

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The Duberstein Group, Inc.		
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 2100 Pennsylvania Ave, NW Ste 500 City Washington State/Zip (or Country) DC 20037 USA		
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____		
4. Contact Name John W. Angus, III	Telephone 202-728-1100	E-mail (optional) _____
5. Senate ID # 12675-241		
7. Client Name <input type="checkbox"/> Self American Water Works Company, Inc.		6. House ID # 31811022

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____

11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$40,000.00</u> Income (nearest \$20,000)	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of op <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(f) the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature _____ Date 8/14/2001

Printed Name and Title **John W. Angus, III - Senior Vice President & GC**



Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Company, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific Lobbying issues

EXECUTIVE BRANCH ACTIONS: Proposal re privatization of DOD facilities

17. House(s) of Congress and Federal agencies contacted Check if None
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Angus, III, John W.	
Berman, Michael S.	
Champlin, Steven M.	
Duberstein, Kenneth M.	
Gandy, Henry M.	
Meyer, Daniel	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 8/14/2001



Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Company, Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)

16. Specific Lobbying issues

OTHER CONGRESSIONAL ACTIONS: Legislative proposals re Safe Drinking Water Act amendments and infrastructure funding

EXECUTIVE BRANCH ACTIONS: Proposals re Safe Drinking Water Act amendments; water infrastructure and arsenic standards in drinking water

17. House(s) of Congress and Federal agencies contacted Check if None

**Congressional Budget Office
House of Representatives
Office of Management and Budget
The White House**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
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Berman, Michael S.	
Champlin, Steven M.	
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Gandy, Henry M.	
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Signature  Date 8/14/2001

