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Washington, DC 20510

SECRETARY OF THE SEN.

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name New York University			
2. Address <input type="checkbox"/> Check if different than previously reported 70 Washington Square South			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country) NY 10012			
4. Contact Name Lynne P. Brown		Telephone 212-998-2350	E-mail (optional) lynne.brown@nyu.edu
5. Senate ID # 29232-12			
7. Client Name <input type="checkbox"/> Self			6. House ID # 31642000

### TYPE OF REPORT

8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbying Activity ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more X ⇒ \$ _____ Income (nearest (\$20,000))	\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>220,000</u> Income (nearest (\$20,000))
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature



Date 8/13/01

Printed Name and Title Lynne P. Brown, Vice President, University Relations

Form LD-2 (Rev. 6/98)

[REDACTED]

Registrant Name New York University Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code ART (One per page)

16. Specific lobbying issues

HR 2217/FY2002 Interior Appropriations, NEH/NEA funding

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

**U.S. House of Representatives**

**U.S. Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Lynne P. Brown	VP, University Relations
Gilda Ventesca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relations
Alicia D. Hurley	Senior Policy Analyst, Government and Community Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None X

Signature  Date 8/13/01

Printed Name and Title Lynne P. Brown, Vice President, University Relations

[REDACTED]

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15. General issue area code BUD (One per page)

16. Specific lobbying issues

FY2002 Related Budget Resolution Reconciliation Measures

H.Con.Res.83 – Establishing the congressional budget for the US Government for FY02, revising the congressional budget for US government for FY01 and setting forth appropriate budgetary levels for each of fiscal years 200

S.Con.Res.20 – A concurrent resolution setting forth the congressional budget for the US government for FY02.

S.Res.19 – A resolution to express the sense of the Senate that the Federal investment in biomedical research should be increased by \$3,400,000 in FY02.

H.R. \_\_\_\_\_. Departments of Labor, Health and Human Services, Education and Related Agencies Appropriation

H.R. \_\_\_\_\_. Departments of Veterans Affairs, Housing and Urban Development and Independent Agencies Appropriation Act, 2002

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

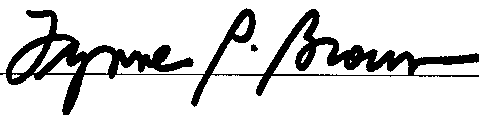
DHHS

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15. General issue area code DEF (One per page)

16. Specific lobbying issues

FY2002 Defense Appropriations Bill, Basic Research Funding  
National Defense Authorization Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

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[REDACTED]



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15. General issue area code EDU (One per page)

16. Specific lobbying issues

FY2021 Labor, HHS, Education Appropriations Bill, Student Financial Aid  
S2 ESEA Reauthorization  
Student Loan Forgiveness Act  
Education Savings Accounts  
Repair and Modernization

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

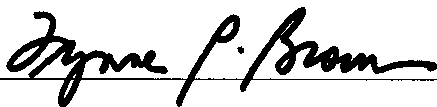
U.S. House of Representatives  
U.S. Senate  
U.S. Department of Education

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15. General issue area code ENG (One per page)

16. Specific lobbying issues

HR 2311/S 1171 FY2002 Energy and Water Appropriations Bill, Energy Research  
HR 2620/S 1216 FY2002 VA/HUD/EPA Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

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Form LD-2 (Rev. 6/98)

[REDACTED]

Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code ENV (One per page)

16. Specific lobbying issues

HR 2620/S 1216 FY2002 VA/HUD/EPA Appropriations

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

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[REDACTED]

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15. General issue area code FOR (One per page)

16. Specific lobbying issues

HR 2500/S 1215 FY2002 Commerce, Justice State, Appropriations Bill, USIA Funding, Educational and Cultural  
FY2002 Department of State Reauthorization Bill, USIA Authorization Issues, Various proposals  
HR 2506 Foreign Operations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

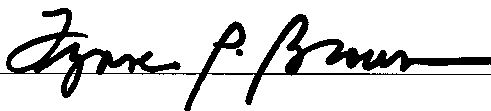
U.S. House of Representatives  
U.S. Senate  
U.S. Agricultural for International Development  
U.S. Information Agency

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[REDACTED]



Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code HCR (One per page)

16. Specific lobbying issues

FY2002 Labor, HHS Appropriations Bill, Early Intervention prevention and treatment  
S.838, Best Pharmaceuticals for Children Act  
H.R.967, Access to Cancer Clinical Trials Act of 2001  
H.R.1771, Antimicrobial Resistance Prevention Act of 2001  
S.824, Medication Errors Reduction Act of 2001  
S.863/H.R.1804, Patient Safety Act of 2001  
H.R.2340, Patient Safety and Health Care Whistleblower Protection Act of 2001  
S.318/H.R.602, Genetic Nondiscrimination in Health Insurance and Employment Act  
H.R.1215 – to ensure confidentiality with respect to medical records and health care-related information.  
S.450 – a bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpublic personal information including health care information.  
H.R.1975 – to modify the deadline for initial compliance with the standards and implementation specifications for electronic records under section 1173 of the Social Security Act.  
S.836 – a bill to amend part C of Title XI of the Social Security Act to provide for coordination of implementation and administrative simplification standards for health care information.  
S.1055 – a bill to require the consent of an individual prior to the sale and marketing of such individual's personally identifiable information.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

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Lynne P. Brown	VP, University Relations
Gilda Ventresca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relations
Alicia D. Hurley	Senior Policy Analyst, Government and Community Relations

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Signature  Date 8/13/01

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[REDACTED]

Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code IMM (One per page)

16. Specific lobbying issues

HR 2500/S 1215 FY2002 Commerce, Justice, State Appropriations Bill, Programs impacting immigrants, refugee individuals

Various Proposals, re: Legal Immigration H1-B Visa Matters

Legal Immigration Legislative Proposals, varied

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

U.S. Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

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Lynne P. Brown	VP, University Relations
Gilda Ventesca Ecroyd	Associate Dean, Office of Government Affairs
Michael Haberman	Director, Government and Community Relations
Alicia D. Hurley	Senior Policy Analyst, Government and Community Relations

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Check if None **X**

Signature



Date 8/13/01

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Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code MED (One per page)

16. Specific lobbying issues

FY2002 Labor, HHS Appropriations Bill, NIH/Biomedical Research, Health Care Services  
Genomics  
H.Con.Res.17 – Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell research  
S.732/H.R.2059 – Stem Cell Research Act of 2001  
H.R.2096 – Responsible Stem Cell Research Act of 2001  
S.790/H.R.1644 – Human Cloning Prohibition Act of 2001  
H.R.2172 – Cloning Prohibition Act of 2001

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

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Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code MMM (One per page)

16. Specific lobbying issues

FY2002 Related Budget Resolution Reconciliation Measures  
President's Medicare Reform Proposal  
S.357 – a bill to amend the Social Security Act to preserve and improve the medicare program.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

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Michael Haberman	Director, Government and Community Relations
Alicia D. Hurley	Senior Policy Analyst, Government and Communi Relations

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Signature  Date 8/13/01

Printed Name and Title Lynne P. Brown, Vice President, University Relations

[REDACTED]



Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code SCI (One per page)

16. Specific lobbying issues

HR 2620/S 1216 FY2002 VA/HUD/EPA Appropriations Bill  
HR 2311/S 1171 FY2002 Energy and Water Appropriations Bill  
Science Authorization Proposals, varied  
National Science and Math Education Acts of 2000

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

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Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code TAX (One per page)

16. Specific lobbying issues

Varied Tax Legislative Proposals Regarding Non-profits, Charitable Donations, Tax-Exempt Financing

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

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Printed Name and Title Lynne P. Brown, Vice President, University Relations

[REDACTED]

Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code TEC (One per page)

16. Specific lobbying issues

HR 2500/S 1215/FY2002 Commerce, Justice, State Appropriations Bill, NTIA Program Funding

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

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Registrant Name New York University Client Name \_\_\_\_\_

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15. General issue area code URB (One per page)

16. Specific lobbying issues

HR 2620/S 1216 FY2002 VA/HUD/EPA Appropriations Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

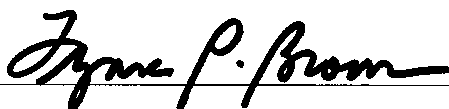
U.S. Senate

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