

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

03 DEC -1 PM 4: 32

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

| | | | |
|--|----------------------------------|--|------------------------------------|
| 1. Registrant Name The OB-C Group, LLC | | | |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1350 Eye Street, NW Suite 690 City Washington State/Zip (or Country) DC 20005 USA | | | |
| 3. Principal Place of Business (if different from line 2) City State/Zip (or Country) | | | |
| 4. Contact Name Celeste Dembowski | Telephone 202-898-4746 | E-mail (optional) cdembowski@ob-cgroup.com | 5. Senate ID # 29802-455 |
| 7. Client Name <input type="checkbox"/> Self Investment Company Institute | 6. House ID # 30108041 | | |

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date 9/30/2003 11. No Lobby

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms | 13. Organizations |
|--|---|
| <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$40,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 the Internal Revenue Code</p> |

Signature _____ Date 11/18/2003

Printed Name and Title Celeste Dembowski - Office Administrator Pa

Registrant Name: The OB-C Group, LLC

Client Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

H.R.522 , Federal Deposit Insurance Reform Act of 2003, Free Rider amendment, insurance premiums.



17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|----------------------------------|---|
| Johnson, Michael S. | |
| Keating, Thomas | |
| Mellody, Charles J. | |
| Nelson, Patricia A. | |
| O'Brien, III, Lawrence F. | |
| Ruhlen, Mary Ellen | |
| Tarplin, Linda E. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date 11/18/2003

Printed Name and Title Celeste Dembowski - Office Administrator Pa



Registrant Name: The OB-C Group, LLC

Client Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

H.R.2420 , Mutual Funds Integrity and Fee Transparency Act of 2003, entire bill.

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|----------------------------------|---|
| Johnson, Michael S. | |
| Keating, Thomas | |
| Mellody, Charles J. | |
| Nelson, Patricia A. | |
| O'Brien, III, Lawrence F. | |
| Ruhlen, Mary Ellen | |
| Tarplin, Linda E. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date 11/18/2003

Printed Name and Title Celeste Dembowski - Office Administrator Pa



Registrant Name: The OB-C Group, LLC

Client Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R.1000 , Pension Security Act of 2003, entire bill.

H.R.1776 , Pension Preservation and Savings Expansion Act of 2003, entire bill.

17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|----------------------------------|---|
| Johnson, Michael S. | |
| Keating, Thomas | |
| Mellody, Charles J. | |
| Nelson, Patricia A. | |
| O'Brien, III, Lawrence F. | |
| Ruhlen, Mary Ellen | |
| Tarplin, Linda E. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date **11/18/2003**

Printed Name and Title **Celeste Dembowski - Office Administrator** _____ Pa;



Registrant Name: The OB-C Group, LLC

Client Name: Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R.1556 , Corporate Accountability Tax Gap Act of 2003, double taxation of corporate earnings.

H.R.1989 , To amend the Internal Revenue Code of 1986 to allow individuals to defer recognition of reinvest gains distributions from regulated investment companies, entire bill.

H.R.2 , Jobs and Growth Tax Act of 2003, double taxation of corporate earnings.

S.1054 , Jobs and Growth Tax Relief Reconciliation Act of 2003, double taxation of corporate earnings.

S.2 , Jobs and Growth Tax Act of 2003, double taxation of corporate earnings.

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|---------------------------|---|
| Johnson, Michael S. | |
| Keating, Thomas | |
| Mellody, Charles J. | |
| Nelson, Patricia A. | |
| O'Brien, III, Lawrence F. | |
| Ruhlen, Mary Ellen | |
| Tarplin, Linda E. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date 11/18/2003

Printed Name and Title Celeste Dembowski - Office Administrator Pg



Registrant Name: The OB-C Group, LLC

Client Name: Investment Company Institute

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client
McKernan, Kim F.

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|--|
| | | |

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

| Name | Address | Principal Place of Business (city and state or country) | Amount of contribution for lobbying activities |
|------|---------|--|---|
| | | | |

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

Signature Celeste Dembowski Date 11/18/2003

Printed Name and Title Celeste Dembowski - Office Administrator Pa