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| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
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SECRETARY OF THE SENATE  
03 AUG 13 PM

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page


|   |              |                        |                |
|---|--------------|------------------------|----------------|
| 1. Registrant name<br>Loeffler Jonas & Tuggey LLP   |              |                        |                |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>755 East Mulberry, Suite 200 |              |                        |                |
| 3. Principal place of business (if different from line 2)   |              |                        |                |
| City  | San Antonio  | State/Zip (or Country) | Texas 78212    |
| 4. Contact Name   | Telephone    | E-mail (optional)      | 5. Senate ID # |
| W. James Jonas III  | 210/354-4300 |                        | 64634-2        |
| 7. Client Name <input type="checkbox"/> Self  |              |                        | 6. House ID #  |
| Investment Company Institute  |              |                        | 3554800        |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report   
 10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobby Activity

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

|  |   |
|--|---|
| <p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$80,000</u><br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br/>Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions of lobbying</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p> |
|--|---|

Signature  Date 8-1-03

Printed Name and Title W. James Jonas, III, Partner

Form LD 2 (Rev 06/98)

Registrant Name Loeffler Jonas & Tuggey LLP Client Name Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the regi. engaged in lobbying activity on behalf of the client during the reporting period. **Using a separate page for each** provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues  
Issues affecting ICI, including, but not limited to, tax policy

17. House(s) of Congress and Federal agencies contacted  Check if None  
House, Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name               | Covered Official Position (if applicable) |
|--------------------|---|
| Jon Plebani        |   |
| Tom Loeffler       |   |
| Daniel Cohen       |   |
| W. James Jonas III |   |
| Jose Martinez      |   |
| Mark Franz         |   |
| Michael Frazier    |   |

19. Interest of each foreign entity in the specific issues listed on the line 16 above  Check if None

Signature \_\_\_\_\_

*[Handwritten Signature]*

Printed Name and Title W. James Jonas III, Partner

Form LD-2 (Rev 06/98)