

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

JOHNS HOPKINS UNIV

2. Address:

3400 N CHARLES ST, BALTIMORE, MD 21218

3. Principal place of business (if different from line 2):

4. Contact Name: JUDITH A. ELTERMAN

Telephone: 410-516-8090

E-mail (optional): jelterman@jh.u.edu

Senate ID #: 20664-12

House ID #: 30347000

7. Client Name: Self

TYPE OF REPORT

8. Year 2002 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 140,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only

Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AGR (one per page)

16. Specific lobbying issues:

S.1731, Agriculture, Conservation, and Rural Enhancement Act of 2001: advocated for inclusion of language to codify the current administrative exclusion of rats, mice and birds from the Animal Welfare Act. Supported an amendment by Senator Helms, clarifying the definition of "animal" under the Animal Welfare Act guidelines, making permanent the existing administrative exclusion of rats, mice and birds.

17. House(s) of Congress and Federal agencies contacted:

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

S.2766, Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2003:supported and advocated for passage of the following items:supported the Ad Hoc Group for Medical Research Funding recommendation for an FY2003 appropriation of \$27.3 billion for the National Institutes of Health, as the final step of the five-year effort to double the NIH budget; supported advocacy effort of AAU for increased funding (\$300 million) for extramural facilities construction through the National Institutes of Health National Center for Research Resources(NCRR); supported advocacy effort of the AAMC to retain the provision from FY'02 funding bill that maintained the salary cap imposed on extramural National Institutes of Health researchers at Level 1 of the Executive pay scale which equals \$166,700 in last year's bill;Requested funding support for the "Center for Community-Based Health Promotion and Training" sponsored by the Johns Hopkins Urban Health Institute. Center would include partnership with Georgetown University Law Center and Morgan State University and amount requested of Senate is \$3 million over three years;Monitored for continued funding for the Johns Hopkins Center for Civilian Biodefense Strategies in FY2003 Labor, HHS and Education appropriation bill; S.2797, Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2003:supported and advocated passage for the following:Increase funding for Fiscal Year 2003 for the National Space Biomedical Research Institute.Sought a total of \$25.5 million which would be an increase of \$8.5 million over President's budget request;S.2784,Energy and Water Development Appropriations Act, 2003:advocated for support of an appropriation of \$3.5 billion in FY 2003 to support funding for DOE's Office of Science programs.This would be a 9.3 percent increase over last year's level for this Office;S.2797, Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2003:advocated for doubling the NSF budget in five years; advocated promoting the expansion of NSF funding in areas such as nanotechnology and information technology and support for development of Nanotechnology Consortium in Maryland in collaboration with other institutions;advocated for continued support from EPA for the Center for Urban Asthma Studies; advocated for increased funding levels for NASA appropriations; advocated support for NASA's "Living with a Star" program and the Mars Exploration Program that also includes a strong competitive science component to investigate the surface of Mars; advocated Pluto-Kuiper Belt Mission in which a unique opportunity exists to send a planetary explorer to Pluto and the Kuiper Belt. Congress is encouraged to continue the New Horizons mission as part of the New Frontiers Program.

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Database protection legislation poses a threat to free flow of information and the public domain. Any database protection bill must allow fair use of databases comparable to that under copyright law and permit downstream transformative use of fact and government-produced data contained in a database; advocated opposition to HR 354 and support for HR 1858.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

Advocated for the continuation of funding of the following two grants: The Center for the Social Organization of Schools at Johns Hopkins University has received a five-year term grant for Education of Students Placed at Risk. This funding is critical to the work that Johns Hopkins is doing in several at risk schools; The Center for the Social Organization of Schools also has developed several successful models such as Success for All and the National Network of Partnership Schools using grant funds from DOE; advocated for an FY2003 funding level for NEH at \$150 million. This would be a \$25 million or 20 percent increase over the FY 2002 level of \$125 million. The Eisenhower Library is seeking funding from the NEH for a grant, Save America's Treasures, of \$300-\$400K to refurbish the George Peabody Library; supports the Association of American University institutions by advocating for increased funding levels for student aid programs: SEDG program, Perkins Loan, Pell Grant, Federal Work-Study, LEAP, and TRIO, Direct Student Loan Program; supports the Association of American University institutions by advocating a \$40 million appropriation for the Graduate Assistance in Areas of National Need (GAANN) and \$13 million appropriation for the Jacob K. Javits graduate education programs in the Department of Education.

