

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
Organization	Charles Schwab & Co., Inc.		
2. Address <input type="checkbox"/> Check if different than previously reported			
Address 1	555 12 Street, NW	Suite	740N
City	Washington	State	DC
		Zip Code	20004
			Country US
3. Principal place of business (if different than line 2)			
City	State	Zip Code	Country
City	State/Zip or Country	Zip Code	Country
4a. Contact Name		b. Telephone number	c. E-mail
Prefix	Full Name		
Ms.	Marianne Kohlhaas	202-638-3754	marianne.kohlhaas@schwab.com
7. Client Name <input checked="" type="checkbox"/> Self			5. Senate ID #
Charles Schwab & Co., Inc.			34637-1
			6. House ID #
			3395100

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Acti

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>670,50</u></p> <p><b>14. REPORTING METHOD.</b> Check box to indicate exp accounting method. See instructions for description of opti</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions c</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8 Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of Revenue Code</p>
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Form C

Printed Name and Title Geof Gradler, SVP Government Affairs

14

Registrant Name Charles Schwab & Co., Inc. Client Name Charles Schwab & Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securities (one per page)

16. Specific lobbying issues

HR 2622, Fair and Accurate Credit Transactions Act of 2003  
HR 2420, Mutual Funds Integrity and Fee Transparency Act of 2003  
S 1971, Mutual Fund Investor Confidence Restoration Act of 2003  
S 1822, Mutual Fund Transparency Act of 2003

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives, US Senate, Department of Treasury, Federal Trade Commission, SEC, National Economic Council

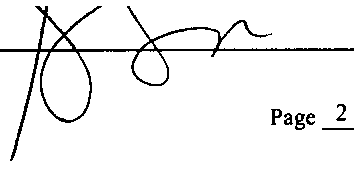
18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for t*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Geof	Gradler		
Michael	Townsend		
Kim	Quish		
Greg	Polley		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Printed Name and Title Geof Gradler, SVP Government Affairs

LD-2DS (REV. 4/03)

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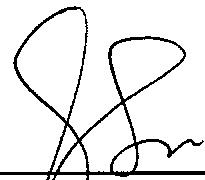
Page 2

Registrant Name Charles Schwab & Co., Inc. Client Name Charles Schwab & Co., Inc.

**ADDENDUM for General Lobbying Issue Area** FIN

16. Specific lobbying issues (continued from previous page)

Oversight of SEC  
Regulation NMS and related market issues  
Mutual Fund Reforms



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Client Name Charles Schwab & Co., Inc.

**LOBBYINGACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code CSP - Consumer Issues/Safety/Protection (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue*

HR 2929, Spy Act  
HR 4661, I-Spy Prevention Act  
S 877, This Act may be cited as the 'Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2003', or the 'CAN-SPAM Act of 2003'.  
S 2145, Spy Block Act

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives, US Senate, Department of Treasury, Federal Trade Commission, SEC


18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for t*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Kim	Quish		
Greg	Polley		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Printed Name and Title Geof Gradler, SVP Government Affairs

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Registrant Name Charles Schwab & Co., Inc. Client Name Charles Schwab & Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code TOR - Torts (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue* 

HR 1115, Class Action Fairness Act of 2003  
S. 274 and S 1751, Class Action Fairness Act of 2003

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives  
US Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for t*

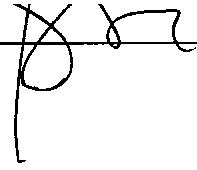
First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Kim	Quish		
Greg	Polley		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Printed Name and Title **Geof Gradler, SVP Government Affairs**

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Registrant Name Charles Schwab & Co., Inc. Client Name Charles Schwab & Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code RET - Retirement (one per page)

16. Specific lobbying issues *Add page to continue specific issues description for this issue* 

Social Security Reform  
Retirement Savings Issues

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives  
US Senate  
The White House

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for t*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Kim	Quish		
Michael	Townsend		
Geof	Gradler		
Greg	Polley		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Printed Name and Title Geof Gradler, SVP Government Affairs

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Registrant Name Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN - Banking (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue*

HR 522, Federal Deposit Insurance Reform Act of 2003  
HR 1375, Financial Services Regulatory Act of 2003  
OCC Preemption Rules  
SEC proposed rule regarding alternative net capital treatment for broker-dealers that are part of consolidated supervised entities.

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives, US Senate, Board of Governors of the Federal Reserve System, Office of the Comptroller of the Currency, Securities Exchange Commission

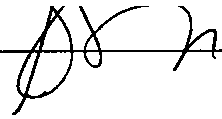
18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for t*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Laurie	Schaffer		
Kim	Quish		
Greg	Polley		
Michael	Townsend		
Geof	Gradler		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Printed Name and Title Geof Gradler, SVP Government Affairs

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Registrant Name Charles Schwab & Co., Inc.

Client Name Charles Schwab & Co., Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue* 

HR 3574, Stock Option Accounting Reform Act  
S 1637/HR 4520, Jumpstart Our Business Strength (JOBS) Act: A bill to amend the Internal Revenue Code of 1986 to comply with the World Trade Organization rulings on the FSC/ETI benefit in a manner that preserves jobs and production activities in the United States, to reform and simplify the international taxation rules of the United States, and for other purposes.

17. House(s) of Congress and Federal agencies contacted  Check if None

US House of Representatives  
US Senate  
Department of Treasury  
The White House

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for t*

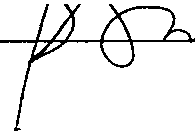
First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Michael	Townsend		
Geof	Gradler		
Greg	Polley		

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

 *Add a page for a diffe*

Printed Name and Title **Geof Gradler, SVP Government Affairs**

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Registrant Name Charles Schwab & Co., Inc. Client Name Charles Schwab & Co., Inc.

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

Address

City State Zip Code Country

21. Client new principal place of business (if different than line 20)

City State Zip Code Country

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Su
<b>1</b>			<b>3</b>		
<b>2</b>			<b>4</b>		

**ISSUE UPDATE**

Find the code to select below.

24. General lobbying issues that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address C/S/Z	City State Country
	Address C/S/Z	City State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

<b>1</b>	<b>2</b>	<b>3</b>
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**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Street Address City	Address State/Province Country	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ow per clie
			City State Country		

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization

<b>1</b>	<b>3</b>	<b>5</b>
<b>2</b>	<b>4</b>	<b>6</b>

*Handwritten signature*  
Add a page for more

1  
2