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03 AUG 14 PM 1:

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name International Business Machines Corporation (IBM)			
2. Address <input type="checkbox"/> Check if different than previously reported 1301 K Street, N.W., Washington, D.C. 20005-3307			
3. Principal Place of Business (if different from line 2) City: Armonk State/Zip (or Country) New York 10504			
4. Contact Name Marcus P. Williams	Telephone (202) 515-5522	E-mail (optional) marcwill@us.ibm.com	5. Senate ID # 19877-1
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 311230C

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-De
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobbyin

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this re period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,</p> <p><b>14. REPORTING METHOD.</b> Check box to indica accounting method. See instructions for description t</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA defin</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>
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Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

See Page 43

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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each coc information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues  
H.R. 5414/S. 1334 Check Truncation Act of 2003  
Check Imaging and Digital Processing

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Linda C. Evans	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Timothy J. Sheehy	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Date

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Printed Name and Title

See Page 43

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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

IBM has lobbied for various Government Programs, including Amtrak, Customs Modernization Program, IT Projects, Patent and Trademark Office, and Government/Private Sector Research and Development Programs.

Please see General Lobbying Area Codes CPT, DEF, HCR, HOM, RRR, and SCI for the report c these issues.

17. House(s) of Congress and Federal agencies contacted  Check if None  
See General Lobbying Issue Area Codes CPT, DEF, HCR, HOM, RRR, AND SCI

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
See General Lobbying Issue Area Codes CPT, DEF, HCR, HOM, RRR and SCI	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None  
See General Lobbying Issue Area Codes CPT, DEF, HCR, HOM, RRR and SCI

Signature

Date



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each coc information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific lobbying issues

- Cybersecurity Research and Development
- Freedom of Information Act (FOIA)
- Critical Infrastructure Information Sharing
- Government Evaluation/Certification of Security Products
- National Strategy to Secure Cyberspace
- Security-Related Standards

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

- House of Representatives
- Senate
- Executive Office of the President
- Homeland Security Council
- National Security Council
- Office of Cyberspace Security
- Office of Management and Budget

- Check if None
- Department of Commerce
- Critical Infrastructure Assurance Of
- National Institute of Standards
- Department of Homeland Security

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Timothy B. Hackman	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area CPI**

16. Specific lobbying issues

Security Technology Mandates

Structure of Department of Homeland Security

H.R. 1416 Homeland Security Technical Corrections Act of 2003

S. 1350 Notification of Risk to Personal Data Act

PL 107-296, Title 8, Subtitle G, Support Antiterrorism by Fostering Effective Technologies Act

Risk Sharing for Homeland Security Technology and Services



**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area CPI**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Timothy J. Sheehy	.. ..	.. ..
..... Susan M. Siemietkowski	.. ..	.. ..
..... Gregory W. Waddell	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

Privacy

Fair Credit Reporting Act

H.R. 1636 Consumer Privacy Protection Act

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

Department of Commerce

International Trade Administration

Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kathleen N. Kingscott	
Christopher J. Mustain	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area CSP**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Paul R. Redifer	.. ..	.. ..
..... Adrienne G. Rhone	.. ..	.. ..
..... Timothy J. Sheehy	.. ..	.. ..
..... Susan S. Siemietkowski	.. ..	.. ..
..... Gregory W. Waddell	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

- Business Methods Patents
- Consumers, Schools, and Libraries Digital Rights Management Awareness Act of 2003
- Electronically-filed Patent Applications
- Open Source Software
- Open Source Use in the Public Sector
- Patent and Trademark Office Funding
- Patent Fee Withholding

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

- House of Representatives
- Senate
- Executive Office of the President
- Office of Management and Budget
- Office of the United States Trade Representative

- Check if None
- Department of Commerce
- Patent and Trademark Office
- Department of Defense
- Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Cheryl L. Bruner	
Timothy B. Hackman	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area CPT**

16. Specific lobbying issues

Patent and Trademark Office Strategic Plan

Patent Reexamination

Software Patents

Transition to Digital Television Draft Legislation

H.R. 107 Digital Media Consumer Rights Act of 2003

H.J.Res.2 Consolidated Appropriations Resolution, 2003

Patent and Trademark Office Funding

H.R. 2799 Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004

Patent and Trademark Office Funding

H.R. 909 Legislation to Direct the PTO Director to Adjust Patent Fees

H.R. 1066 Benefit Authors without Limiting Advancement or Net Consumer Expectations (BALANCE) Act of 2003

