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01 AUG 14

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name				The Trustees of Columbia University in the City of New York (commonly known as "Columbia University")	
2. Address				<input type="checkbox"/> Check if different than previously reported	
535 West 116th Street, Room 301				Low Library, M.C. 4319	
3. Principal Place of Business (if different from line 2)					
City:		New York		State/Zip (or Country) NY, 10027	
4. Contact Name		Telephone		E-mail (optional)	
Alan J. Stone		(212) 854-2401		astone@columbia.edu	
5. Senate ID #				385851	
7. Client Name				<input type="checkbox"/> Self	
6. House ID #				30887	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇨ \$ _____
Income (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>300,000</u>
Expenses (nearest \$20,000)
14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of method.
<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions
<input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code
<input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature _____

Printed Name and Title Alan J. Stone, Vice President for Public Affairs



Registrant Name Columbia University

Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues
H.Con.Res.83-House Budget Resolution
Conference Report 107-60
S.Con.Res.20-Senate Budget Resolution

Issues followed include all annual funding legislation for science and education, science and education related tax bills and health center funding.

17. House(s) of Congress and Federal agencies contacted
White House Office of Management and Budget
U.S. Senate
U.S. House of Representatives

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

☒ Check if None

Signature _____ Date _____

Printed Name and Title. Alan J. Stone, Vice President for Public Affairs



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15. General issue area code CPT (one per page)

16. Specific lobbying issues
S.487-Technology, Education and Copyright Harmonization Act
H.R. 1349-To Repeal the 50%Limitation on courses offered through telecommunications
H.R. 1992-To Expand opportunities for higher education via telecommunications

Issues related to databases in the digital environment and distance education

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. Senate
U.S. House of Representatives

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15. General issue area code EDU (one per page)

16. Specific lobbying issues
H.R.1-No Child Left Behind Act
H.R.100-National Science Education Act
H.R.1858-National Math and Science Partnerships Act
S.133-To make Section 127 of IRC permanent
Fiscal Year 2002 Labor/HHS/Education Appropriations legislation
All higher education and student financial aid issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. Senate
U.S. House of Representatives
Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

H.R. 436/S.152-to eliminate the 60 month limit on the student loan interest deduction and increase the income limitation

H.R.641/S.338-to combat illegal sports gambling

S.718-Amateur Sports Integrity Act

S.399/H.R.471/S.773-bills related to fire safety on campuses

S.516/H.R.1072-to provide a credit against income tax for higher education loan interest payments

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

Department of Education

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

- H.R.342-to provide full tax deduction for higher education expenses
- H.R. 401-to require institutions of higher education to notify parents concerning missing persons reports
- H.R.589-to provide full funding of the Pell Grant Program
- H.R.786-to repeal the provision prohibiting persons convicted of drug offenses from receiving student aid

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. Senate
U.S. House of Representatives
Department of Education

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.Res.19-The Federal investment in biomedical research should be increased

S.824-to establish an informatics grant program for hospitals

H.R.1604/S.776-The Medicaid Safety Net Improvement Act of 2001

H.R.854/S.572-The Medicaid Safety Net Hospital Continued Preservation Act of 2001

S.743-The Medical Education Trust Fund Act of 2001

S.Res.19-A resolution to express the sense of The Senate that the Federal Investment in biomedical research should be inci

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R.1556-The American Hospital Preservation Act of 2001

H.R. 2505-The Human Cloning Prohibition Act

H.R. 2172-Cloning Prohibition Act

S.790/H.R.1644/H.R.1608/H.R.1260/S.704/H.R.1372-Bills to ban cloning

H.R.2059/S.723-to amend the Public Health Service Act to provide for human embryonic stem cell generation and research

H.R.526/H.R.2315/S.6/S.889/S.1052-Patients' Bill of Rights

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Issues related to West Nile Virus, Autism, and other disorders.

H.R.2096-Responsible Stem Cell Research Act of 2001

S.824-Medical Errors Reduction Act

S.838-Best Pharmaceuticals for Children Act

H.R.967-Access to Cancer Clinical Trials Act

H.R. 1771-Antimicrobial Resistance Prevention Act

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R.2340-Patient Safety and Health Care Whistleblower Protection Act

S.450-To amend the Gramm-Leach-Bliley Act to provide for enhanced protection of non public personal information

S.1055-to require the consent of an individual prior to the sale and marketing of such individuals personally identifiable in

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

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Ellen S. Smith	Assistant Vice President and Director of Government Relatio
Susan Long	Assistant Director of Governme Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

Legislation to repeal the Coordinated Interagency Partnership Regulating International Students

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above ☒ Check if None

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Registrant Name Columbia University

Client Name Self

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15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R.1604/S.776-The Medicaid Safety Net Improvement Act of 2001

H.R.854/S.572-The Medicaid Safety Net Hospital Continued Preservation Act of 2001

S.743-The Medical Education Trust Fund Act of 2001

S.357-a bill to amend the Social Security Act to preserve and improve the medicare program.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

☒ Check if None

Signature _____

Date _____

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Alan J. Stone, Vice President for Public Affairs

11 22

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as follows. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

S.1191/H.R.2330-Agriculture appropriations for FY 2002
S.1215/H.R.2500-Commerce/Justice/State appropriations for FY 2002
S.1171/H.R.2311-Energy and Water appropriations for FY 2002
H.R. 2506-Foreign Operations appropriations for FY 2002
H.R. 2217-Interior appropriations for FY 2002

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate/ U.S. House of Representatives

Department of Agriculture

Department of Energy

Department of Commerce

White House Office of Science and Technology Policy

NASA

USAID

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

Labor/HHS/Education appropriations for FY 2002
S.1216/H.R.2620-VA/HUD appropriations for FY 2002
H.R. 2587-Energy Advancement and Conservation Act
H.R.2460-Science Committee Energy Authorization Bill
H.R.100-National Science Education Act

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate/ U.S. House of Representatives

Department of Agriculture

Department of Energy

Department of Commerce

White House Office of Science and Technology Policy

NASA

USAID

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Ellen S. Smith

Assistant Vice President and
Director of Government Relatio

Susan Long

Assistant Director of Governme
Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

☒ Check if None

Signature _____ Date _____

Printed Name and Title Alan J. Stone, Vice President for Public Affairs



Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R.2511-The Energy Tax Policy of 2001

H.R.3-Economic Growth and Tax Relief Act of 2001

H.R.10-Comprehensive Retirement Security and Pension Reform Act

S.205-IRA Charitable Rollover

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

My Name

Date

8/17/01

Printed Name and Title

Alan J. Stone, Vice President for Public Affairs

