

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY O

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | |
|---|--|----------------------------------|--|
| 1. Registrant Name <u>Rock & Associates</u> | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported <u>1150 17th St., N.W. Suite 601</u> | | | |
| 3. Principal Place of Business (if different from line 2) City: <u>Washington</u> State/Zip (or Country) <u>DC 20036</u> | | | |
| 4. Contact Name <u>James W. Rock</u> | | Telephone <u>202-296-6363</u> | E-mail (optional) <u>jameswrock@aol.com</u> |
| 5. Senate ID # <u>3354</u> | | | 6. House ID # <u>3042</u> |
| 7. Client Name <input type="checkbox"/> Self <u>eLottery</u> | | | |

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-De

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms | 13. Organizations |
|--|---|
| INCOME relating to lobbying activities for this reporting period was: | EXPENSES relating to lobbying activities for this re period were: |
| Less than \$10,000 <input type="checkbox"/> | Less than \$10,000 <input type="checkbox"/> |
| \$10,000 or more <input checked="" type="checkbox"/> ⇨ <u>\$20,000</u> Income (nearest \$20,000) | \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20 |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 14. REPORTING METHOD. Check box to indica accounting method. See instructions for description |
| | <input type="checkbox"/> Method A. Reporting amounts using LDA defin |
| | <input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code |
| | <input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code |

Signature

James W. Rock

Printed Name and Title WILLIAM W. NOCK, DESIGNER

LD-2 (REV. 6/98)



Registrant Name Rock & Associates Client Name eLottery

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code GAM (one per page)

16. Specific lobbying issues

Federal legislation affecting Internet gaming.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate.

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|----------------------|---|
| <u>James W. Rock</u> | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature James W. Rock Date 19 August

Printed Name and Title James W. Rock President
Filing #49a7075c-df79-4701-aace-6eeb2fc4e308 - Page 3 of 4

Printed Name and Title JOSEPH M. ROOF, President

Form I.D-2 (Rev. 6/98)

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