H.R. 1561 United States Patent and Trademark Fee Modernization Act of 2003

H.R. 2517 Piracy Deterrence and Education Act of 2003

S. 692 Digital Consumer Right to Know Act



**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area CPT**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
.....	.. ..	.. ..
Adrienne G. Rhone	.. ..	.. ..
.....	.. ..	.. ..
Susan M. Siemietkowski	.. ..	.. ..
.....	.. ..	.. ..
Timothy J. Sheehy	.. ..	.. ..
.....	.. ..	.. ..
Gregory W. Waddell	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues  
H.R.1588/S.1050 National Defense Authorization Act for Fiscal Year 2004  
Science and Technology Research and Development  
Enterprise Resource Planning  
Defense Integrated Military Human Resources System

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steven W. Stewart	
William H. Warner	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code ECN (one per page)

16. Specific lobbying issues  
Millenium Challenge Corporation - Administration Proposal

17. House(s) of Congress and Federal agencies contacted  Check if None  
Department of State  
U.S. Agency for International Development  
Department of Treasury  
Office of Management and Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kathleen N. Kingscott	
Christopher J. Mustain	
.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues  
 Educational Standards  
 Workforce Development

17. House(s) of Congress and Federal agencies contacted  
 House of Representatives  
 Senate  
 Executive Office of the President  
 Domestic Policy Council  
 National Economic Council  
 Office of Science and Technology Policy  
 Department of Education

Check if None  
 Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

- European Standards for Electronics
  - H.R. 1588 National Defense Authorization Act for FY2004
  - Definition of Commercial Service/Item
  - Use of other than Firm Fixed Price Contracts for Acquisition of Commercial Services
  - Application of the Buy America Act to Defense Acquisitions
  - H.R. 2989 Transportation, Treasury, and Independent Agencies Appropriations Act, 2004
  - Exemption of IT Products from the Provisions of the Trade Agreements Act
- (See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

- House of Representatives
- Senate
- Executive Office of the President
- Office of the United States Trade Representative
- Office of Management and Budget
- Office of Federal Procurement Policy
- General Services Administration
- The Access Board

- Check if None
- Department of Defense
- Office of Procurement Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kathleen N. Kingscott	
Bruce E. Leinster	
Edgar H. McCulloch III	
Christopher J. Mustain	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area GOV**

16. Specific lobbying issues

H.R. 1837 Services Acquisition Reform Act of 2003  
Accessibility - Section 508 Requirements



International Business Machines Corporation (

Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area GOV

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
.....	.. ..	.. ..
Edmund F. Perry	.. ..	.. ..
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Brian J. Raymond	.. ..	.. ..
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Paul R. Redifer	.. ..	.. ..
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Adrienne G. Rhone	.. ..	.. ..
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Susan M. Siemietkowski	.. ..	.. ..
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Steven W. Stewart	.. ..	.. ..
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William H. Warner	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code HOM (one per page)

16. Specific lobbying issues

- H.R. 2555 Department of Homeland Security Appropriations Act, 2004
- Customs Modernization
- Flood Map Modernization
- U.S. VISIT (United States Visitor and Immigration Status Indicator Technology)
- CAP WIN (Capitol Wireless Integrated Network)

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul Redifer	
Adrienne G. Rhone	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

See Addendum

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (I**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area HOM**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Susan M. Siemietkowski	.. ..	.. ..
..... Steven W. Stewart	.. ..	.. ..
..... William H. Warner	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Inside Sales Draft Legislation

International Air Transport Association (IATA)

Application for Antitrust Exemption Regarding Low Density Cargo

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives

Senate

Department of Transportation

Department of Justice

Department of Commerce

Technology Administration

Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Geri J. Colombaro	
Kimberly A. Marsho	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Date



**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area LBR**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Paul R. Redifer	.. ..	.. ..
..... Adrienne G. Rhone	.. ..	.. ..
.... .. Susan M. Siemietkowski	.. ..	.. ..
.... .. Steven W. Stewart	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R. Medicare Prescription Drug and Modernization Act of 2003