17. House(s) of Congress and Federal agencies contacted:

Education, Dept of
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

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15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

PL 104-191, Health Insurance Portability and Accountability Act: advocated through formal comment process to seek changes and modifications in HIPAA with respect to treatment of patient privacy and charitable contributions;HR 3487/S.1864, Nurse Reinvestment Act:monitored progress of negotiations of informal conference committee seeking compromise;Monitored progress of Senate bill(Kennedy/Frist) and House bill, HR 4697,Human Research Subject Protections Act of 2002, which would increase the protection of participants in human subject research;S.543, Mental Health Equitable Treatment Act of 2001:monitored bill which would provide for equal coverage of mental health benefits with respect to health insurance coverage;monitored HR 2178,All-Payer Graduate Medical Education Act of 2001 (Representative Ben Cardin): a bill to provide comprehensive financing for graduate medical education;monitored HR 3236, Patient and Physician Safety and Protection Act of 2001 (Representative Conyers):to amend Title XVIII of the Social Security Act to reduce the work hours and increase the supervision of resident-physicians to ensure the safety of patients and resident-physicians themselves;monitored HR 4600, The Help Efficient, Accessible, Low Cost, Timely Health Care Act of 2001(Representative Jim Greenwood);to improve patient access to health care services and provide improved medical care by reducing the excessive burden the liability system places on the health care delivery system; Provided comments to Maryland Senators in response to hearing before the Senate Health, Education, Labor and Pensions Committee (4/16/02) on proposed amendments to the Health Insurance Portability and Accountability Act (HIPAA)privacy regulations.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

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15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

Worked with Maryland senators and Congressman Cummings to add language to 2002 Supplemental Appropriations bill, HR 4775, which has now become part of Public Law 107-206, to extend Section 8 assistance under the Section 8 Housing Assistance Payments Contract.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

S.1749/HR 3525,Enhanced Border Security and Visa Entry Reform Act of 2001:advocated for support of senate bill which would strengthen the Student and Exchange Visitor Information System(SEVIS)program and will permit more careful monitoring and sharing of information about international students;expressed concerns in both bills regarding additional reporting requirements, electronic sharing and gathering of information and fees.

17. House(s) of Congress and Federal agencies contacted:

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.**None**

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15. General issue area code: MED (one per page)

16. Specific lobbying issues:

Monitored the following human cloning bills: S. 1758, Human Cloning Prohibition Act of 2001 (Senator Dianne Feinstein); HR 2172, Cloning Prohibition Act of 2001 (Representative James Greenwood); S. 790, Human Cloning Prohibition Act of 2001 (Senator Sam Brownback); S. 1893, Human Cloning Ban and Stem Cell Research Protection Act of 2001 (Senator Tom Harkin); S. 1899, Human Cloning Prohibition Act of 2001 (Senator Sam Brownback); S. 2439, Human Cloning Prohibition Act of 2002 (Senator Arlen Specter); Monitored the following stem cell research bills: HR 2059, Stem Cell Research Act of 2001 (Representative Jim McDermott); S. 723, Stem Cell Research Act of 2001 (Senator Arlen Specter); Supported Association of American Universities (AAU) Statement on Human Cloning, adopted by AAU membership on April 23, 2002, and sent to Senate leadership shortly thereafter. Johns Hopkins supported the AAU position which "opposes human reproductive cloning, and supports legislation to ban this practice." The statement continues to "support both basic and applied stem cell research. AAU therefore supports nuclear transplantation to produce stem cells, also known as somatic cell nuclear transfer, as nonreproductive cloning, as therapeutic cloning."

17. House(s) of Congress and Federal agencies contacted:
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: JOHNS HOPKINS UNIV Client Name: Self

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15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

S.839/HR 1556, American Hospital Preservation Act of 2001: advocated for support; S.326/HR 975, Home Health Fairness Act of 2001: advocated for support and co-sponsorship; S.1707/HR 3351, Medicare Physician Payment Fairness Act: advocated for support; Proposed Rule (Centers for Medicare and Medicaid Services) entitled, "Medicaid Program; Medicaid Managed Care," 66 Fed. Reg. 43614 (August 20, 2001): advocated and supported interpretation of rule which would allow state Medicaid programs to continue to make payments directly to teaching hospitals that are under contract with Medicaid managed care plans, to help offset the higher costs associated with the special missions of teaching hospitals (so-called direct graduate medical payments); monitored Medicare reform and Prescription Drug Benefit legislation; Monitored HR 4954, The Medicare Modernization and Prescription Drug Act of 2002.

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.

Covered Official Position (if applicable): N/A

Name: MCINTOSH, MAGGIE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

International Traffic in Arms Regulation (ITAR): met with senate staff along with the Association of American Universities' Space Science Working Group to express concerns regarding ITAR regulations which have had substantial adverse impacts on research at universities.

17. House(s) of Congress and Federal agencies contacted:
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ETTEN, THOMAS A.
Covered Official Position (if applicable): N/A
Name: MCINTOSH, MAGGIE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 13, 2002

Printed Name and Title: THOMAS A. ETTEN - DIRECTOR, FEDERAL RELATIONS