDJEAN, SHERRY
Covered Official Position (if applicable): N/A
Name: JORDAN, PETER
Covered Official Position (if applicable): N/A
Name: KAUFMAN, ALISON
Covered Official Position (if applicable): N/A
Name: PREISS, JEREMY
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: JOHN M. HUMPHRIES, VICE PRESIDENT GOVERNMENT RELAT -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: BULLARD, ED

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: -