S.1 Prescription Drug and Medicare Improvement Act of 2003

17. House(s) of Congress and Federal agencies contacted

- House of Representatives
- Senate
- Executive Office of the President
- Council of Economic Advisors
- Domestic Policy Council

Check if None

- Department of Health and Human Services
- Agency for Healthcare Research and Quality
- Centers for Medicare and Medicaid Services
- Health Resources and Services Administration
- Food and Drug Administration
- Centers for Disease Control and Prevention

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Geri J. Colombaro	
Kathleen N. Kingscott	
Edgar H. McCulloch III	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area MMM**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Paul R. Redifer	.. ..	.. ..
..... Adrienne G. Rhone	.. ..	.. ..
..... Susan M. Siemietkowski	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cot information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- H.R. 2989 Transportation, Treasury, and Related Independent Appropriations, 2004
- Amtrak Funding
- H.R. 2572 Amtrak Reauthorization Act of 2003
- Transportation Equity Act
- Amtrak

17. House(s) of Congress and Federal agencies contacted  
House of Representatives  
Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steven W. Stewart	
William H. Warner	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed:

15. General issue area code RET (one per page)

16. Specific lobbying issues

- H.R. 2/S.2 Jobs and Growth Tax Relief Reconciliation Act of 2003
- Executive Compensation
- H.R. 1000 Pension Security Act
- Miller Cash Balance Amendments
- H.R. 1677/S.825 Pension Benefits Protection Act of 2003
- H.R. 1776 Pension Preservation and Savings Expansion Act of 2003
- 30-Year Treasury Rate
- Cash Balance Pension
- U.S. Treasury Department's Proposed Cash Balance Regulations

17. House(s) of Congress and Federal agencies contacted  Check if None

- House of Representatives
- Senate
- Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Geri J. Colombaro	
Edmund F. Perry	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_ See Page 43 \_\_\_\_\_

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**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area RET**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Paul R. Redifer	.. ..	.. ..
..... Adrienne G. Rhone	.. ..	.. ..
.... .. Susan M. Siemietkowski	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each coc information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

Government/Private Sector Research and Development Partnerships  
H.R.2754/S. 1424 Energy and Water Development Appropriations Act, 2004  
Accelerated Strategic Computer Initiative (ASCI)  
Civilian DOE Computer Simulation Technology  
Physical Science Research

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives  
Senate  
Executive Office of the President  
Office of Science and Technology Policy  
Office of Management and Budget

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Yolanda L. Comedy	
Kathleen N. Kingscott	
Edmund F. Perry	
Edgar H. McCulloch III	
Brian J. Raymond	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area SCI**

16. Specific lobbying issues

H.R. 2799 Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004  
Advanced Technology Program  
H.R. 2861 Veterans Affairs and Housing and Urban Development Appropriations Act, 2004  
National Science Foundation Funding  
Life Sciences Research Funding



**International Business Machines Corporation (**

**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area SCI**

17. House(s) of Congress and Federal agencies contacted  Check if None

Department of Commerce

Department of Energy

Defense Programs

Office of Science

Department of Defense

National Security Agency

Department of the Navy

Defense Advanced Research Projects Agency

National Science Foundation

Directorate for Computers and Information Sciences and Engineering



**International Business Machines Corporation (I**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area SCI**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Paul R. Redifer	.. ..	.. ..
..... Adrienne G. Rhone	.. ..	.. ..
..... Susan M. Siemietkowski	.. ..	.. ..
..... Steven W. Stewart	.. ..	.. ..
..... William H. Warner	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod information as requested. Attach additional page(s) as needed.**

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- Negotiation and Ratification of Bilateral Income Tax Treaties
  - U.S.-India Tax Treaty
  - U.S.-Japan Tax Treaty
  - U.S.-Netherlands Tax Treaty
  - U.S.-Taiwan Tax Treaty
  - U.S.-U.K. Tax Treaty
- Australia and Mexico Tax Protocol

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted
- House of Representatives
  - Senate
  - Executive Office of the President
  - Office of the United States Trade Representative
  - Department of Treasury
  - Internal Revenue Service

- Check if None
- Department of Commerce
  - Department of State
  - Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Linda C. Evans	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (I****Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area TAX****16. Specific lobbying issues**

