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### LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|  |                                  |   |                                   |
|--|----------------------------------|---|-----------------------------------|
| 1. Registrant Name<br><i>Ridley &amp; Associates, Inc.</i>   |                                  |   |                                   |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br><i>115 Kendrick Rd</i>                |                                  |   |                                   |
| 3. Principal Place of Business (if different from line 2)<br>City: <i>Harwich</i> State/Zip (or Country) <i>MA 02645</i> |                                  |   |                                   |
| 4. Contact Name<br><i>Carole Ridley</i>  | Telephone<br><i>508-430-2563</i> | E-mail (optional)<br><i>cr Ridley@ridleyandassociates.com</i> | 5. Senate ID #<br><i>51605-12</i> |
| 7. Client Name <input type="checkbox"/> Self<br><i>Cape Air / Nantucket Airlines</i>                                     | 6. House ID #<br><i>34793000</i> |   |                                   |

TYPE OF REPORT  8. Year  Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  Termination Date \_\_\_\_\_ 11. No Lobbying Activity

| INCOME OR EXPENSES - Complete Either Line 12 OR Line 13   |   |
|---|---|
| <p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> \$ _____<br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> \$ _____<br/>Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |

Signature: *Carole Ridley*

Printed Name and Title: *Carole Ridley, President*

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Registrant Name Ridley & Associates, Inc Client Name Cape Air/Nantucket Airlines

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Aviation Excise Tax

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

| Name                 | Covered Official Position (if applicable) | New                      |
|----------------------|---|--------------------------|
| <u>Carole Ridley</u> |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Carole Ridley Date 2/7/01  
Printed Name and Title Carole Ridley, President

Registrant Name: Ridley Associates, Inc Client Name: Cape Air/Northeast Airlines

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Congestion pricing

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name                 | Covered Official Position (if applicable) | New                      |
|----------------------|---|--------------------------|
| <u>Carole Ridley</u> |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
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|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature: Carole Ridley Date: 2/7/01

Printed Name and Title: Carole Ridley, President

Registrant Name Ridley & Associates Inc Client Name Cape Air/Nantucket Airlines

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TOU (one per page)

16. Specific lobbying issues

Cuban Assets Control Regulation

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives  
U.S. Dept. of Treasury / OFAC  
U.S. Dept. of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

| Name                 | Covered Official Position (if applicable) | None                     |
|----------------------|---|--------------------------|
| <u>Carole Ridley</u> |   | <input type="checkbox"/> |
|                      |   | <input type="checkbox"/> |
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|                      |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Carole Ridley Date 2/7/01  
Printed Name and Title Carole Ridley President