Repeal and Replacement for Foreign Sales Corporation - Extraterritorial Income Exclusion  
 Revision of Internal Revenue Code Subpart F on Deferral of Taxation  
 Repeal Limitation on Use of Foreign Tax Credits under the Corporate Alternative Minimum  
 Tax  
 Treasury "Check-in-the-Box" Regulations  
 Taxation of Software (Section 18) Regulations  
 Taxation of Internet Transactions and Software  
 Fundamental Tax Reform  
 Internet Taxation  
 European Union Proposal for Taxation of Electronic Commerce  
 Active Financing Exception to Subpart F  
 Research and Development Tax Credit Regulations  
 Research and Development Tax Credit Refinement  
 Stock Options and Payroll Taxes  
     IRS Notice 2001-72  
     IRS Notice 2001-73  
     IRS Notice 2001-14  
 H.R. 626/S.182 Ending the Double Standard for Stock Options Act  
 S. 1971 National Employee Savings and Trust Equity Guarantee Act  
 H.R. 2896 American Jobs Creation Act of 2003  
     Excluding Employee Taxes from ESPPs (Employee Stock Purchase Plans) and ISOs  
     (Incentive Stock Options)  
 Securities and Exchange Commission Implementation of P.L. 107-204 Sarbanes-Oxley Act c  
 2002



**International Business Machines Corporation (I**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area TAX**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Timothy J. Sheehy	.. ..	.. ..
..... Susan M. Siemietkowski	.. ..	.. ..
..... Gregory W. Waddell	.. ..	.. ..
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific lobbying issues  
 International Telecommunications  
 WTO Basic Telecommunications Agreement Implementation  
 Regulatory Treatment of Internet Service Providers  
 International Charging Arrangements for Internet Services  
 Electronic Commerce Trade Policy

(See Attached Continuatio

17. House(s) of Congress and Federal agencies contacted  Check if None  
 House of Representatives  
 Senate  
 Executive Office of the President  
 Office of the United States Trade Representative  
 Department of Commerce  
 Technology Administration  
 National Telecommunications and Information Administration (See Attached Continuati

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steven W. Stewart	
Gregory W. Waddell	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area TEC**

16. Specific lobbying issues

E-Rate  
Spectrum Allocation/Spectrum Management  
Ultra-Wideband  
S.159 Jumpstart Broadband Act





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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each coc information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

- Customs Classification
- Emerging Markets
- Electronic Commerce Trade Policy
- World Trade Organization (WTO) Work Program on E-Commerce
- WTO Negotiations Objectives Regarding E-Commerce
- WTO Trade Classification

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

Check if None

- House of Representatives
- Senate
- Executive Office of the President
- Office of the United States Trade Representative
- National Economic Council

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kimberly A. Marsho	
Edmund F. Perry	
Brian J. Raymond	
Paul Redifer	
Adrienne G. Rhone	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

See Addendum

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (****Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area TRD****16. Specific Lobbying Issues**

APEC Leaders' Agreement on Trade and the Digital Economy  
Asia Pacific Economic Cooperation  
Ministerial and Heads of State Meetings  
Free Trade Area of the Americas  
Agenda and Issue Development  
GATT Government Procurement Agreement  
Membership Expansion  
Adherence to the GATT Government Procurement Agreement  
Trade Agreements Act  
WTO Transparency Agreement  
North America Free Trade Agreement (NAFTA)  
Implementation and Preservation  
U.S.-Vietnam Bilateral Trade Agreement  
U.S.-Central America Free Trade Agreement  
U.S.-Singapore Free Trade Agreement  
U.S.-Chile Free Trade Agreement  
U.S.-Australia Free Trade Agreement  
U.S.-New Zealand Free Trade Agreement  
U.S.-Morocco Free Trade Agreement  
U.S.-South Africa Customs Union Free Trade Agreement  
Export Controls Legislation and Regulations  
Deemed Export Regulations  
Microprocessor and Computer Export Regulations  
H.R. 1558 National Defense Authorization Act for Fiscal Year 2004  
Elimination of Statutory MTOPS Requirement  
WTO GATS Negotiations  
WTO Information Technology Agreement  
WTO-Doha Development Agenda  
OECD Guidelines for Multinational Enterprises  
China's WTO Accession Implementation  
Iraq Reconstruction



**International Business Machines Corporation (**

**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area TRD**

17. House(s) of Congress and Federal agencies contacted  Check if None

Department of Commerce  
Bureau of Industry and Security  
International Trade Administration  
Department of Defense  
Acquisition, Technology, and Logistics  
Command, Control, Communications, and Intelligence  
Policy  
National Security Agency  
Department of State  
Bureau of Political-Military Affairs  
Bureau of Nonproliferation  
Bureau of Economic, Business, and Agricultural Affairs  
Department of Homeland Security  
Bureau of Customs and Border Protection  
U.S. Agency for International Development



**International Business Machines Corporation (**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area TRD**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
.... Susan M. Siemietkowski	.. ..	.. ..
.... Steven W. Stewart	.. ..	.. ..
.... Susan C. Tuttle	.. ..	.. ..
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**Lobbying Report Addendum for Item 19**  
**Issue Areas TAX and TRD**

19. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 14) or any updates: Int of each such foreign entity in the specific lobbying issues listed on line 16 above

Regarding Lines 14(a) and 14(b) of Form LD-1: None. Regarding Line 14(c) of Form LD-1, the term “direct interest” is not defined in the statute and its meaning remains ambiguous. IBM believes that its foreign subsidiaries have only an indirect and derivative interest in the lobbying activities covered by this Addendum. IBM’s foreign subsidiaries do not have the predominant interest in the matters for which IBM has engaged in lobbying activities since the parent company initiates, directs, finances and controls such activities and does not act merely as an agent for one or more of its subsidiaries. However, because the interpretation of “direct interest” remains uncertain, we include a list of the major worldwide non-U.S. subsidiaries of the company. Depending on the meaning finally given to the statutory terms, one or more of these subsidiaries might be viewed as having a direct interest in one or more of the activities covered by this Addendum.

**IBM World Trade Subsidiaries**

IBM Argentina Sociedad Anonima	IBM Korea, Inc.
IBM Australia Limited	IBM de Mexico, S.A.
IBM Oesterreich Internationale Bueromaschinen Gesellschaft m.b.H.	IBM Nederland N.V.
International Business Machines of Belgium S.A.	IBM New Zealand Limited
IBM de Bolivia, S.A.	International Business Machines A/S (Norway)
IBM Brasil-Industria, Maquinas e Servicos Limitada	IBM del Peru, S.A.
IBM Bulgaria Ltd.	IBM Philippines, Inc.
IBM Canada Limited - IBM Canada Limitee	IBM Polska Sp.z.o.o.
IBM de Chile, S.A.C.	Companhia IBM Portuguesa, S.A.
IBM China Company Ltd.	IBM Romania Srl
IBM de Colombia, S.A.	IBM East Europe/Asia Ltd.
IBM Croatia Ltd.	IBM Singapore Pte. Ltd.
IBM Ceska Republika spol. s.r.o. (Czech Republic)	IBM Slovensko spol.s.r.o. (Slovak Republic)
IBM Danmark A/S	IBM Slovenija d.o.o. (Slovenia)
IBM Middle East FZE	IBM South Africa (Pty) Ltd.
IBM del Ecuador, C.A.	International Business Machines, S.A. (Spain)
Oy International Business Machines AB (Finland)	IBM Svenska Aktiebolag
Compagnie IBM France, S.A.	IBM(Schweiz)-IBM (Suisse)-IBM(Svizzera)-
IBM Deutschland GmbH	IBM (Switzerland)
IBM Hellas Information Handling Systems S.A. (Greece)	IBM Taiwan Corporation
International Business Machines Corporation Magyarorszagi Kft (Hungary)	IBM Thailand Co. Ltd.
IBM Ireland Limited	IBM (International Business Machines) Turk Limit Sirketi
IBM Israel Limited	IBM United Kingdom Holdings Limited
IBM India Limited	IBM del Uruguay, S.A.
IBM Italia S.P.A.	IBM de Venezuela, S.A.
IBM Japan, Ltd.	



Registrant Name IBM Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cov
.....	.....	.....

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
.....	.....	.....	.....

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrar affiliated organization



Signature  Date 8/13/03

Printed Name and Title Christopher G. Caine, Vice President of Governmental Programs

Form LD-2 (Rev. 6/98)

Page